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| 1 | Axillary Nodal Metastases -Prediction by Ultrasound and Digital |
|---|---|
| 2 | Mammogram Features of Primary Breast Malignancy |
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6 Abstract

We have evaluated the value of using the ultrasound and mammographic features of primary 7 breast malignancy to predict axillary lymph node metastasis in patients with known biopsy 8 proven breast cancer. We also determined the impact of demographic factors and various 9 histopathological features of breast cancer on axillary lymph nodes metastasis. Methods: This 10 descriptive retrospective study was an institutional review board-approved study with a 11 waiver of informed consent. Imaging features from 354 patients with digital mammogram and 12 preliminary sonography and histopathological features of invasive breast carcinomas, between 13 March 2021 and August 2022were compared with axillary lymph node status for the presence 14 of metastases. We did univariate and multivariate logistic regression analysis for this purpose. 15 16

17 Index terms— breast cancer, axillary lymph nodes, metastases, axillary lymph nodes metastases.

18 1 Introduction

reast cancer is a diverse family of disease. It gives rise to major threat to social economyand women's health and 19 has called attention from researchers for many years [1,2]. Pakistan has the highest incidence rate in Asia, with 20 approximately one in every nine women suffering from breast cancer [3]. Early and timely detection of breast 21 cancer can bring down morbidity and mortality related with this disease process [4]. The prognosis of patients 22 with invasive breast carcinomas can be predicted by numerous factors in which identification of metastasis in 23 24 axillary lymph nodes is pivotal. It is also essential for developing appropriate treatment regimes. Axillary lymph 25 nodes metastasis is also the key predictor of overall survival and recurrence. While the 5-year survival rate for patients with disease localized to the breast is 98.8%, these numbers drops to 85.8% for patients with axillary 26 27 lymph nodes metastases (AXLN). Staging the axilla for the presence of axillary lymph node (LN) metastasis, 28 the number and location of positive LNs is of prime importance, as it determines the pathologic stage of breast cancer [5][6][7]. 29 Although the invasive, standard of care, surgical means of staging axilla via sentinel lymph node biopsy (SLNB) 30

or axillary lymph node dissection (ALND) are critical in customizing the treatment with adjuvant chemotherapy 31 or radiotherapy, morbidity and complications associated with these surgical procedures are exigent and require an 32 alternate less invasive system of practice. Hence, imaging of breast and axilla via ultrasound and mammogram has 33 already drawn interest for preoperative staging as a part of minimally invasive approaches in the investigation of 34 35 the axilla [8].Magnetic resonance imaging as an adjunct to mammography and ultrasound refines the precision of 36 the estimate of the true magnitude of disease in the affected and contralateral breast. It also improves accuracy 37 of depiction of multicentric disease and sonographically occult disease in axilla [9]. Recent researches have shown important imaging predictors of AXLN metastasis, i.e. tumor size, tumor 38

Recent researches have shown important imaging predictors of AALN metastasis, i.e. tumor size, tumor quadrant, local invasion status, pathologic type, and molecular subtypes. [8][9][10][11][12][13]. In this retrospective study, we have evaluated the value of using the B ultrasound and mammographic features of primary breast malignancy to predict AXLN in patients with known biopsy proven breast cancer. We also determined the impact of demographic factors and various histopathological features of breast cancer on axillary lymph nodes metastasis.

44 **2** II.

45 **3** Material and Methods

⁴⁶ 4 a) Study population

47 This study was carried out at the Radiology Department of Sindh Institute of Urology and Transplantation

48 (SIUT) Karachi. The requirement of individual informed consent for this descriptive retrospective study was 49 waived by our institutional ethics committee.

50 5 b) Inclusion criteria

51 Pertinent information was collected from ultrasound and mammogram images.

⁵² 6 d) Ultrasound

All breast and axillary ultrasound examinations were not done under research study settings and were carried out

54 according to the standard of care protocol, in accordance with ACR practice parameters for the performance of a 55 breast ultrasound examination (revised 2016) [14] as a routine practice of our breast cancer unit. For sonography,

⁵⁶ Canon Xario 200 was used, with frequency of 14 MHz. Every ultrasound examination included both breasts and

57 both axillary regions.

⁵⁸ 7 e) Digital Mammography

59 All mammograms were done with Selenia Dimensions 3D Digital Mammography Tomosynthesis System (Hologic,

60 Bedford, MA, USA). Images were reviewed on high-resolution workstations. These were performed according to

ACR practice parameters for the performance of screening and diagnostic mammography (revised 2018) [15]. Two

62 standard views (craniocaudal CC and mediolateral oblique MLO) were performed as per usual for all patients.

63 Accessory views were taken where required.

⁶⁴ 8 f) Ultrasound and Digital Mammogram Assessment

All ultrasound and mammogram images were reviewed by 1 of 3senior consultant radiologist in breast imaging with at least 5 years of experience each, in breast imaging. In the case of discrepancies, aconsensus was reached after discussion. The sonographic and mammographic characteristics of the breast carcinomas and axillary lymph nodes were evaluated based on the standard criteria of Breast Imaging Reporting and Data System (BIRADS). For mammogram, the features that were assessed were enumerated in Table 2 and Table 3.For ultrasound,

⁷⁰ thefeatures of primary breast malignancy and axillary lymph nodes were itemized in Table 2 and Table 4.

Data on patient's demographics and tumor characteristics, diagnostic work-up, and histopathological outcome of the axillary lymph nodes were retrospectively collected. Tissue sampling ofaxilla was performed in case of suspicious axillary lymph node(s). It was done either by core needle technique by using 18 gauge core needle, or by FNAC (when core needle biopsy was technically difficult).

Mammography has lower sensitivity for imaging of axilla because most of the axilla is pushed out of the image field after compression, even in dedicated axillary tail views of mammogram. Ultrasound is reported to be convincing examination used routinely in evaluation of lymph node involvement [4], therefore most suspicious looking lymph node on the basis of ultrasound features was selected for tissue sampling.

⁷⁹ 9 g) Biomarker status of the breast mass

Histopathological evaluation was done to assess morphological type of breast cancer and immunohistochemical
analysis was also done on breast mass to assess ER, PR, Ki-67 index, and Her-2 neu expression. ER and PR were
regarded as positive if at least 1% of the tumor nuclei were positively stained [10]. An additional fluorescence
in situ hybridization (FISH) was analyzed to detect Her-2 positivity with scores of 2 or higher. Scores of 1 or 0
were defined as Her-2 negative [10]. Ki-67 index >14% was considered as high and <14% was considered as low

85 expression.

⁸⁶ 10 h) Statistical analysis

All the data was entered and analyzed in SPSS version 22.0. Mean and standard deviations were computed for
continuous variables and categorical variables were presented as frequency and percentages and their comparison
was done using bivariate Chisquare test. Multivariate binary logistic analysis was used to quantify the relative
contribution of each imaging feature. P-value <0.05 was considered as significant. Odds ratios and confidence

91 intervals were recorded for predictors of AXLN metastases.

92 11 III.

93 12 Results

⁹⁴ 13 a) Demographics and AXLN metastases: (Table1)

In our data set, the median age of patients were 48.0 (+/-11.3 S.D), out of which the majority of cancers were detected in 20-45 years of age. Among 354 patients (n=220, 62.1%) have axillary lymph node metastases (AXLN) metastases. (n=134, 37.9%) have biopsy proven benign lymph nodes. On ultrasound axilla (n= 144) show benign morphology. Among these benign looking lymph nodes (n= 66, 45.8%) turned out be malignant. (n= 78, 54.2%) share same benign morphology on histopathology as well. (n=148) are of intermediate suspicion. Among these (n= 118, 79.7%) were malignant and (n = 30, 20.3%) were benign. Among (n=62) malignant looking lymph nodes (n=36, 58.1%) were truly malignant on biopsy.

Most common complaint was lump (n=322), followed by pain (n= 20), both lump and pain (n= 6) and nipple discharge (6). Patient's age, complaints, side of tumor, family history and menopausal status did not show correlation with AXLN status. 5)

In our study grade of invasive breast cancer and lymphovascular invasion (LVI) showed positive correlation with the presence of AXLN metastases. All grade II and III tumors (n= 28) and (n= 172) respectively, are associated with malignant LNs. On the contrary, grade I tumors (n=154) showed (n= 134, 87%) non-malignant lymph nodes. LVI is also directly proportional to the presence of metastases. Tumors that showed LVIare (n=242). Among these (n=170, 70.2%) have AXLN metastases. On the other hand, luminal subtypes, Ki-67 and Her-2neu show no positive linkage. IV.

111 **14 Discussion**

We studied prediction of AXLN metastasis in patients with known biopsy proven breast cancer, using ultrasound and mammographic features of primary breast malignancy. We also determined the impact of demographic factors and various histopathological features of breast cancer on AXLN metastasis. We studied that size and margins of the mass on imaging, posterior features of the mass on ultrasound and involvement of skin of breast strongly correlates with AXLN metastases. Breast density on mammogram also showed positive trend. Histopathological factors that showed strong correlation with presence of AXLN metastases are tumor grade and LVI.

T stage of invasive breast cancer is represented by tumor size. It is most simple yet principal predictor for AXLN metastasis. Our study also established this finding that large tumor size ($p = \langle 0.01 \rangle$) has higher incidence of metastatic involvement of axilla [6]. This finding is in accordance with previous study showing that increase in tumor size has direct relationship with AXLN metastasis ??13] [16] [17]. This implies the importance of early and timely detection of breast cancer [4].

123 Another important predictor of AXLN metastases are shape and margins of tumor. Our study showed 124 borderline significance with shape of the tumor mass. Association of irregular shape and margins of tumor with AXLN metastases have been established in previous studies as well [5]. The shape of a tumor has some specific 125 growth patterns, which makes it an important predictor of metastatic spread. Irregular shape of malignant 126 tumors represent an infiltrative growth style, which is also fast growing in nature. This rapid growth pattern 127 predisposes cancer cells to penetrate surrounding tissues, including blood vessels and lymphatic leading to axillary 128 lymph node metastasis. Conversely, round shape of the tumor is believed to be slow growing and have benign 129 propensity [5]. 130

In our study, along with the size, irregular shape and irregular margins of invasive breast cancer, another 131 important tumor characteristic serving as a predictor of LN involvement in axilla is posterior acoustic feature on 132 133 ultrasound. Posterior acoustic shadow thought to arise from stromal fibrosis and desmoplastic reaction and it is a well-known feature of malignant breast masses. We postulate that relatively longer growth pattern of these 134 tumors with posterior acoustic shadowing represent a higher risk of AXLN involvement [6] [13] [18]. Multivariate 135 logistic regression analysis done in our study, showed strong interrelationship of tumor size 2-5 / 5cm, along with 136 posterior acoustic shadowing and irregular margins/shape of the tumor with malignant AXLN. [Figure 1 (a) 137 (b)]. In our study no correlation is found with mass boundary and orientation on sonography, however Luo et.al 138 [1] proposed that lesion boundary and Wang et.al. [17] documented that vertical orientation was independently 139 associated with malignant AXLN. 140

We found out that skin changes are independent predictors of malignant status of AXLN. Multivariate analysis 141 of diffuse thickening of skin alone, along with diffuse thickening and blurring of subcutaneous fat displayed 142 convincing positive association. Invasive breast carcinomas are known to demonstrate secondary signs of skin 143 changes in involved breast. It can present either as direct focal retraction and adhesion of tumor with the overlying 144 145 skin, or in more generalized thickening, commonly associated with presence of edema in the breast parenchyma. 146 Edema and subcutaneous fat layer blurring are caused by the distension of blood vessels and lymphatics in the breast [19]. Some studies also showed correlation of AXLN metastases with distance of tumor from the 147 148 skin [20]. Lymphovascular invasion (LVI) is another dominant histopathological predictor of AXLN metastasis. Our study also showed LVI to be an independent risk factor. Presence of lymphovascular tumor emboli is a 149 wellfounded indicator for distant metastasis and overall survival in breast cancer [19]. LVI and presence of 150 AXLN metastases can also be correlated with the presence of diffuse thickening and blurring of subcutaneous 151

fat on mammograms. [Figure ?? (a) (b)]. We also studied positive trend of high grade tumors with malignant AXLN. High histopathological grade represents invasiveness and are proven to be associated with more advance and aggressive disease, and showed link with extensive malignant nodal disease in axilla [1] [16] [17] [21].

We did not recognize association of morphological type of breast cancer, immunohistochemical (IHC) subtypes, Ki-67 and Her-2 status with axillary nodal status. Similarly, Shaikh S [18] had studied sono-mammometry score in prognostication of IHC subtypes of breast carcinomas, also documented no association with of IHC subtypes with nodal stage. Contrarily, Li et.al. [6]studied that pathological type of invasive breast cancer, IHC subtype and Her-2 positivity are associated with heavy nodal tumor burdon. Similarly, Luo et.al [1] proposed similar kind of association of IHC markers and Ki-67 with AXLN status.

Breast density and BIRADS also demonstrated positive link with AXLN status. Dense breasts on mammogram 161 are shown to have substantial association with malignant LNs. One explanation of this finding can be related to 162 the younger mean age of our patient's cohort i.e. 48.0 (+/-11.3 S.D). We also speculate that dense fibroglandular 163 parenchyma of the breast on mammogram can lead to delay in the diagnosis of invasive breast carcinomas 164 and therefore show positive trend towards AXLN metastases. The ACR-BIRADS score is also a well approved 165 reporting method to anticipate invasiveness of tumors. In our study BIRADS V show more significant association 166 with positive AXLNs than BIRADS IV, which points towards increasing aggressive behaviour with increase in 167 168 BIRADS score [6].

In our study morphology of axillary lymph nodes on axillary ultrasound is strongly associated with metastatic nodal status. Lartigue et.al [22] had showed ultrasonography to be an effective modality for the detection of LN metastases. There are some suggested imaging parameters for malignant lymph nodes, which is a separate wide research area and out of the scope of this study. [Figure 3(a)(b)].

Our study has some limitations. First, our study included small numbers of patients with retrospective evaluation of data. Real time verification on ultrasound was missing. Prospective studies and increasing the sample size are needed to authenticate these results.

Strength of our study, however, includes histopathological correlation of all axillary LNs, either by percutaneous
 biopsy, FNAC or SNLBX.

178 V.

179 15 Conclusion

Breast cancer is a heterogeneous group of disease. Early and timely detection of breast cancer has significant 180 impact on prognosis related with this disease process. The prognosis of patients with invasive breast carcinomas 181 can also be predicted by numerous factors in which identification of metastasis in axillary lymph nodes has 182 important role. Sentinel lymph node biopsy, although reliable and established method in invasive breast cancer 183 for the assessment of axillary status, there is always a need for minimally invasive examination method with less 184 185 morbidity and less physical damage. Predictors of axillary lymph node metastasis by imaging characteristics of 186 primary breast masses and its associated features provide insightful results and can become standard of care for 187 assessment of axillary status.



Figure 1:



Figure 2: Figure 1 (



Figure 3: Figure 1 (Figure 2



Figure 4: Figure 2 (Figure 3



Figure 5: Figure 3 (

| 1 | |
|---|--|
| Т | |
| | |

| Demographics | Total Metastatic *AXLN (n | | Non-metastatic | p- |
|---------------------|---------------------------|------------------------|-----------------------|--------|
| | (n = 354) | = 220) (%) | *AXLN (n =134) $(\%)$ | value |
| Age | | | | |
| 20 -45 | 162 | 102~(63.0%) | 60~(37.0%) | |
| 46 -50 | 52 | 34~(65.4%) | 18 (34.6%) | 0.7 |
| 50 above | 140 | 84~(60.0%) | 56~(40.0%) | |
| Complaints | | | | |
| lump | 322 | 204~(63.4%) | 118 (36.6%) | |
| lump and pain | 6 | 4 (66.7%) | 2(33.3%) | |
| nipple discharge | 6 | 4 (66.7%) | 2(33.3%) | 0.09 |
| Only pain | 20 | 8 (40.0%) | 12~(60.0%) | |
| Side | | | | |
| Right | 182 | 118~(64.8%) | 64 (35.2%) | 0.2 |
| Left | 172 | 102~(59.3%) | 70 (40.7%) | |
| Family History | | | | |
| Yes | 122 | 70(57.4%) | 52~(42.6%) | 0.1 |
| No | 232 | 150(64.7%) | 82~(35.3%) | |
| Lactation history | | | | |
| Yes | 282 | 168~(59.6%) | 114 (40.4%) | 0.04 |
| No | 72 | 52(72.2%) | 20 (27.8%) | |
| Menopause | | | | |
| Yes | 182 | 118~(64.8%) | 64 (35.2%) | 0.2 |
| No 172 | | 102(59.3%) | 70 (40.7%) | |
| AXLN on ultrasound | | | | |
| Benign Intermediate | 144 | 66~(45.8%)~118~(79.7%) | 78~(54.2%)~30~(20.3%) | < 0.01 |
| suspicious | 148 | | | |
| Malignant | 62 | 36~(58.1%) | 26~(41.9%) | |
| AXLN = Axillary | | · · | · · · | |
| lymph nodes | | | | |

Figure 6: Table 1 :

$\mathbf{2}$

| Total | Metastatic *AXLN | Non-metastatic | p- | |
|--------------------------|--|---|---|--|
| (n=354)(n=220) (%) | | *AXLN (n= 134) (%) | value | |
| | | | | |
| 22 | 8 (36.4 %) | 14~(63.6%) | | |
| 188 | 96~(51.1%) | 92~(48.9%) | 0.00 | |
| 144 | 116~(80.6%) | 28~(19.4%) | | |
| | | | | |
| 28 8 | 12 (42.9%) 4 (50.0%) | 16 (57.1%) 4 (50.0%) | 0.06 | |
| 318 | 204 (64.2%) | 114 (35.8%) | | |
| | | | | |
| $6 \ 33$ | $0\ (0.0\%)\ 18\ (54.5\%)$ | 6 (100.0%) 15 (45.5%) | 0.04 | |
| | | | | |
| 315 | 202 (64.1%) | 113 (35.9%) | | |
| | | | | |
| 312 | 192(61.5%) | 120 (38.5%) | 0.4 | |
| 42 | 28 (66.7%) | 14 (33.3%) | | |
| | | | | |
| | | | | |
| 58 | 36~(62.1%) | 22 (37).9% | 0.9 | |
| 296 | 184 (62.2%) | 112(37.8%) | | |
| | | | | |
| 258 | 160(62.0%) 4(40.0%) | 98 (38.0%) 6 (60.0%) | 0.03 | |
| 10 | | | | |
| 70 | 50(71.4%) | 20 (28.6%) | | |
| 16 | 6(37.5%) | 10 (62.5%) | | |
| Skin | | | | |
| 245 | 134 (54.7%) | 111 (45.3%) | | |
| $30 \ 21$ | 16 (53.3%) 12(57.1%) | 14 (46.7%) 9 (42.9%) | < 0.01 | |
| ening | | | | |
| 58 | 58~(100.0%) | 0 (0.0%) | | |
| ring of subcutaneous fat | | | | |
| | Total (n=354 22 188 144 28 8 318 6 33 315 312 42 58 296 258 10 70 16 245 30 21 58 | TotalMetastatic*AXLN $(n=354)(n=220)$ (%)228 (36.4 %)18896 (51.1%)144116 (80.6%)28 812 (42.9%) 4 (50.0%)318204 (64.2%)6 330 (0.0%) 18 (54.5%)315202 (64.1%)312192(61.5%)4228 (66.7%)5836 (62.1%)296184 (62.2%)258160 (62.0%) 4 (40.0%)1050 (71.4%)166 (37.5%)245134 (54.7%)30 2116 (53.3%) 12(57.1%)5858 (100.0%) | TotalMetastatic*AXLNNon-metastatic $(n=354)(n=220)$ (%)*AXLN $(n=134)$ (%)22 8 (36.4 %)14 (63.6%)18896 (51.1%)92 (48.9%)144116 (80.6%)28 (19.4%)28 812 (42.9%) 4 (50.0%)16 (57.1%) 4 (50.0%)318204 (64.2%)114 (35.8%)6 330 (0.0%) 18 (54.5%)6 (100.0%) 15 (45.5%)315202 (64.1%)113 (35.9%)312192(61.5%)120 (38.5%)4228 (66.7%)14 (33.3%)5836 (62.1%)22 (37).9%112(37.8%)112(37.8%)258160 (62.0%) 4 (40.0%)98 (38.0%) 6 (60.0%)107050 (71.4%)20 (28.6%)166 (37.5%)111 (45.3%)245134 (54.7%)111 (45.3%)30 2116 (53.3%) 12(57.1%)14 (46.7%) 9 (42.9%)5858 (100.0%)0 (0.0%) | |

Figure 7: Table 2 :

3

| Imaging findings | Total (n=354 | Metastatic *AXLN)(n=220) (%) | Non-metastatic *AXLN $(n= 134)$ (%) | p- value |
|-----------------------------|-----------------|----------------------------------|---|-------------|
| Breast density | | | | |
| А | 46 | 14 (30.4%) | 32~(69.6%) | |
| В | 124 | 80~(64.5%) | 44 (35.5%) | 0.00 |
| С | 184 | 126~(68.5%) | 58(31.5%) | |
| D | 0 | 0 | 0 | |
| Microcalcifications | | | | |
| None | 282 | 166~(58.9%) | 116 (41.1%) | |
| Within the mass | 60 | 42 (70.0%) | 18(30.0%) | |
| Outside the mass | 2 | 2(100.0%) | 0 (0.0%) | 0.01 |
| Both within and outside the | 10 | 10 (100.0%) | 0 | |
| mass | | | 0.0% | |
| AXLN = Axillary lymph | | | | |
| nodes | | | | |
| | | | | |

Figure 8: Table 3 :

$\mathbf{4}$

*AXLN = Axillary lymph nodes c) Histopathological malignancy and AXLN metastases: (Table

 $characteristic \mathfrak{s} \mathbf{f} \quad \mathrm{breast}$

Figure 9: Table 4 :

$\mathbf{5}$

Year 2023 19

*AXLN = Axillary lymph nodes. *TNBC = Triple negative breast cancer. *Non-TNBNC = Non-triple negative breast cancer. d) Multivariate analysis: (

Figure 10: Table 5 :

6

shadowing and irregular spiculated margins increased also performed multivariate analysis significantly the probability of AXLN being metastatic. by (p=0.01)(OR=2.041)(CI=1.163 - 3.579). Combining combining imaging findings. Irregular spiculated margins of invasive breast carcinoimaging features of diffuse skin thickening with diffuse mas are strongly skin thickening and blurring of subcutaneous fat showed associated with absence of benign LNs. (p=0.03) (OR= 2.086)(CI= 1.067 - 4.077). Combinrelation & Market Strate State Strate significant ing features of tumors, like size of 2-5 / 5cm, poste-(p = < 0.01)(OR = 6.481)(CI = 3.113 - 13.497).rior acoustic

Figure 11: Table 6)

6

Year 2023

| 20 | | | | |
|--|-----------------------|-------|-------|------------|
| Imaging Findings | Outcome variable | p- | Odds | Confidence |
| | | value | ra- | Interval |
| | | | tio | |
| Irregular spiculated margins of v/s oth- | AXLN metastases v/s | .032 | 2.086 | 1.067 |
| ers | AXLN no metastases | | | -4.077 |
| 2-5 / >5 cm mass + posterior acoustic | AXLN metastases v/s | 0.01 | 2.04 | 1.163 |
| shadowing | AXLN no metastases | | | -3.579 |
| 2-5 / >5cm mass + irregular spiculated | AXLN metastases v/s | .002 | 2.438 | 1.382 |
| margins | AXLN no metastases | | | -4.300 |
| 2-5 / 5cm + posterior acoustic shadow- | AXLN metastases v/s | .013 | 2.041 | 1.163 |
| ing + irregular spiculated | AXLN no metastases | | | -3.579 |
| margins | | | | |
| Diffuse skin thickening +Diffuse skin | AXLN metastases v/s | .000 | 6.481 | 3.113 |
| thickening and blurring of | AXLN no metastases | | | -13.497 |
| subcutaneous fat | | | | |
| AXLN = Axillary lymph nodes | | | | |
| | | | | |

Figure 12: Table 6 :

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