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Preparation of Microcapsules Containing Grape Polyphenols and A-Tocopherol by Spray-Gelling Method 2

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Abstract 7

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It was tried to prepare the microcapsules containing the (W/O) emulsion or the (S/O)8 dispersion by the Spray-Gelling method. The shell material was gelled sodium alginate. In the

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case of preparation of the microcapsules containing the (W/O) emulsion, grape polyphenol as 10

the first core was dissolved in the inner water droplets which were dispersed in ?-tocopherol oil 11

as the second core. In the case of preparation of the microcapsules containing the (S/O)12

dispersion, grape polyphenol powder as the first core was dispersed in the ?-tocopherol oil as 13

the second core. Two kinds of multiple emulsions such as the (W/O)/W emulsion and the 14

(S/O)/W emulsion, in which the sodium alginate aqueous solution was the continuous water 15 phase, were prepared and sprayed into the calcium chloride aqueous solution as the gelling 16

agent through the nozzle. The microcapsules with the mean diameters from 20 to 70 ?m could 17

be prepared. The microencapsulation efficiency was increased by changing the (W/O)18

emulsion to the (S/O) dispersion. 19

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Index terms— sodium alginate microcapsule, multiple emulsion, grape polyphenol, spray-gelling method, 21 dual core materials, ?-tocopherol. 22

Introduction 1 23

24 itherto, many kinds of microcapsules have been prepared and applied in the various fields such as cosmetics, 25 food, drugs, paintings, adhesives, textile, electric materials and so on [1][2][3].

The main functions of microcapsules are to protect the core materials from environment for a long time, 26 27 to optionally release the core materials according to stimuli, to modify the surface of core material [1]. The microcapsules with these functions can be prepared by selecting the core and the shell materials with the desired 28 chemical and physicochemical properties and by developing the microencapsulation procedure. If a few core 29 materials with the different chemical and physicochemical properties could be microencapsulated at the same 30 time, the multiple functions may be given to the microcapsules. For an example, in the case of applying the 31 microcapsules to the food, cosmetics, drugs and textile, the nontoxic materials have to be used as the shell 32 material. Furthermore, if one of the core materials tried to microencapsulate at the same time is hydrophilic 33 and the other hydrophobic, the newly devised microencapsulation procedure has to be developed by using the 34 35 designated core and shell materials.

36 It is well known that grape polyphenol is water soluble and has a few physiological effects such as antiaging 37 effect and anti-oxidation effect, but has a few defects such as a bitter taste and reaction activity. Accordingly, if grape polyphenol could be microencapsulated with some edible shell materials, the application fields of grape 38 polyphenol may be considerably extended [4]. 39

On the other hand, ?-tocopherol (vitamin E) has a few physicological effects such as anti-aging effect and 40 prevention effect of lifestyle related disease, but has a few defects such as light and heat destruction and easy 41 oxidization [5][6][7]. Accordingly, if ?-tocopherol oil could be microencapsulated with some nontoxic materials, 42 various application fields for ?-tocopherol may be expected. Furthermore, the microcapsules with the multiple 43

functions can be prepared by microencapsulating these core materials at the same time. However, as ?-tocopherol 44

is hydrophobic and grape polyphenol is water soluble, in order to prepare the microcapsules containing these 45

core materials as much as possible, it is necessary to develop the preparation method by using the nontoxic shell 46 47 materials.

The spray methods such as spray drying, spray chilled and spray gelling for preparing the various kinds of 48 microcapsules are well known to be the effective methods, because the selectivity for the core and the shell 49 materials is extremely wide and the continuous production is capable [8,9]. Also, the diameters of microcapsules 50 can be easily controlled by changing the volumetric flow velocity of feed and the spraying pressure [10][11][12]. 51 Accordingly, the spray method may be suitable to the preparation of microcapsules containing the dual cores 52

with the different chemical and physicochemical properties at the same time. 53

The purposes of this study are to develop the preparation method of microcapsules containing the hydrophilic 54 and the hydrophobic core materials, to characterize the microcapsules and to discuss the formation mechanism. 55

2 II. 56

3 Experimental a) Materials 57

Materials used to prepare the microcapsules containing the dual core materials were as follows. 58

Grape Polyphenol (GP) (Sunprite Ind. Co., Ltd, Tokyo, Japan) was used as the first core material and 59 ?tocopherol (?-oil) (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the second core material. 60 Sodium Alginate (Alg?Na) (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the shell material. 61 Calcium chloride (Wako Pure Chemical Ind. Co., Ltd, Tokyo, Japan) was used as the gelling agent for sodium 62 alginate. Poem PR-100, DAO-7S and Soy bean Lecithin (SL) (Riken Vitamin Ind. Co., Ltd, Tokyo, Japan) 63 were used as the oil soluble surfactants. ML-750 (SY Glystar : Sakamoto Yakuhin Ind. Co., Ltd, Tokyo, Japan) 64 was the water soluble surfactant. b) Verification of effect of oil soluble surfactant species on stability of (W/O)65 emulsion and (W/O)/W emulsion 66

67 In order to increase the content of core materials as much as possible, it is necessary to stabilize the (W/O)68 emulsion and the (W/O)/W emulsion with the help of surfactant species. For this purpose, the effect of oil soluble

surfactant species on the stability of (W/O) emulsion and the (W/O)/W emulsion was estimated as follows. 69

The GP aqueous solution of a given volume was poured into the ?-oil dissolving the oil soluble surfactant and 70 homogenized to form the (W/O) emulsion. 71

Then, the (W/O) emulsion was observed by visual confirmation at the constant time intervals. If the stability 72 of (W/O) emulsion is lower, the (W/O) emulsion may be broken and the phase separation may be observed. 73

Furthermore, the (W/O) emulsion thus prepared was poured into the Alg?Na aqueous solution and 74 homogenized to form the (W/O)/W emulsion. The stability of (W/O)/W emulsion was observed similarly 75 as stated just above. If the stability of the (W/O)/W emulsion is lower, the (W/O)/W emulsion may be broken 76 and the phase separation may be observed. On the other hand, two kinds of the (S/O) dispersions, where GP 77 powder was dispersed in the ?oil, were prepared as follows. 78

First, the (S/O) dispersion was prepared by directly adding GP powder into the ?-oil and stirred. 79

80 Second, the (S/O) dispersion was prepared by adding the GP aqueous solution into the ?-oil, forming the (W/O) emulsion and then, by removing water from the (W/O) emulsion as shown in Figure 3. In this operation, 81 the time for removing water from the (W/O) emulsion was changed from 0 to 12h at 50? in order to change the 82 diameter of inner water droplets, namely the diameter of GP powder. Furthermore polyethylene glycol (PEG 83 600) was dissolved in the inner water phase in order to try to investigate whether the content of GP could be 84 increased or not. In this fundamental experiment, the spraying conditions such as the volumetric flow velocity 85 of feed and the spraying pressure, the oil soluble surfactant species and the time for removing water from the 86 (W/O) emulsion were changed stepwise. The experimental conditions are shown in Table ??. 87

Table 1 : Experimental conditions d) Characterization Mean 4 88 diameter 89

The diameters of inner water droplets and GP powder were measured by the instrument for measuring the particle 90 size (Otsuka Denshi Co., Ltd, Tokyo, Japan: ELS-8000). 91

The diameters of microcapsules were measured by the particle size analyzer (Shimazu Seisakusho Co., Ltd, 92 Kvoto, Japan: SALD-3000) 93

Here, the mean diameters (dp) were obtained as the mean Sauter diameters. 94

Microencapsulation efficiency 5 95

The microcapsules of a given weight were added into distilled water of 100 cm3, broken by the homogenizer and 96 then, irradiated supersonic for 10 min to perfectly dissolve GP in the water phase. 97

The amount of GP dissolved in the sampled water was measured by the spectrophotometer. 98

For this measurement, the calibration curve between absorption degree and the concentration of GP was 99 obtained beforehand. 100

The microencapsulation efficiency (Fc) was estimated by the following equation. 101

¹⁰² 6 Observation of emulsion and microcapsules

The (W/O)/W emulsion, the (S/O)/W emulsion and the microcapsules were observed by the optical microscope (OLXMPUS Co., Ltd, Tokyo, Japan: BHT-MV) and their photographs were taken by digital camera. From these photographs, the stability of the multiple emulsions and the formation of microcapsules were observed.

106 **7** III.

Results & Discussion a) Effect of oil surfactant species on stability of (W/O) emulsion and (W/O)/W emulsion Figure ?? shows the results investigating the effect of oil soluble surfactant species on the stability of the (W/O)emulsion (Figure ??a) and the (W/O)/W emulsion (Figure ??b). Here, the transient feature of each emulsion was measured to estimate the stability. From Figure ??a, the following valuable results were obtained.

Just after preparing the (W/O) emulsion, in the case of PR-100 and SL, the (W/O) emulsion was brown 111 color, but in the case of DAO-7S, the (W/O) emulsion was dark brown color. Here, the dark brown color means 112 that the (W/O) emulsion is broken and the GP aqueous solution is separated. 6 shows the optical microscopic 113 photographs of microcapsules prepared under the same conditions as in Figure 5. From these photographs, it was 114 found that there were spherical and irregular microcapsules and many ?-oil droplets were microencapsulated well 115 with the gelled Alg?Na shell. Figure 7 shows the dependences of the mean diameters (dp) and their dispersion 116 degree (?/dp) of microcapsules on the spraying pressure (P). Here, ? is the standard deviation of distribution of 117 diameters. The mean diameters and the dispersion degrees decreased from 63 ?m to 25?m and from 3.2 to 1.7 with 118 increasing the spraying pressure, respectively and almost become constant at P=0.3??MPa]. From these results, 119 it was found that the smaller and more uniform microcapsules could be prepared by increasing the spraying 120 pressure. Figure 8 shows the dependence of the microencapsulation efficiency (?) on the spraying pressure. The 121 microencapsulation efficiency was almost kept constant (?=30%) in spite of increasing the spraying pressure. This 122 lower microencapsulation efficiency should be attributable to the unstable (W/O) emulsion. Figure 11 shows the 123 transient water droplet diameters (dpW) with the time for removing the water phase. From this figure, it was 124 found that the mean diameters of inner aqueous droplets were gradually decreasing with the removing time and 125 become constant at the point of elapsing 6h and the (W/O) emulsion was changed to the (S/O) dispersion. 126 Accordingly, the mean diameters become equal to the diameters of GP powder. Figure 12 shows the dependence 127 of the mean diameter of inner water droplets on the concentration (CL) of SL (Figure 12(a)) and that of the 128 mean diameters (dpS) of GP powder particles on the mean diameter of inner water droplets (Figure 12 (b)). 129 The mean diameters of inner water droplets decreased with the surfactant concentration and the GP powder 130 particle diameters was in proportion to the mean diameters of inner water droplets. It is well known that the 131 content of core materials can be increased by decreasing the diameters of core materials [12][13]. Accordingly, 132 the stability of the (S/O) dispersion could be increased by increasing the surfactant concentration. As a result, 133 the microencapsulation efficiency could be increased. 134

Figure 13 shows the dependence of the microenc-apsulation efficiency (?) on the mean diameters (dpW) of 135 inner water droplets and the GP powder particle diameters (dpS), respectively. From Figure 13, it was found 136 that the microencapsulation efficiency could be increased by decreasing the diameters of inner water droplets and 137 GP powder particles and the microencapsulation efficiencies in the case of the (S/O) dispersion was larger than 138 those in the case of the (W/O) emulsion. Furthermore, the addition of polyethylene glycol (PEG 600) in the 139 inner water phase could considerably increase the microencapsulation efficiency. The effect of addition of PEG 140 may be attributable to the fact that the adsorption layer of PEG on the surface of GP powder particles was 141 142 formed as shown in Figure 11 (b) and the stability of GP powder in the ?-oil could be increased due to increase 143 in affinity between GP powder and the ?-oil. In order to increase the content of core material, the formation of 144 finer water droplets dissolving the hydrophilic core material, the formation of the (S/O) dispersion by removing water from the inner water and the formation of adsorption layer of stabilizer on the surface of powder particles 145 were found to be considerably effective. 146 IV. 147

148 8 Conclusion

149 It was tried to prepare the microcapsules containing the dual cores, namely the (W/O) emulsion or the (S/O) 150 dispersion by the spray-gelling method. The following results were obtained. The microcapsules with the mean

151 diameters from 15?m to 70?m could be prepared.



Figure 1:



12

Figure 2: Figure 1 : Figure 2 :











Figure 5: Figure 5 :



 $\overline{30 \mu m}$

Figure 6: Figure



Figure 7: Figure 6 :



Figure 8: Figure 7 :



Figure 9: Figure 8 :





Figure 10: Figure 10 Figure 9 :



Figure 11: Figure 11 :







Figure 13: 1 .

8 CONCLUSION

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- Dependence of microcapsulation efficiency on inner water droplet diameter and particle diameter Pectin'. S
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