

Collective Memory and the Hologenome Concept

Eugene Rosenberg¹

¹ Tel Aviv University

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Abstract

Collective memory requires a shared experience and the deposition of the experience in a manner that can be recalled at a later time. Collective memory can be transmitted orally, stored in writings, films, museums and other memorial sites, and also in our DNA. Recent studies have demonstrated that humans, like all animals, are themselves collectives, consisting of the host and abundant and diverse symbiotic microorganisms. The total DNA of a human, referred to as the human hologenome, consists of about 19,000 host genes and eight million microbial genes. It is now accepted that the microbial genetic information plays an important part in the fitness and evolution of animals and plants. We discuss here how the hologenome, especially the microbial component, interacts with cultural memory and contributes to collective memory. One of the novel points is that the microbial gene pool responds to changes in the environment on the basis of the principle of use and disuse. As such, the microbiome is particularly well-suited to serve as a vehicle for DNA-based collective memory.

Index terms— collective memory, collective unconscious, holobiont, hologenome, lamarckism, globalization, jung.

1 I. Introduction

uring the last few years, fundamental changes have taken place in our understanding of biology, which may be relevant to the concept of collective memory. In particular, it is now clear that all animals, including humans, contain abundant and diverse symbiotic microbes that play an important role in their adaptation, behavior and evolution. The fact that these microbial populations are dynamic and their vast genetic information can change as a function of the environment makes it possible for them particularly well-suited to acquire and store DNA-based memory. Furthermore, these changes in microbial DNA can be transferred horizontally to other members of the community and vertically to offspring. How these symbiotic microbes contribute to certain aspects of collective memory is the subject of this article.

The term "Collective Memory" is widely used in articles in history and sociology. Collective memory discourse began with the work of Emile Durkheim (1858-1917), a French philosopher, sociologist and social psychologist. Although never using the term "collective memory", Durkheim noted that societies require continuity and connection with the past to preserve social unity and cohesion. Maurice Halbwachs (1877-1945), a student of Durkheim, is the first sociologist to use the term "collective memory" and his work is considered the foundational framework for the study of societal remembrance (Halbwachs, 1980). Halbwachs suggested that all individual memory was constructed within social structures and institutions and claimed that individual private memory is understood only through a group context; these groups may include families, organizations, and nation-states. Cultural or social memory is the specific character that a person derives from belonging to a distinct society and culture as a result of socialization and customs (Assmann, 2003).

Carl Jung (1876-1961) used the term "collective unconscious" to describe the broad concept of inherited traits, intuitions and collective wisdom of the past. The collective unconscious, unlike the personal unconscious, is a type of genetic memory that can be shared by individuals with a common ancestor or history. According to Jung, the collective unconscious consists of implicit beliefs and thoughts held by our ancestors

3 III. ACQUISITION OF COLLECTIVE MEMORY VIA CULTURE AND DNA

45 (Lu 2012). While we are not aware of the collective unconscious, it can influence how we act. What Jung
46 termed the collective unconscious or genetic memory may now be referred to as DNA-based memory (Bullock
47 andStallybrass1977).

48 During the last twenty years, new techniques of analyzing DNA have fundamentally changed our understanding
49 of biology (Douglas, 2010). Animals, including humans, can no longer be considered individuals by the classical
50 definitions of the term. All are holobionts, or collectives, consisting of the host and abundant and diverse symbiotic
51 microorganisms (Zilber-Rosenberg and Rosenberg 2008; Rosenberg and Zilber-Rosenberg 2014). Symbiosis-once
52 thought to be a peripheral phenomenon-is the hallmark of life on earth (Gordon, 2012). After reviewing our
53 current understanding of the role of microorganisms in the fitness and evolution of multicellular organisms, we
54 will examine the similarities and differences of collective memory as exhibited by the human genome, the human
55 microbial DNA and cultures, as well as their interactions.

2 II. The Hologenome Concept

57 We are in the midst of a paradigm change in biology. Numerous studies have demonstrated that all animals
58 and plants contain abundant and diverse microbiota. The human body, for example, contains about the same
59 number of microbial cells as human cells (Rosner, 2014). Because the microbial community is composed of several
60 thousand different species of bacteria, the genetic information encoded in the microbiome (eight million unique
61 genes)is more than 400times greater than the information in the human genome (19,000 genes) (Ezkurdia et
62 al., 2014). The microbial symbionts contribute to the anatomy, physiology, development, innate and adaptive
63 immunity, behavior, genetic variation and evolution of holobionts (Zilber-Rosenberg and Rosenberg 2008; Round
64 et al., 2010;Gilbert et al., 2012;McFall-Ngai et al., 2013). As we shall reveal in this article, the DNA of the
65 microbiota in addition to the human genome contributes to collective memory.

66 Microbial symbionts can be transmitted with fidelity from parent to offspring by a variety of methods, including
67 cytoplasmic inheritance, coprophagy (consumption of feces), direct contact during and after birth, and via the
68 environment (Rosenberg and Zilber-Rosenberg 2014). In humans, most of the colonization of the newborn
69 gut occurs when the baby transits the birth canal via inoculation by maternal vaginal and fecal microbes.
70 Furthermore, human breast milk has been shown to be a continuous source of bacteria to the infant gut
71 (Fernández et al., 2013). The hologenome concept of evolution posits that the holobiont (host + symbionts) with
72 its hologenome (host genome + microbiome) is an important unit of selection in evolution (Zilber-Rosenberg and
73 Rosenberg 2008). Consideration of the holobiont as a unit of selection brings forth previously under-appreciated
74 patterns of genetic variation (changes in the hologenome). In fact, acquisition of microbes and microbial genes
75 is a powerful mechanism for driving the origin of species and evolution of complexity. In essence, holobionts are
76 collectives and evolution proceeds both via cooperation and competition, going hand in hand.

77 In considering the role of DNA in collective memory, it is necessary to separate the hologenome into two parts:
78 (i) the human genome, which consists of 19,000 genes located on the 23 pairs of chromosomes, and (ii) the human
79 microbial genes, which consists of 8,000,000 genes and is present in the thousands of different species of microbial
80 symbionts, mostly in our gut. Genetic variation in the human genome results from mutations, which are random
81 events that occur rarely. Genetic variation in the human microbiome, however, can occur rapidly in response to
82 changes in the environment (Rosenberg and Zilber-Rosenberg 2016). Accordingly, the microbiome is particularly
83 well-suited to serve as a vehicle for DNA-based collective memory.

3 III. Acquisition of Collective Memory via Culture and dna

84 Both cultural and DNA-based memories can be gained or lost. Acquisition of collective memory requires a shared
85 experience and the deposition of the experience in a manner that can be recalled at a later time (Gintis, 2011).
86 An example of a recent cultural memory is the Holocaust, a genocide in which approximately six million Jews and
87 five million non-Jews were killed by Adolf Hitler's Nazi regime and its collaborators. The Holocaust experience
88 has been documented in personal accounts, historical writings, films and museums. In addition, an annual
89 International Holocaust Remembrance Day is observed. As is often the case, different groups share divergent
90 versions of the event, as is evident from the foci of various National Holocaust Museums (Rotem, 2013).

91 A classic example of host gene-culture coevolution is the consumption and digestion of milk. A major source
92 of carbon and energy in milk is the disaccharide lactose. For lactose to be utilized, it must first be split into
93 monosaccharides by the enzyme lactase. The enzyme is abundant in infants, but the activity of the enzyme is
94 dramatically reduced after weaning (Swallow, 2003). When adult humans first began consuming milk and milk
95 products from domesticated animals in central Europe approximately 10,000 years ago, they could not digest
96 lactose. Genes that allowed for the digestion of lactose, referred to as lactase persistence genes (Gerbault, 2011),
97 evolved and eventually spread among milk-drinking peoples. Current estimates for the age of lactase persistence-
98 associated alleles bracket those for the origins of animal domestication and the culturally transmitted practice of
99 dairying. Cultures that traditionally do not consume milk products, such as Australian Aborigines, Japanese, and
100 Native Americans, have extremely high rates of lactose intolerance. There are many examples of cultural practice
101 driving human evolution (Rowley-Conway and Layton 2011) but none are so well studied, clear-cut, widespread
102 and well supported as the coevolution of different biological species. The term holobiont, introduced by Margulis
103 (1991), describes a host animal or plant and all of its symbiotic microorganisms, including Bacteria, Archaea,
104

105 fungi, algae and viruses. The term "host" is used here in the classical sense to denote the larger, multicellular
106 organism in or on which the symbionts reside. Zilber-Rosenberg and Rosenberg (2008) introduced the term
107 hologenome to describe the sum of the genetic information of the host and its symbiotic microorganisms. The
108 aggregate of all microorganisms of a holobiont is known as the microbiota or microbiome, a term coined by
109 Lederberg and McCray (2001). lactase persistence and dairying (Holden and Mace 1997).

110 In European populations, a single mutation explains the distribution of the lactose persistence phenotype,
111 whereas different point mutations are associated with it in Africa and the Middle East. It should be pointed
112 out that the mutation does not result in a novel lactase but rather in an enhancer region of the existing lactase
113 gene (Harvey, 1995). Lactose persistence is readily explained by Neo-Darwinian variation by mutation followed
114 by Darwinian selection. As we shall discuss below not all gene variation results from mutation of the human
115 genome and not all cultural evolution involves individual selection.

116 As an example of collective memory that is DNA-based but did arise from mutation of the human genome
117 consider the use of agar in Japanese cuisine. Agar is a complex polysaccharide found in seaweed, which forms
118 the supporting structure in the cell walls of certain species of algae. Throughout history into modern times,
119 agar has been used as a food ingredient in Japan and throughout Asia. Foods that contain agar include
120 wagashi, a dessert made of small cubes of agar jelly, mizuy?kan, another popular Japanese food, and sushi.
121 The techniques for preparing these foods have been passed down from generation to generation and constitute
122 part of the Japanese cultural collective memory. Tax records from the eighth century list seaweed as payment to
123 the Japanese government, showing that it had an important role in Japanese culture (Nisizawa et al., 1987).

124 Interestingly, the Japanese also have acquired and retained in their DNA the ability to digest agar, because
125 they have a bacterium in their gut that contains a gene that codes for the enzyme agarase (an enzyme that breaks
126 down agar). Westerners lack this bacterium and cannot digest agar. The question then arises of how the agarase
127 gene was acquired by the Japanese gut bacteria. The source of the gene was traced to a marine bacterium that
128 was present on the dietary seaweed. However, this marine bacterium cannot survive in the human gut. DNA
129 analysis showed that the agarase gene was horizontally transferred from the marine bacterium to a resident gut
130 bacterium and became part of the hologenome of the Japanese (Hehemann et al., 2010). Until recently it was
131 accepted that biological (DNA) memory was altered only by the random process of mutation. However, when
132 considering the microbiota, it is clear that biological memory can also be changed by experience. When a person
133 eats a particular food, those specific bacteria which can multiply on that food will amplify. At some later time
134 when the person is again exposed to that food, the bacteria will rapidly degrade the food.

135 Each person possesses their own personalized fingerprint of gut microbiota (Faith et al., 2013), which includes
136 a core microbiota of ca. 100 species which are common to all humans (part of the collective memory of the
137 human species), hundreds of microbial species that are common to a particular culture (Yatsuneneko et al. 2012),
138 and thousands of microbial species that are present in a combination unique to each individual. Some strains of
139 symbiotic bacteria are so well conserved within cultural groups that they can be used as a window into human
140 migration (Yamaoka et al., 2009). In particular, the stomach bacterium *Helicobacter pylori* has been used as a
141 marker of ancestry and migration (Dominguez-Bello and Blaser 2011). For example, an American whose great-
142 great-grandmother came from Japan still contains the Japanese strain of *H. pylori*. The reduction of genetic
143 diversity among humans as distance from East Africa is mirrored by the genetic distances between *H. pylori*
144 strains circulating among human populations. Such parallelism is consistent with co-evolution of bacteria and
145 their human hosts since their exodus from Africa.

146 Mice experiments have demonstrated that gut microbiota not only is involved in digestion of food but also
147 affects the brain and behavior (Heijtz et al., 2011). Germ-free mice (born and grown under sterile conditions)
148 are more active and spend more time scurrying around their enclosures than conventional mice. They are
149 also less anxious and more likely to take risks, such as spending long periods of time in bright light or open
150 spaces, compared to the normal mice. Inoculating the gut microbiota from healthy mice into germ-free baby
151 mice caused them to behave in the "normal" cautious way. If sterile adult mice were inoculated with the gut
152 bacteria, their behavior did not change, suggesting that the microbiota affect the early development of the brain
153 that subsequently influences adult behavior (Foster and Neufeld 2013). There appears to be a critical window
154 during development when the microbiota influence the central nervous system wiring related to stress-related
155 behaviors. The data suggest that during evolution, the colonization of gut microbiota has become integrated into
156 the programming of brain development, affecting motor control, anxiety-like behavior and probably many other
157 behaviors.

158 How do gut bacteria affect the brain? To begin with, the long branching vagus nerve transmits information
159 about what happens in the gut to the brain. But the bacteria also signal the brain via changing levels of dietary
160 metabolites and hormones (Shaw, 2010). Hormones, by definition, can affect parts of the body over long distances.
161 For example, blood plasma levels of the neurotransmitter serotonin were 2.8-fold higher in conventional mice than
162 germ-free animals (Bercik et al., 2011). With regard to physical and psychological stress, the interaction of gut
163 bacteria with the brain is bidirectional. Stress can affect the composition of intestinal microbiota, and as was
164 discussed above commensal microbes affect the neural network responsible for controlling stress responsiveness
165 (Sudo et al., 2004).

166 Because learning about situations that are necessary for survival of a species is probably saved as a kind of
167 unconscious genetic memory, some of these fundamental human experiences could be somewhere in our DNA.

168 Consider that one of your ancestors had a very bad experience with fire. Such an experience, resulting in
169 knowledge useful for survival, could possibly be encoded in the hologenome and passed on to future generations.
170 In the fields of human genetics and microbiota so much is not known, especially regarding the functions of non-
171 coding DNA (Mercer, 2009) that for an open-minded person, theories about deep DNA memories cannot be
172 ruled-out.

173 4 IV. Loss of Collective Memory

174 Cultural and DNA-based collective memories can be lost if they are not used. Many languages have completely
175 disappeared because of processes associated with colonization. For example, of the 250 Aboriginal languages
176 that existed in Australia, only 60 remain (Amano, 2014), and of the more than 300 different languages that were
177 spoken in North America when the Europeans first arrived, only 91 are still spoken (Braun, 2008). When a
178 language becomes extinct, it can take along with it much of the history and culture of the people who spoke it.

179 DNA information can be lost by two general mechanisms: mutation and loss of microbiota. Mutation is a low
180 frequency random event. If the mutation leads to the loss of a function, the mutation will be selected for if it
181 benefits the organism. In the example we discussed above, a mutation in the gene that codes for agarase in the
182 Japanese gut microbiota will be selected for if the Japanese person does not eat food that contains agar because
183 the bacterium does not bear the burden of producing a useless enzyme. This is a very slow and inefficient method
184 of changing DNA information.

185 Unlike chromosomal DNA, the microbiome is flexible and able to be easily modified to respond to altered
186 circumstances or conditions, such as lifestyle and dietary patterns (Mueller et al., 2006). Changes in the microbiota,
187 driven by the environment, can result in rapid gain or loss of DNA memory. Consider again the agar-digesting
188 microbe in the Japanese gut. If seaweeds were removed from their diet, the microbe could not compete with
189 other microbes in the gut and would soon be depleted, resulting in loss of the DNA memory to consume agar.
190 In general, sustained alteration in the diet leads to gain or loss of certain microbes from the gut.

191 In modern Western cultures, microbes are lost as a result of improved sanitation and living conditions,
192 overzealous antimicrobial therapy, delivery by caesarean section, and formula-feeding infants. All of these
193 practices prevent acquisition of beneficial symbionts, which have evolved to participate in the metabolism and
194 health of human holobionts. Loss of these beneficial microbes predisposes individuals to metabolic diseases
195 (Blaser and Falkow 2009), susceptibility to allergic and autoimmune diseases (Penders et al., 2006), and may
196 help explain the rise in obesity and related syndromes (Musso et al., 2010).

197 V. Jung's Theory of Collective Unconscious is Compatible with the Hologenome Concept

198 Like Freud, Jung emphasized the importance of the unconscious in relation to personality. However,
199 Jung proposed that the unconscious consists of two layers (McLeod, 2014). The first layer called the personal
200 unconscious is essentially the same as Freud's version of the unconscious. The personal unconscious contains
201 temporality forgotten information and well as repressed memories. The second layer and the most important
202 difference between Jung and Freud is Jung's concept of the collective unconscious. This is a level of unconscious
203 shared with other members of the human species comprising latent memories from our ancestral and evolutionary
204 past (Jung, 1953). Jung called these ancestral memories and archetypes.

205 Jung drew an analogy between instinct and archetype. The fact that instinctive behavior is a genetic (DNA)
206 property of animal species is well documented (Tinbergen, 1951). It follows that DNA has the potential
207 of being the reservoir of the archetypal symbols of the collective unconscious. The contentious question is
208 how instinctual behavior and collective memory is obtained. According to Jung it was obtained by common
209 experiences according to Lamarckian principles: i. Use and disuse -individuals lose characteristics they do
210 not use and develop characteristics that are useful. ii. Inheritance of acquired characteristics -individuals
211 transmit acquired characteristics to offspring. Jean-Baptiste Lamarck, a renowned French botanist, zoologist
212 and philosopher of science, published in 1809 his book *Philosophie Zoologique* (discussed in Burkhardt, 1972),
213 describing environmentally induced changes that were then passed on to future generations. Interestingly, Darwin
214 believed, as did Lamarck and many others at the time, that an organism can transmit traits it acquired during its
215 lifetime to its offspring. But with the advent of Neo-Darwinism at the beginning of the 20th century, Lamarckism
216 and, by association, Jung's concept of collective unconscious were discredited and largely ignored. There were
217 two major scientific arguments for rejecting Lamarckism. and that germ cells cannot be affected by anything
218 somatic cells of the body acquire during their lifetime (Weismann, 1893). Second, Mendelian genetics considers
219 that variation, the raw material for Darwinian evolution, occurs by random mutations in the population.

220 Since the 1980s, Lamarckism is being reconsidered with growing interest by mainstream evolution thought
221 (Gould, 1999). It is now clear that environmental factors affect epigenetic inheritance systems that include DNA
222 methylation, self-sustaining feedback loops, prions, chromatin-marking and RNA interference. Taken together
223 these mechanisms include the inheritance of changes that are not DNA sequence based and therefore argue for
224 withdrawal from the strict genotype-phenotype separation dogma of Neo-Darwinism (Jablonka and Lamb 2014).

225 Until recently it was accepted that biological (DNA) memory was altered by the random process of mutation of
226 genes. However, consideration of the hologenome, namely the hostgenome combined with that of its microbiota,
227 brings forth two additional modes of genetic variation which are specific to the holobiont and which conform to
228 Lamarckism (Rosenberg et al., 2009). The first is microbial amplification, the increase of one group of microbial
229 symbionts relative to others which can occur when conditions change. An increase in the number of a particular

230 microbe is equivalent to gene amplification. Considering the large amount of genetic information encoded in the
231 diverse microbial population of holobionts, microbial amplification can be a powerful mechanism for adapting to
232 changing conditions. Examples of environmental factors that can lead to changes in the symbiont population
233 and thereby to variation in the hologenome are nutrient availability (Flint et al., 2007; Martens et al., 2008),
234 temperature (Buddemeier et al., 2004; Koren and Rosenberg 2006), and antibiotics (de la Cruz and Davies 2005).

235 Another mechanism for introducing variation into holobionts is acquisition of new symbionts from the
236 environment. Animals and plants come in contact with billions of microorganisms during their lifetime. It is
237 reasonable to assume that occasionally, as a random event, some of these microbes will find a niche and become
238 established in the host. Under the appropriate conditions, the novel symbionts may become more abundant and
239 affect the phenotype of the holobiont. Unlike microbial amplification, acquiring new symbionts can introduce
240 entirely new genes into the holobiont. Microbial amplification and acquisition of novel microbes into holobionts
241 closely fit the Lamarckian first principle of 'use and disuse'. The holobiont loses characteristics (microbes) it does
242 not use and gains characteristics (microbes) that are useful. These acquired microbes can be transmitted to off
243 spring, thus satisfying the second principle of Lamarckism.

244 5 VI. Globalization and the Future of Collective Memory

245 Globalization refers to all those processes by which all the peoples of the world are incorporated into a single world
246 society. Present media theorists sometimes link the notion of collective consciousness to signal the internet as a
247 major intermediary in the creation of a truly global society. The Slovenian philosopher Slavoj Žižek described the
248 consciousness of Internet culture as 'this neo-Jungian idea that we live in an age of mechanistic, false individualism
249 and that we are now on the threshold of a new mutation. We all share a collective mind.' Globalization is not
250 limited to Internet usage, but takes many forms.

251 Financial globalization is the integration of a country's local financial system with international financial
252 markets and institutions. Large numbers of people are moving rapidly to distant locations, e.g., the recent mass
253 migration of people from the Middle East and Africa to Europe. Food developed in one country soon becomes
254 worldwide, e.g., coca cola and McDonald hamburgers. Similarly, sushi from the Far East is now consumed in the
255 West. Globalization also has political, social, cultural and ideological aspects. It invades all aspects of our being,
256 for better or for worse, in ways that were unimaginable only a few decades ago.

257 Collective memory is subject to both remembering and forgetting, suddenly and gradually (McBride,
258 2001). What we remember and what we forget is to a greater or lesser extent shaped by our social environment.
259 The act of remembering goes on inside our heads but not independently of the social relations of which we are
260 a part. Pieterse (2009) argues that globalization is a process of hybridization which gives rise to global *mélange*.
261 For example, Pieterse explains how Turkish motifs were used in operas by Mozart, and American blues music
262 reflects African Muslim origins. However, globalization is also a major contributing force in conflicts, such as
263 the current violent confrontation between fundamental Islam and the West. In short, globalization results in
264 numerous outcomes, including loss, gain and hybridization of collective memory and leads to both cooperation
265 and competition.

266 Not only cultural memory but also DNA-based memory is affected by globalization. For example, the spread
267 of Western diet and excessive hygienic practices has resulted in a loss of diversity in gut microbiota (Ley et
268 al., 2008). The increasing role of industrial food in our alimentation is generating a globalization of our gut
269 microbiota that may influence our health (Raouf, 2010). The increased movement of people and goods (part
270 of globalization) has contributed to pandemics of infectious diseases caused by bacteria and viruses. It is also
271 likely that there have also been pandemics of One of the dangers of globalization is loss of diversity, both
272 cultural and DNA based. Biology has taught us that genetic diversity has a direct relation to the fitness and
273 survivability of species and populations; as genetic diversity decreases within a population, so does the fitness
274 and survivability of that population. Genetic diversity in human holobionts involves variability in the human
275 genome and microbiome. Genetic diversity is important because the more variability there is within the species,
276 the higher the likelihood that at least some of the individuals will be able to survive a major disturbance, such
277 as a highly virulent emerging disease (Tishkoff and Verrelli 2003). The same arguments can be made for cultural
278 diversity. A diversity of cultures, expressing different visions of the world, provides a powerful resource for
279 innovation (Nathan and Lee 2013), collaborative problem-solving (Page, 2008) and adaptation to a changing
280 environment (Crisp and Turner 2011). In conclusion, based on the hologenome concept, we present for the first
281 time the potential of the microbiome to serve as a vehicle for collective memory. This hypothesis is supported by
282 the fact that the microbiome responds to the environment, that changes in the microbiome are transmitted to
283 offspring and that behavior is influenced by the microbiome. What particular parts of the DNA-based collective
284 memory resides in the human genome and the microbiota remains to be determined. ¹

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