- <sup>1</sup> Factors Influencing the Timing of the First HIV Virological Test
- <sup>2</sup> for HIV Exposed Infants; A Cross Sectional Descriptive Study of
- <sup>3</sup> HIV Positive Breastfeeding Mothers and their Infants in 10
- <sup>4</sup> Selected High-Volume Health Facilities in a Rural District in

Western Uganda

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## 9 Abstract

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Introduction: Although Option-B plus has registered tremendous success in the Prevention of
Mother to Child Transmission (PMTCT) of HIV, the failure to follow the HIV testing
algorithm for HIV Exposed Infants (HEIs) after birth is likely to make achieving zero new
HIV infections among children unrealistic. Due to this, we sought to determine the factors
affecting uptake of first Polymerase Chain Reaction (PCR) test among HEIs to inform the
selection of strategies to strengthen Early Infant Diagnosis (EID), an indicator that tracks
progress towards achieving zero new HIV infections in children.

<sup>18</sup> Index terms— HIV virological test, PCR HIV test, HIV exposed, infants, western uganda

tremendous success in the Prevention of Mother to Child Transmission (PMTCT) of HIV, the failure to follow 19 the HIV testing algorithm for HIV Exposed Infants (HEIs) after birth is likely to make achieving zero new HIV 20 infections among children unrealistic. Due to this, we sought to determine the factors affecting uptake of first 21 Polymerase Chain Reaction (PCR) test among HEIs to inform the selection of strategies to strengthen Early 22 23 Infant Diagnosis (EID), an indicator that tracks progress towards achieving zero new HIV infections in children. 24 Methods: This was a cross-sectional descriptive study conducted among 323 randomly selected HIV positive mothers and their HEIs receiving PMTCT services at10 selected ART accredited health facilities in western 25 Uganda from 19 th July to 19 th August 2018. Data was collected using a questionnaire; HEIs, whose PCR test 26 was taken within two months, and those after two months of birth were all randomly selected and included in this 27 study. We used descriptive statistics to understand characteristics of HEIs and their mothers, and multivariable 28 logistic regression model to obtain factors associated with first PCR testing among HEIs. Data were analyzed 29 using SPSS version 20. 30

Results: Slightly more than half (54.2%) of HEIs had their first PCR test done after two months of birth. HEIs born to HIV positive mothers with more than three children were less likely to have their first PCR test within two months after birth (AOR = 0.47, CI= 0.318-0.789, p = 0.01), those whose mothers travel a distance less than 5 kilometers to the nearest health facility (AOR = 6.22, CI=4.223-9.865, p = 0.036) were more likely to have their PCR test within two months after birth, and those whose mothers were not informed about testing their HEIs for HIV within two months by the health worker (AOR = 0.39, CI=0.208-0.965, p = 0.042) were less likely to have the first PCR test within two months of birth.

Conclusions: we found out that slightly more than half of HEIs had their first PCR test done more than two months after birth. We recommend the implementation of policies fostering small families for HIV positive women, taking ART services closer to the people through outreaches and health workers informing HIV positive mothers about the correct timing for HIV testing of HEIs.

<sup>42</sup> Keywords: HIV virological test, PCR HIV test, HIV exposed, infants, western uganda.

## 43 **1 I.**

Background lobally, about 36.9 million people were living with the Human Immunodeficiency Virus (HIV) in 44 2017;70% of these were residing in sub-Saharan Africa [1]. About 1.8 million people in the same year were 45 newly infected, and of these, 180000 were children [1]. About 90% of children acquire HIV from their mothers 46 during pregnancy, delivery, and breastfeeding [2]. However, the introduction of Option B-plus where HIV positive 47 mothers are started on lifelong ART as early as possible in pregnancy and throughout breastfeeding has continued 48 to yield tremendous results in the Prevention of Mother to Child Transmission (PMTCT) of HIV. This is shown 49 by a decline in the proportion of new HIV infections among children by 35% from 270,000 in 2010 to 180,000 50 in 2017 globally [1] and by 50.6% from 31, 000 in 2011 before the introduction of Option B-plus in Uganda to 51 15.000in 2013 [3]. 52 The PMTCT strategy in Uganda comprises of the provision of treatment, care, and support to women infected 53

with HIV, their children, and their families [4]. It also defines the postnatal PMTCT package for HEIs that includes Early Infant Diagnosis (EID) through first HIV PCR testing of HEIs within two months (between 6 and 8 weeks) of birth, followed by second HIV PCR testing at six weeks after cessation of breastfeeding and a rapid HIV test at 18 months of age [4]. Health workers, caretakers, and the community must follow the HIV HEI testing algorithm to achieve EID for appropriate prevention, treatment, care, and support; and to track progress towards achieving zero new HIV infections in children [4].

Despite the rollout of the HIV testing algorithm to health workers, timelines for these tests are not followed, affecting infant treatment, care, and support. In Uganda, only 40.2% in 2012, 41.9% in 2013, 33% in 2014, and 38% in 2015 of HEIs received a PCR test for HIV within two months of birth [3]. This was far below the national target of 80% [3] and indicated an increasing trend of missed opportunities for EID [3]. The poor timing of first virological HIV testing among HEIs is attributed to low rate of health facility deliveries, poor attendances for postnatal care services, low male involvement, poor sensitization, and cultural perceptions coupled with

patriarchal based traditions which are dominant in Uganda [3,4].
Delay in testing HEIs after birth makes breastfeeding mothers stay in a zone of comfort and not to put much
effort on measures to prevent their HEIs from acquiring HIV; this predisposes to increasing HIV positivity rate
among HEIs and retards the country's progress towards achieving zero new HIV infections among children [4].
Due to this, we sought to determine the factors influencing uptake of first PCR testing among HEIs to inform

<sup>71</sup> the evidence-based selection of strategies to improve Early Infant Diagnosis (EID) and ensure that every HEI

<sup>72</sup> testing positive is initiated on lifelong ART as soon as possible.

## <sup>73</sup> **2 II.**

## <sup>74</sup> 3 Methods a) Study area, population and design

This study employed a cross-sectional descriptive study design and was carried out from 19 th July to 19 th 75 August 2018. The study area had a total of 45 ART accredited health centers; of these ten high volume health 76 centers located in Bwera, Kilembe, Rukoki, Hiima, Karambi, Kasanga, St Paul Cathedral, Katadoba, Kasese 77 Municipality, and Bishop Masereka foundation in the Rwenzori sub-region, Western Uganda were selected. These 78 were considered because of the large numbers of HEIs in care. The study included HIV positive mothers and 79 80 their HEIs aged between 6 weeks and 18 months; those eligible for the first PCR test and receiving PMTCT 81 services from the selected health centers. These health centers had a total of 626 HEIs in care. The majority of 82 HIV positive mothers selected for this study live along the foothills of the Rwenzori ranges where they engage in 83 small scale crop growing, animal rearing, and business activities.

## <sup>84</sup> 4 b) Sample size determination

The sample size was determined using the Leslie Kish survey sampling formula [10]; Z (the value from standard normal distribution) corresponding to desired confidence level of 95%, was 1.96, p is the proportion of HEIs who miss first virological testing within two months of birth, estimated at 69.8% (0.698) [3], e is the desired level of precision, set at 5% (0.05); arriving at N (actual sample size) of 323.

## <sup>89</sup> 5 c) Sampling of study participants

We obtained lists of HIV positive breastfeeding women per selected health facility offering ART services by searching ART registers at the postnatal clinic for HIV positive mothers with HEIs aged 6 weeks to 18 months. The Art number, cohort, date of next appointment, and other details of every mother and infant found were written down. Preliminarily, each health facility had an independent list and later merged to come up with a single general list. All registered HIV positive breastfeeding women were entered in the computer excel sheet from which we randomly selected 323 HIV positive women and their infants.

The HC: Health Centre Initially, we noted the date of the next appointment of each selected HIV positive
mother and her HEI.We interviewed mothers as they came to the postnatal clinic at every study health centre.
If amother did not turn up for services on her appointment date, she was followed up through existing follow

<sup>99</sup> up systems such as Village Health Teams (VHTs) and peer mothers the following day and interviewed from her

100 home.

## $_{101}$ 6 d) Data collection

Data was collected using a questionnaire. Questions on client socio-demographics, client factors, health center 102 factors, and community factors influencing uptake of first PCR HIV test were asked. We asked questions related 103 to the timing of the first PCR for HEIs, whether the mother was informed about testing her HEI within two 104 months of birth or not and how mothers are generally handled at the clinic by the attending health worker. 105 Data collection was done in separate rooms at the postnatal clinics of the different study health centers. Every 106 woman who was followed up at home was requested to find a separate place in her compound or sitting room 107 with maximum privacy and confidentiality. We asked mothers to respond to questions genuinely. We read the 108 questions on the questionnaire as the participants listened. Participant responses were ticked on given responses 109 on the questionnaire to minimize errors in recording. 110

## <sup>111</sup> 7 e) Data analysis

112 Data were analyzed using SPSS version 20. Participant demographic characteristics were summarized using 113 descriptive statistics. We used multivariable logistic regression to determine factors associated with low uptake 114 of the first PCR test. Variables with p-value <0.2 after bivariate analysis were included in the multivariate 115 logistic regression model.

## <sup>116</sup> 8 f) Ethical considerations and protection of study participants

Approval from a local ethics committee at the Faculty of Health Sciences (FHS), Uganda Martyrs University
 (UMU), was obtained. Written consent was obtained from all mothers and legal caretakers of HIV Exposed
 Infants.

## 120 **9** III.

## 121 **10 Results**

## 122 11 a) Socio-demographic characteristics of respondents

A total of 323 mothers of HEIs participated in this study. Nearly half, 152(47.1%) of the respondents were Bakonzo by the tribe. About three quarters, 236(73.1%) were aged 25 years and above. Most, 227(70.3%) were married and living with their spouses. More than half, 203 (62.8%) had not completed the primary level of education [see ??able 2].

## 127 12 Timing of first HIV virological test among HIV Exposed 128 Infants in a rural District in Western Uganda

129 Slightly more than half of the HEIs, 175(54.2%) had their first virological test (PCR) after two months of birth.

# 13 Socio-demographic, client, and health center factors influ encingtiming of first virological HIV test among HIV Exposed Infants in a rural district in western Uganda.

HEIs born to HIV positive mothers with more than three children were less likely to have their first PCR test within two months after birth (AOR = 0.47, CI=0.318-0.789, p = 0.001), those whose mothers travel a distance less than 5 kilometers to nearest health facility (AOR = 6.22, CI=4.223-9.865, p = 0.036) were more likely to have the first PCR test within two months, and those whose mothers were not informed about testing their HEIs for HIV within two months by the health worker (AOR = 0.39, CI=0.208-0.965, p = 0.042) were less likely to have the first PCR test within two months of birth [see table 3].

## 139 14 Discussions

Slightly less than half (45.8%) of the HEIs had their first virological test within two months after birth, far below the national target of 80% [3]. Delay in testing HEIs makes women stay in a comfortable zone and are more likely to under estimate the risk that the infant can acquire HIV through breast feeding. Non-adherence tovirological testing among HEIs is a sign that the mother and her HEI are not retained in HIV care, which increases the risk of MTCT and consequently retarding the country's progress towards achieving zero new HIV infections among children [4].

In this study, an HIV positive mother having more than three children influences the timing of the first HIV virological test for her HEI. A mother is overburdened taking care of many children on addition to taking care of herself resulting in late first virological testing for her HEI ??5]. The burden is worsened when a mother is single or in a polygamous family and thus not expecting any spousal support ??5, ??]. Similarly, hailing from a distance less than 5 kilometers to the nearest health facility was more likely to cause infant PCR testing within two months after birth. Conversely, hailing from a distance more than 5 kilometers from a health facility results

in untimely virological testing of HEIs. services, especially with mothers who cannot afford transport costs. As 152 a result, mothers keep on postponing dates of taking their HEIs to the health centre for virological testing. In so 153 doing, HEIs are tested after two months of age ???, 8, and 9]. HEIs of HIV positive mothers not informed about 154 testing within two months after birth were less likely to have their first virological tests within the recommended 155 time. Similarly, mothers who knew the timing for the confirmatory test were less likely to have their HEIs 156 tested within two months after birth. A mother knowing the date of the confirmatory test and not knowing the 157 date of the initial virological test is an indication that health workers could have emphasized the timing of the 158 confirmatory test more than the initial test. Due to this, mothers end up not following the timelines for the 159 initial virological test. 160

Poor information giving by health workers to HIV positive mothers contributes to delays in first HIV virological 161 testing increasing the chances of HIV transmission to HEIs ??11, ??2]. 162 V.

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#### Conclusions 15164

We found out that slightly more than half of HEIs had their first PCR more than two months after birth. We 165 recommend the implementation of policies fostering small families for HIV positive women, taking ART services 166 closer to the people through outreaches, and health workers informing HIV positive mothers about the correct 167 timing for HIV testing of HEIs. 168

#### Study limitation 16169

The study relied on responses from mothers and some of these might have been affected by recall bias. We 170 endeavored to clearly articulate the questions to ensure that the mothers respond accurately. 171

#### List of Abbreviations 17172

#### Declarations ? Ethical approval and consent to participate 18 173

Approval was sought from a local ethics committee at the Faculty of Health Sciences, Uganda Martyrs University, 174 Written consent was sought from all mothers and legal caretakers of HIV Exposed Infants. 175

#### Consent for publication 19 176

#### Not applicable $\mathbf{20}$ 177

#### Availability of data and materials $\mathbf{21}$ 178

All data and materials for this study shall be availed whenever requested by editorial team and other users. The 179 data set can be accessed by sending a request to mirembeenos@gmail.com Has the nurse or doctor ever told you 180 about testing the child for HIV?

### 1

Health Facility	No Exposed	No. of Re-
	Infants in	spondents
	Care	
Rukoki Health Centre III	52	33
Bwera hospital	122	87
Kasanga PHC HC III	33	12
St Paul HC IV	34	5
Kasese Municipal HC III	135	65
Karambi HC III	20	6
Hiima Health Centre III	62	33
Kilembe Mines Hospital	123	66
Katadoba HC III	23	12
Bishop Masereka HC III	22	4
Total		323

Figure 1: Table 1 :

## $\mathbf{2}$

Age (in years)?258726.9>2523673.1Marital Status"""""""""""""""""""""""""""""""""	Variable	$\begin{array}{c} \text{Frequency} \\ (N=323) \end{array}$	Percentage
>25       236       73.1         Marital Status       227       70.3         Married       96       29.7         Tribe       96       29.7         Bakonzo       152       47.1         Other tribes       171       52.9         Education Level       203       62.8         ?primary level       203       62.8         ?primary level       203       37.2         Source of income       103       31.9         Has income source       220       68.1         Monthly income (average)       251       77.7         ? 100,000       251       77.7         > 100,000       251       77.9         Has someone to escort her to health       136       42.1         No       136       42.1         No       187       57.9         Has support for transport means to       187       57.9         Has support for transport means to       187       57.9         No       67       20.7         Number of children born while       256       79.3         mother is HIV-positive       2       261       80.8	Age (in years)		
Marital Status         Married       227       70.3         Not married       96       29.7         Tribe       96       29.7         Bakonzo       152       47.1         Other tribes       171       52.9         Education Level       7       203       62.8         ?primary level       203       62.8       207         Source of income       103       31.9         Has income source       220       68.1         Monthly income (average)       72       22.3         Has someone to escort her to health       72       22.3         Has someone to escort her to health       136       42.1         No       136       42.1         No       187       57.9         Has support for transport means to       187       57.9         Has support for transport means to       67       20.7         Number of children born while       70.7       20.7         Number of children born while       70.7       70.7         Yes       256       79.3         No       67       20.7         Number of children born while       7       20.7	?25	87	26.9
Married       227       70.3         Not married       96       29.7         Tribe       96       29.7         Bakonzo       152       47.1         Other tribes       171       52.9         Education Level       171       52.9 <primary level<="" td="">       203       62.8         ?primary level       120       37.2         Source of income       120       37.2         None       103       31.9         Has income source       220       68.1         Monthly income (average)       21       77.7         ? 100,000       251       77.7         &gt; 100,000       72       22.3         Has someone to escort her to health       136       42.1         Kes       136       42.1         No       187       57.9         Has support for transport means to       187       57.9         Hastlth facility       187       57.9         Yes       256       79.3         No       67       20.7         Number of children born while       197       193         mother is HIV-positive       261       80.8    </primary>	>25	236	73.1
Not married         96         29.7           Tribe	Marital Status		
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Other tribes       171       52.9         Education Level       203       62.8         ?primary level       120       37.2         Source of income       120       37.2         None       103       31.9         Has income source       200       68.1         Monthly income (average)       251       77.7         ? 100,000       251       77.7         > 100,000       72       22.3         Has someone to escort her to health       136       42.1         No       187       57.9         Has support for transport means to       187       57.9         Has support for transport means to       67       20.7         Number of children born while       167       20.7         Number of children born while       171       171         mother is HIV-positive       261       80.8	Tribe		
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$\begin{array}{c c c c c c c c c } > 100,000 & 72 & 22.3 \\ \mbox{Has someone to escort her to health} & & & & \\ \mbox{facility} & & & & \\ \mbox{Yes} & 136 & 42.1 \\ \mbox{No} & 187 & 57.9 \\ \mbox{Has support for transport means to} & & & \\ \mbox{health facility} & & & & \\ \mbox{Yes} & 256 & 79.3 \\ \mbox{No} & 67 & 20.7 \\ \mbox{Number of children born while} & & & \\ \mbox{mother is HIV-positive} & & & \\ \mbox{? 2} & 261 & 80.8 \\ \end{array}$	Monthly income (average)		
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facility         Yes       136       42.1         No       187       57.9         Has support for transport means to       57.9         health facility       57.9         Yes       256       79.3         No       67       20.7         Number of children born while       57.9         mother is HIV-positive       57.9         ? 2       261       80.8	> 100,000	72	22.3
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Has support for transport means to health facility25679.3Yes25679.3No6720.7Number of children born while mother is HIV-positive	Yes	136	42.1
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Yes25679.3No6720.7Number of children born while mother is HIV-positive	Has support for transport means to		
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Number of children born while mother is HIV-positive26180.8	Yes	256	79.3
mother is HIV-positive ? 2	No	67	20.7
? 2 261 80.8	Number of children born while		
	mother is HIV-positive		
> 2 62 19.2	? 2	261	80.8
	> 2	62	19.2

Figure 2: Table 2 :

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0				
	Variable	Timing of first I	PCR ?2 months Freq (%) >2 months Freq. (%)	Unadju OR ( CI)
Tribe Bakonzo		81(54.7)	71(40.6)	1.76(1.1)
Other tribes Religion		67(45.3)	104(59.4)	7.83) 1
Protestant		43(29.1)	80(45.7)	0.49(0.1) 0.89)
Other religions Number of children		105(70.9)	95(54.3)	1
> 3		55(37.2)	107(61.1)	0.38(0.30)
? 3 Knows	the timin	93(62.8) gfor	68(38.9)	1
confirmatory test Yes		80(54.1)	124(70.9)	0.48(0.5) 0.68)
No Distance to health facilit	tv	68(45.9)	51(29.1)	1
?5 Kilometres	-0	56(37.8)	41(23.4)	1.99(1.98)
>5 Kilometres Health	workienform	92(62.2) med	134(76.6)	1
mother about testing chi HIV within 2 months of				
No		39(26.4)	63(36.0)	0.64(0.1) 0.99)
Yes Handling of mothers at Health centre		109(73.6)	112(64.0)	1
Well		35(23.6)	58(33.1)	0.62(0.9) 0.88)
Not well	IV.	113(76.4)	117(66.9)	1

Figure 3: Table 3 :

## 182 .1 Acknowledgement

The authors of this study would like to thank the leadership of Kasese District Local Government for allowing this study to be conducted in Kasese District, Western Uganda; we also thank all HIV positive breastfeeding women who participated in this study.

## 186 .2 Funding

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## <sup>188</sup> .3 Competing interests None of the authors has competing interest in <sup>189</sup> this study

## <sup>190</sup>.4 Authors' contribution

- EMM and EMB conceived the study, collected data and participated in data analysis. EMM, EMB, EK and CM wrote the manuscript.
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