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A Prospective Comparison of Vertebral Column Decancellation Versus Pedicle Subtraction Osteotomy in Thoracolumbar Kyphosis

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Received: 8 December 2019 Accepted: 3 January 2020 Published: 15 January 2020

7 Abstract

⁸ of Background Data: For advanced stages of ankylosing spondylitis (AS), the correction of

⁹ spine deformities is quite often with pedicle subtraction osteotomy (PSO). We reported a new

¹⁰ resected technique of spinal osteotomy, called the vertebral column decancellation (VCD) in

¹¹ 2010 to treat rigid scoliosis and severe sharp angular spinal deformities. We first report

¹² comparisons between VCD with PSO.Objective: We performed to compare VCD and PSO in

¹³ correcting kyphosis deformities related to AS.

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15 Index terms— ankylosing spondylitis; kyphosis; vertebral column decancellation (VCD); Osteotomy; 16 posterior approach.

17 **1** Introduction

nkylosing spondylitis (AS) is an inflammatory disorder that can cause a variety of debilitating orthopedic
problems. Among the many musculoskeletal manifestations of AS, spinal deformity is perhaps the most disabling
to the patient. If the flexion deformity is excessive, the patient's field of vision is limited to the area near the
feet, and walking is extremely difficult. Respiration becomes almost completely diaphragmatic.

Gastrointestinal symptoms resulting from pressure of the costal margin on the contents of the upper abdomen are common. 3 In addition to improvement in function, the aesthetic improvement in appearance made by correcting the deformity is important to the patient. If extreme, the deformity should be corrected in two or more stages because of contracture of soft tissues and the danger of injury to the aorta, the inferior vena cava, and the major nerves to the lower extremities.

Pedicle subtraction osteotomy (PSO) as a close wedge osteotomy (CWO) is used most commonly to manipulate 27 28 deformities related to AS. A single-stage, posterior osteotomy can often provide adequate correction while minimizing the pressure of injury to vascular and retroperitoneal structures positioned anterior to the spine. 29 Traditionally, one can usually achieve 10 degrees of correction with each Smith Petersen osteotomy (SPO), and 30 30 degrees with a PSO4. Therefore, neither SPO nor PSO in one segment would be expected to achieve adequate 31 correction for patients with severe kyphosis related to AS. A Polysegmental osteotomies have been suggested for 32 these deformities 5. Vertebral column decancellation (VCD) is a new spinal osteotomy technique for correction 33 of sharp angular spinal deformities first reported in 2011 by our group. 2 We have continued to further develop 34 this VCD osteotomy technique in the lumbar spine to manage kyphosis deformities in AS. As a close-opening 35 wedge osteotomy (COWO), theoretically VCD can result in a larger singlestage correction than PSO (Figure ??). 36 Because there have been no reports comparing VCD and PSO, here we report our experience, techniques, and 37 outcomes at 3.6 years comparing VCD and PSO in correcting kyphosis deformities related to AS. 38

39 2 II.

40 **3** Materials and Methods

⁴¹ From January 2009 to December 2013, 16 patients (13 males; 3 females; mean age, 37 years; range,23-51years) ⁴² with kyphosis secondary to AS underwent operative treatment at ourinstitution. All patients signed the

operation informed consent based on the understanding of the operation theoretically. The 16 patients were 43 randomly divided into two groups by a third-party numerical table method without the knowledge of the 44 surgeon or the patient. Seven patients underwent VCD, and nine underwent PSO. The operative technique 45 of VCD includes resection of the posterior elements of 2 adjacent vertebrae, resection of the inferior-posterior 46 47 aspect of the proximal vertebra, and the superior-posterior aspect of the distal vertebra, Followed by posterior instrumentation/stabilization with pedicle screws and spinal fusion. Preoperative and postoperative osteotomized 48 vertebra height, lumbar lordosis Cobb angle, C7 plumb line, sagittal vertical axis (SVA), and sagittal Cobb angle 49 of the osteotomized segment were documented. The operation time, blood loss, and general complications were 50 documented. 51

⁵² 4 a) Surgical Technique

For the PSO resection, we reported previously a method of transpedicular, bivertebral wedge osteotomy and 53 discectomy to manage the sagittal plane deformity in patients with AS who have chin-brow vertical angles 54 greater than 90°. 6 Fiberoptic intubation preceded the induction of general anesthesia. The patients were 55 placed in the prone position on the operating table, which was flexed in a reverse V shape. Soft protective 56 sponge mats were placed under the chest and abdomen. A midline skin incision was made, and the posterior 57 elements of the lumbar spine were exposed by subperiosteal dissection as far laterally as the transverse processes. 58 Pedicle screws were inserted into several segments above and below the osteotomy level under C-arm fluoroscopic 59 guidance. Laminectomy and facetectomy at the osteotomy site were performed. Then, through the pedicle into 60 the vertebral body and a high-speed drill was used to enlarge the hole. The transverse processes were excised 61 at their bases. With a drill the cancellous bone of the inferior-posterior aspect of the upper vertebra and the 62 superior-posterior aspect of lower vertebra were resected to create a cavity. The posterior and lateral cortex of 63 the body was then resected with angled curettes. The vertebral canal was enlarged by resecting the residual 64 proximal and distal lamina (dome decompression) to avoid compressing the dura while closing the osteotomy. 65 66 We used to perform two-level PSO procedures for correcting kyphosis beyond 50°, because a one-level PSO can 67 correct only about $30-40^{\circ}$ of kyphosis. 68 (Figure ??) Usually, 1 mm of resected posterior bone will equate to approximately 1° of lordosis once the 69 osteotomy is closed. During the closure of the osteotomy, the pedicle screws that were connected to the temporary short rod were loosened, and compressive forces were applied on the adjacent screws. After the osteotomy site 70 was completely closed, a precontoured rod spanning the entire segments was fixed to the screws and tightened. 71 On the other side, the temporary short rod was removed and replaced with a long permanent rod. Finally, 72 bilateral rods were tightened to the pedicle screws, and the spine was stabilized in the corrected position. This 73 was the standard PSO procedure. 74 For the VCD procedure, pedicle screws were inserted into 4 or 5 segments similar to the PSO procedure. A 75

larger laminectomy was performed in 2-3 segments to obtain enough correction. Decancellation of the vertebral 76 body was performed with a Y-shaped osteotomy. First, we created a smaller wedge at the significant direction 77 of convex side vertebra using a high-speed drill, with the depth of the wedge being less than one second of the 78 79 vertebral width. Then, we performed a line-section cut from the vertex of the wedge to the concave side. The 80 approach for creating the small wedge can be transpedical or transvertebral (Figure ??). For the case in Figure 81 4, we used a transvertebral approach. The cortex of the concave side was weakened rather than resected, which conveniently prevented translation of the osteotomy section. The smaller wedge and the line-section compose 82 the Yshaped osteotomy, which is a 360°, circumferential decancellation. The two surfaces of the wedge are closed 83 and osteoclasis of the concave cortex occurred during correction of the kyphosis (Figure ??). The VCD is an 84 incomplete resection of vertebral decancellation; a part of cancellous bone and the posterior vertebral posterior 85 that were preserved serve the role of "Bony cage", which prevents excessive shortening of the spinal cord during 86 the correction of the kyphosis. We consciously extend the distance between the upper, and lower pedicle screws 87 of the osteotomized vertebra in case this "Bony cage" collapses during correction of the kyphosis. 88

⁸⁹ 5 b) Radio Radiographic assessment

Full-length anteroposterior and lateral radiographs in the standing position obtained preoperatively and postoperatively, were used to make radiographic comparisons. In the sagittal plane, we measured the distance between the C7 plumb line and the posterior superior corner of S1, lumbar lordosis from L1 to S1, and global kyphosis. The height variation of the middle column was regarded as the height variation of the posterior wall of the resected vertebral body. We assessed the degree of middle column shortening by comparing the height of the posterior wall of the resected vertebra before and after surgery.

⁹⁶ 6 c) Statistical analysis

97 The SPSS software 18.0 was used for statistical analysis. Comparison between VCD and PSO was made using

students t-test. The differences were regarded as significant when P < 0.05. Continuous data are expressed as

99 mean + standard deviation.

100 **7** III.

101 8 Results

¹⁰² 9 a) Surgical results

One-level osteotomy was performed in all VCD cases (n=7). For the PSO cases, the most frequent level of osteotomy was L3 followed by L1, and 5 cases underwent two-level osteotomies (n=9). In the VCD group, the mean operation time was 297 min (range, 180-540 min), while for the PSO group, the mean operating room time was 325 min (range, 241-610 min). The average estimated blood loss was 2,400 ml (range, 1,200-5,000 ml) in VCD group and 2,800 ml (range, 1,860-6,000 ml) in PSO group. Compared with PSO, VCD resulted in a shorter operative time and less bleeding (P<0.05 each).

¹⁰⁹ 10 b) Radiographic results

The basic demographic and radiographic data are list in Table 1. Significant differences were observed between 110 the 2 groups in terms of the corrective contribution and the postoperative height of the posterior wall of the 111 resected vertebra (Table 2). In the VCD group, the one level osteotomized mean angle was 40 + 4 degrees while 112 for the PSO group it was 25 + 5. The corrective contribution of 1 VCD is nearly equal to 1.5 PSO in correction 113 of the kyphotic angle at the osteotomy site (P < 0.05). The height of the posterior wall of the resected vertebra 114 after correction in the VCD group was greater than in the PSO group (13.3 + 2.6 vs 7.7 + 2.8 mm; P=0.0010). 115 The postoperative height of VCD was 21/2 the preoperative height itself compared to 21/3 in the PSO group 116 (Figure ??). The preoperat ive and postoperative global kyphosis, the SVA and lumbar lordosis did not show 117 significant differences between the 2 groups (P>0.05). Bony healing area was observed by one year follow up 118 radiographs and CTs in patient underwent VCD osteotomy (Figure 7). 119

$_{120}$ 11 c) Complications

Two patients in the VCD group and 3 patients in the PSO group experienced perioperative complications. In the VCD group, sagittal subluxation of the segments caudal to the osteotomy site was observed in 1 patient during the closure of the L2 osteotomy. Dural tear secondary to adhesions with the ossified ligamentum flavum occurred in 1 patient in PSO. One patient in the VCD group developed a paralytic ileus and one in the PSO group developed a transient neurologic deficit. No vascular complications were observed in either group.

126 12 d) Outcome analysis

At the final follow-up, the mean Oswestry disability index (ODI) was 30 (range 5-51) in the VCD group and 28 (range, 8-37) in the PSO group and was not significantly different between the two groups.
IV.

130 **13** Discussion

The first SPO was described in 1945 by Smith-Petersen as a one or two-level osteotomy for deformities of AS.8 A PSO is performed by removing the posterior elements and pedicles and decancellating the vertebral body, which then hinges on the anterior cortex.

For patients with severe, rigid, thoracolumbar kyphosis, a single PSO will accomplish approximately 30° to 134 40° correction, but still leaving a residual kyphosis of large magnitude postoperatively. 7,10 Therefore, it is often 135 necessary to perform a PSO at 2 or 3 segments if the required correction exceeds 40°; Figure ?? shows a 23-136 137 year-old male with AS in whom we performed a twolevel (L1/L3) PSO. However, two PSOs result in substantial blood loss, extra surgical trauma, and the potential for more neurologic complications. 10 If the kyphosis is 138 corrected by more than 40° in these closing wedge osteotomy procedures, the spinal cord may become too long 139 for the shortened vertebral column and may be curved, kinked, and potentially damaged because the hinge of 140 the correction is positioned at the anterior longitudinal ligament at the apex of the deformity. 141

Moreover, a PSO is not universally successful in achieving the best sagittal correction. In contrast, COWO may be considered in patients with severe thoracolumbar kyphosis secondary to AS who require a large magnitude of correction with the anticipated correction being much more than 35° after a 1-level osteotomy. 11 VCD is one type of COWO, which is an incomplete resection of vertebral decancellation, and a part of the bone is preserved to serve as a "Bony cage" to prevent excessive spinal cord bucking during correction. The position of the bony cage is due to the 3dimensional status of the deformities; therefore, VCD can be considered as a flexible osteotomy for multiplanar deformities both with coronal and sagittal imbalance, especially for rigid spinal deformities.

Current techniques of vertebral column resection (VCR) may result in greater correction. During the closeopening procedure, the anterior column is opened and lengthened; simultaneously, the center of correction is moved posterior to achieve the greater sagittal correction, and the posterior and middle columns are shortened. If necessary, a strut autograft or a rectangular mesh packed with bone graft can be inserted through the posterior approach into the intervertebral gap to provide interbody support 12,13,17, ??0 Kawahara and colleagues concluded that a bone correction exceeding 40° or 50°can be achieved by COWO without compromising the integrity of the spinal cord. 12 Lenke et al reported 147 consecutive pediatric VCRs performed by 7 surgeons and

demonstrated excellent radiographic correction. The posterior VCR was shown to be safe and effective in this 156 large series of patients. These complex reconstructions, however, were associated with a 59% complication rate, 157 thus emphasizing the challenging nature of these patients and procedures. 14 In the elderly patient, PSO and 158 VCR can restore sagittal and coronal balance and substantial improvement in quality of life, but both techniques 159 can lead to serious complications and should be used selectively. 15 Complete and circumferential resection of 160 one vertebra at a single level allows for tremendous correction quality in both the sagittal and coronal planes in 161 a controlled fashion without the need for more than one more segment to be osteotomized. In AS, correction of 162 sagittal plane deformities can be achieved by lengthening the anterior elements, shortening the posterior elements, 163 or a combination of the two. Usually, coronal imbalance is accompanied by sagittal imbalance. 164

A failed operation for deformity or a congenital disorder can result in a rigid coronal imbalance.9 In the VCD 165 procedure, however, the hinge shifts to the posterior aspect of the spinal cord in contrast to the PSO correction 166 which is achieved by passive extension of the lumbar spine to close the posterior osteotomy and with an anterior 167 hinge. Internal transpedicular fixation has been used to ensure immediate stability and rapid consolidation. 16,17 168 VCD correction is achieved with a more posterior hinge. A single level VCD for thoracolumbar kyphosis can 169 result in up to 40° - 70° of correction; the Y-shaped osteotomy of the VCD is one type of COWO that effectively 170 decreases the shortening of the middle vertebra column. The position of the bony cage is due to the 3-dimensional 171 172 status of the deformity; therefore, VCD is a flexible osteotomy for multiplanar deformities with both coronal and 173 sagittal imbalance, and especially so for rigid spinal deformities (Figure ?? 4). This advantage of a VCD allows a greater degree of correction than PSO. Although a VCR is regarded as allowing the greatest correction, 18 VCR 174 needs interbody implants to maintain the height of middle column 13. VCD adds one more choice for surgeons 175

correcting severe spinal kyphosis safely.



Figure 1: Figure 1 : Figure 2 : Figure 3 :

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Figure 2: Figure 4 :



Figure 3: Figure 5 : Figure 6 :



Figure 4: Figure 7 :

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Group Cases Sex			age	Site	of Osteotomy angle	SVA (cm)		Lumbar lordosis (°)		Vertebra Heigh	
				Osteotomy	(^Q)	Pre	Post	Pre	Post	Pre	Р
	1	\mathbf{F}	49	L1	42	15	0	39	-66	17	1
VCD	2	Μ	43	L3	32	19	5	36	-50	21	1
	3	Μ	48	L3	38	28	9	36	-53	22	1
	4*	Μ	51	L2	42	20	5	33	-76	25	13
	5	Μ	36	L2	42	11	1	3	-51	22	1
	6	Μ	35	L2	45	90	35	3	-47	30	1
	7	Μ	24	L2	38	72	20	2	-36	20	1
	8	Μ	41	L2	40	21	0	12	-56	26	6
PSO	9*	Μ	35	L1/3	16/30	28	8	30	-33	22/22	13
	10	\mathbf{F}	42	L1/3	28/21	30	5	42	-60	20/22	8
	11	Μ	34	L2	24	80	50	0	-42	22	8
	12	Μ	24	L3	32	100	80	-2	-48	23	1
	13	Μ	25	L1/3	21/37	25	3	28	-30	22/22	6
	14	Μ	41	L1	30	15	4	5	-45	23	7
	15	Μ	23	T12/L2	20/22	150	60	3	-27	23/25	6
	16	\mathbf{F}	34	L2	21	100	60	15	-58	20	8

Figure 5: Table 1 :

 $\mathbf{2}$

PSO	Pre op	0	22 + 4	13(+16.)	88(+17)	17.7(+8.8)	54%	32 ver- tebra	32/21(1.524)
(n=21)	Post op	25(+5)	7.7(+3)	-42(+12)	41(+10)	5.3(+1.6	4007	20 /wowtok	94/20(1.050)
(n=20)	Pre op Post op	$0 \\ 40(+4)$	22(+1) 13(+3)	-54(+13)	43(+12) 10.3(4)	$^{+(.1)}$ 3.2(+3.1)	49%	39/vertet	DE al / 20(1.050)
Р		p < 0.01	0.01	0.11	0.93	0.37			

Figure 6: Table 2 :

13 DISCUSSION

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