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1	Postulation of the Effect of Unpredicted Predisposing Factors for
2	Post-Tonsillectomy Bleeding
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#### 7 Abstract

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Background and objectives: Tonsillectomy is the most common surgical procedure in specialty 8 of otorhinolaryngology. Therefore there are frequent premises regarding this procedure to be 9 improved from different aspects, indications, time of surgery, techniques, safety measures, and 10 postoperative care. Hence the tonsils and peritonsillar tissues are highly vascular zone that 11 supplied by direct branches of external carotid artery thus the post-tonsillectomy bleeding 12 remains one of significant issues in relation to this widely performed procedure. The 13 post-tonsillectomy bleeding needs frequently to be postulated for its incidence, prevalence, 14 etiology, predisposing factors, management and prevention. This subject constitutes one of 15 most risky aspects that increase wariness of the surgeons regarding this commonly conducted 16 procedure. Although there are huge numbers of presentative literatures coming from 17 American as well as western institutes that tried to put guidelines for purpose of prevention 18 and management of post-tonsillectomy bleeding but broadly at our middle-east region and 19 locally at our Libyan society we found for some extent difficulties to apply all these guidelines. 20 For this reason this issue has been take the wider spectrum of ENT surgeons? concentration, 21 discussions, and researches. 22

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# Postulation of the Effect of Unpredicted Predisposing Factors for Post-Tonsillectomy Bleeding Khaled Mohamed Bofares

Abstract-Back ground and objectives: Tonsillectomy is the most common surgical procedure in specialty of 28 otorhinolaryngology. Therefore there are frequent premises regarding this procedure to be improved from different 29 aspects, indications, time of surgery, techniques, safety measures, and postoperative care. Hence the tonsils and 30 peritonsillar tissues are highly vascular zone that supplied by direct branches of external carotid artery thus the 31 posttonsillectomy bleeding remains one of significant issues in relation to this widely performed procedure. The 32 posttonsillectomy bleeding needs frequently to be postulated for its incidence, prevalence, etiology, predisposing 33 34 factors, management and prevention. This subject constitutes one of most risky aspects that increase wariness 35 of the surgeons regarding this commonly conducted procedure. Although there are huge numbers of presentative 36 literatures coming from American as well as western institutes that tried to put guidelines for purpose of prevention and management of posttonsillectomy bleeding but broadly at our middle-east region and locally 37 at our Libyan society we found for some extent difficulties to apply all these guidelines. For this reason this issue 38 has been take the wider spectrum of ENT surgeons' concentration, discussions, and researches. Therefore this 39 study was conducted prospectively and for long time to confirm the possible predisposing factors that could be 40 responsible for increasing the risk of posttonsillectomy bleeding at our region and in the same time to illustrate 41 the concluded recommendations to prevent the occurrence of this important complication. 42

*Index terms*— post-tonsillectomy morbidity and mortality; post-tonsillectomy complications; posttonsillectomy hemorrhage; post-tonsillectomy bleeding.

#### **2** INTRODUCTION

Patients and methods: 2880 patients aged from 8 months to 85 years presented at ENT department-AL-hawari 43 ENT and urology teaching center-Benghazi-city -Libya as well as ALthowra central teaching hospital and AL-44 tarahom private center -Elbyda city -Libya at period in between September 2003 to March 2015 as cases of chronic 45 46 adeno-tonsillitis and solitary acute as well as chronic related palatine tonsillar disease with variable patterns of indications for tonsillectomy namely snoring and apnea attacks, recurrent attacks of acute tonsillitis, persistent 47 otitis media with effusion, recurrent attacks of acute suppurative otitis media, failure to thrive, recurrent attacks of 48 chest infection, mal-occlusive dental deformity, unilateral enlarged tonsils, post-traumatic avulsed tonsils, history 49 of quinsy abscess and persistent halitosis. All patients were assessed intra-operatively and post-operatively too 50 for any evidences of primary, reactionary, or secondary hemorrhage in relation to wide spectrum of factors as 51 patient's demographic, medical, and socio-habitual factors, in addition to technical as well as post-operative care 52 factors. 53 Results: This presenting study confirmed that the most common type of post-tonsillectomy bleeding was 54

the secondary variety (71%) as compared to primary (22%) and reactionary (7%) among all presented post-55 tonsillectomy bleeding cases. Although through this presenting serial study there were multifactorial pre-56 dispositions elucidated for secondary post-tonsillectomy hemorrhage but as general poor post-operative care 57 58 can be considered as the cornerstone for the pathogenesis of this significantly raised incidence of secondary post-59 tonsillectomy bleeding this may be in form of inadequate patient's hydration and nutritional supply (47%), poor 60 patient's antibiotic compliance (23%), and child's maternal negligence (19%). The time of surgery was found to 61 be another important pre-disposing factor for posttonsillectomy bleeding, it was postulated that the incidence of reactionary as well as secondary post-tonsillectomy hemorrhage significantly increased at summer and autumn 62 seasons (69%) as compared to other seasons. The place of surgery was another interesting proposed studied factor 63 among this serial presentation it was observed that the incidence of post-tonsillectomy bleeding among patients 64 who operated at AL-hawari ENT and urology teaching center-Benghazi-city significantly higher (63%) than that 65 among cases who interfered at AL-thowra central teaching hospital and ALtarahom private center -Elbyda city. 66 Conclusion: Generally speaking, post-tonsillectomy bleeding is considered as one of important issues in ENT and 67 one of significant post-tonsillectomy complications which may create a critical morbidity that may rarely extend 68 to post-operative mortality. Hence the most common pattern of posttonsillectomy bleeding is the secondary type; 69 however this type of post-tonsillectomy hemorrhage is pre-disposed and induced by many factors. Most of these 70

<sup>71</sup> factors are treatable and curable thus the prophylaxis against this significant complication can be achievable.

# $_{72}$ 2 Introduction

ost-tonsillectomy bleeding (PTB) is considered as one of important issues at otorhinolaryngology which 73 frequently speeds much time and effort for research activities due to its relation to most commonly performed 74 75 procedure that is the tonsillectomy and hence PTB may create critical morbidities that may rarely extend 76 to post-tonsillectomy death thus there will be always clinical trials to study the types, predisposing factors, 77 causes, and suggestions for prevention and management of this significant complication ??1-5 and 9-13) Although there is wide spectrum of indications for tonsillectomy but among of all these indications 78 79 there are certain conditions which may be associated with increased risk of PTB for instance the cases of previous quinsy abscess, tonsillar malignancy, acutely infected tonsils, and uncontrolled hemorrhagic tonsillitis 80 (1)(2)(3)(4)(5)(6)(7)(8)(9)(10)(11)(12)(13)(14)(15)(16)(17). On the other hand, almost of the contraindications 81 for tonsillectomy are described on the basis of their significant relation to PTB and this relation could be direct 82 relation by the action of those particular conditions as predisposing factors which may play a significant role 83 for raising the incidence of PTB as bleeding disorders, acute infection, and menstruation, or indirect relation 84 85 by increasing the morbidity and mortality after tonsillectomy due to the interaction between these conditions 86 and PTB as patient's age under three years, patient's hemoglobin under 10g\100 ml, and uncontrolled systemic disorders as diabetes mellitus, systemic hypertension, cardio-vascular disorders, and renal impairment 87

In addition, there are variable post-tonsillectomy complications which range between systemic to local, and 88 early to late as complications of general anesthesia, aspiration to the lungs, negative pressure pulmonary edema, 89 air way obstruction, local traumas, remnant tonsil, local infection, velopharyngeal dysfunction, and nutritional 90 deficiencies ??10 and 15) . Also all the complications of tonsillectomy can be discussed on the basis of their 91 relation to PTB i.e. some of these complications have direct relation to PTB by their classification as significant 92 etiologies for PTB as the local introgenic soft tissue traumas and local infection. In accordance, the other 93 complications have opposite direct relation to PTB by the action of PTB as one of common predisposing factor 94 for these complications as aspiration to the lungs, air way obstruction due to laryngospasm or large clot formation, 95 96 chest infection, respiratory failure, cardiovascular shock, and disseminated intravascular coagulopathy. From the 97 other view, there is other group of post-tonsillectomy complications which can be aggravated by PTB resulting 98 in raised morbidity and mortality rates as dehydration, metabolic disturbances, and nutritional deficiencies .

Although there are three varieties of PTB, primary, reactionary, and secondary but the most common pattern is the secondary PTB as concluded by several previous studies (24)(25)(26)(27). This can be correlated to the improvement of tonsillectomy procedure recently from the patients' pre-operative care as well as the technology point of views resulting in low incidence of primary and reactionary PTB (24)(25)(26)(27). In accordance, hence the secondary PTB had been confirmed to be mainly due to the post-operative local infection thus there are multifactorial predispositions which may act as significant causes for post-operative infection at the tonsillar

fossae as well as the peri-tonsillar area and subsequently the occurrence of secondary PTB. From the patient's 105 age point of view, as it is well-established that the children with PTB will be at serious situations as compared 106 to adults therefore the poor maternal postoperative care for the operated child can be considered as one of 107 important predisposing factors for secondary PTB i.e. the negligence of child regarding maternal encouragement 108 for early oral fluids and soft diets can be described as the cornerstone for child dehydration which leads to 109 increased infective activity of local opportunistic flora due to reduced secretory immune capacity of the saliva 110 by two mechanisms, first by decreased secretion rate of IgA through reduced saliva volume and the second by 111 significant change of saliva PH. In addition, the poor sanitation as well as poor child's hygiene among low social 112 class families with limited maternal education can be considered as other predisposing factors that may act 113 toward the facilitation of pathogenesis of local infection . 114

On the other hand, there are other causes which can be categorized as important etiologies for PTB as bleeding 115 disorders, remnant tonsillar tissue, stitch granuloma, local traumas, slipping of ligatures, diffuse fibrosis with 116 difficult dissection, and pharyngolaryngeal reflux but now days all these causes became of less significance by the 117 improvement of pre-operative patient evaluation in addition to recent advancements as well as the developments 118 in relation to tonsillectomy techniques. Although in spite of these improvements the incidence of secondary 119 PTB is still found to be high thus the researching activities had been directed for assessment of postoperative 120 121 conditions to postulate what could be the predisposing factors that responsible for the increased incidence rate 122 of secondary PTB.

From our clinical observations at our Middle East society, we noted that the social as well as habitual factors 123 are considered as another significant variety of secondary PTB predisposing factors. The maternal education, 124 socioeconomic status, sanitation as well as hygiene conditions, and patients' psychological status were elucidated 125 to play a significant effect on the incidence rate of secondary PTB. In addition, there are other co-morbid 126 conditions with the palatine tonsillar disorders that may increase the risk of secondary PTB as uncontrolled 127 allergic rhinitis as well as gastroesophageal reflux disease (GERD). Thus this serial study was planned to achieve 128 these aims: a) To assess the effect of maternal education level on the secondary PTB incidence rate among 129 operated children. b) To postulate the effect of maternal and patients' psychological status on the secondary 130 PTB incidence rate among operated children as well as adult patients consecutively. 131

conditions on the secondary PTB incidence rate among operated patients. d) To confirm the effect of
 socioeconomic status on the secondary PTB incidence rate among operated patients. e) To illustrate the effect
 of co-morbid disease conditions namely allergic rhinitis and GERD on secondary PTB incidence rate among
 operated patients.

136 II.

# <sup>137</sup> **3** Patients and Methods

138 2880 patients aged from 8 months to 85 years presented at ENT department-AL-hawari ENT and urology teaching 139 center-Benghazi-city -Libya as well as AL-thowra central teaching hospital and AL-tarahom private center -Elbyda 140 city -Libya at period in between September 2003 to March 2015 as cases of chronic adeno-tonsillitis and solitary 141 acute as well as chronic related palatine tonsillar disease with variable patterns of indications for tonsillectomy namely snoring and apnea attacks, recurrent attacks of acute tonsillitis, persistent otitis media with effusion, 142 recurrent attacks of acute suppurative otitis media, failure to thrive, recurrent attacks of chest infection, mal-143 occlusive dental deformity, unilateral enlarged tonsils, post-traumatic avulsed tonsils, history of quinsy abscess 144 and persistent halitosis. All patients were assessed intra-operatively and post-operatively too for any evidences 145 of primary, reactionary, or secondary hemorrhage in relation to wide spectrum of unpredicted factors namely, 146 patients' socioeconomic, habitual, sanitation, housing, and hygiene factors. In addition, the patients' medical 147 status namely severity of co-morbid allergic rhinitis as well as pharyngo-esophageal reflux and uncontrolled 148 psychiatric status were considered as other significant factors which also evaluated in relation to incidence of 149 PTB. An informed consent was taken from the parents of all operated children or from adult patients themselves 150 involved in the research prior to their participation. 151

Data were expressed by using descriptive analysis as means + standard error of mean (s. e. m) and percentages, test of significance was curried out, using Chi-squar test and two way analysis of variance. A probability less than 0.05 was considered as significant, the degree of significance was determined by using level of standard deviation test. Student -t-test was used for dependent sample, as well as contingency coefficient was calculated as measurement of association between nominal variable.

## 157 **4 III.**

#### 158 5 Results

The Figure-I showed significant raising of the incidence of secondary PTB among operated children who cared by poorly educated mothers as compared to those who are under postoperative care by welleducated mothers (P < 0.1). Figure-II illustrated significant elevation of the incidence of secondary PTB among operated children with psychiatrically abnormal mothers as well as among adults who are known cases of variable psychiatric problems (P < 0.1). On the other hand, Figure-III demonstrated that there is significant increase of the incidence of secondary PTB among operated patients who are living with big sized families [family members > five] as compared to others (P < 0.1). In accordance, Figure-IV represented that the incidence of secondary PTB was significantly increased among operated patients who have poor sanitation at their houses as compared to those with good sanitation (P < 0.1). In addition Figure-V demonstrated significant elevation of the incidence of secondary PTB among patients with low socioeconomic status as compared to others (P < 0.1). From the other view, Figures VI and VII showed significant increase in the incidence of PTB among patients with co-existing uncontrolled allergic rhinitis and uncontrolled GERD consecutively (P < 0.

### 171 6 Discussion

172 Although by the progress of the sciences and further development of the surgical technology at this presenting 173 century; still the incidence of PTB is significantly high (24)(25)(26)(27). This can be shown via several well-174 controlled clinical studies. This means that there are other possible suggested factors rather than technical or 175 medical factors which could play a significant role regarding predisposition for PTB. Hence the secondary PTB constitutes most common variety as compared to primary and reactionary thus this confirm that the technical as 176 well as the medical factors have minimal consideration as etiological factors for PTB because these factors had 177 been elucidated to be bothersome factors of primary and reactionary PTB. Therefore it becomes very necessary 178 to research and illustrate for other possible predisposing factors . 179

At this serial clinical prospective study we suggested a cluster of certain new pattern of factors which could be 180 unpredicted. This manner of factors is more related to social status of the patients rather than their demographic 181 or medical criteria. In accordance and from our clinical observations we noted that these unpredicted groups 182 of factors play a very significant role in estimation of incidence rate of secondary PTB. The education level of 183 operated child's mother can be considered as one of these important factors it was elucidated that the improvement 184 of maternal as well as patient education level will reduce significantly the incidence rate of secondary PTB among 185 operated children and adults consecutively. This can be correlated to the degree of post-operative care in relation 186 187 to the education level i.e. the improvement of On the other hand, the maternal as well as patients' psychological status was affecting significantly the incidence rate of secondary PTB among operated children and adult patients 188 consecutively. This can be explained by the increase of post-operative negligence and carelessness proportionally 189 with worseness of coexisting psychological disorders. The mothers with manic, depressant, or schizophrenic 190 psychotic disorders had been shown poor compliance regarding frequency of post-operative fluids and soft diets 191 administrations to their operated children; in addition those mothers had been presented significantly a higher 192 rate of post-operative analgesics as well as antibiotics missing to their operated children. The same observations 193 were elucidated among operated adults with manic, depressant, or schizophrenic psychotic disorders. Therefore 194 this can result in significant rising of secondary PTB as predisposed by uncontrolled dehydration and infection. 195 From the other view, there is another unpredicted and masked predisposing factor for secondary PTB which is 196 the sanitation and hygiene status of the environment where the operated patient is living. From the primary 197 health care point of view, the 198

#### <sup>199</sup> 7 Figure -VII:

The relationship between co-existence of GERD and PTB incidence rate among operated patients (P < 0.1). 200 education level will be reflected positively on the maternal and patient understanding for the importance of 201 encouragement for early oral feeding by cold fluids as well as soft diets, restricted compliance for postoperative 202 prescribed medication administration particularly analgesics as well as antibiotics, and postoperative close 203 observations regarding persistent fever, repetitive vomiting, latency, fatigability, malaise, persistent dysphagia, 204 persistent odynophagia, and PTB ??33, 34, 38 and 39). sanitation status can be classified on basis of number of 205 206 family members in relation to their house rooms number into two categories, good sanitation which is defined by family members number less than five who are living at house contains more than two rooms, and poor sanitation 207 which is indicated by family members number of five or more who are living at too small house that composed 208 of two rooms or less. The presented study postulated that the incidence rate of secondary PTB was significantly 209 increased among operated patients who are living at poor sanitation. This can be reasoned by the increase of 210 incidence rate of post-tonsillectomy infection at environments with poor sanitation due to overcrowding as well as 211 bothersome negligence, carelessness and bad hygiene. In accordance, it was found that if the operated child living 212 with both parents this shown significantly decreased incidence rate of secondary PTB as compared to the other 213 situations when the operated child living with one parent or other relatives rather than parents as grandfather, 214 grandmother, uncle, or aunt. 215

216 At developing countries there is another factor which could be suggested as important unpredicted predisposing 217 factor for secondary PTB that is the patients' socio-economic status. It was noted that the incidence rate of 218 secondary PTB significantly higher among operated patients who had been classified at the category of low socio-219 economic status as compared to other patients who already categorized at high socioeconomic class. The income per month was considered as main indicator that had been used for this process of categorization i.e. those 220 patients have been considered as high socio-economic status they got more than 2500LD (~>1000\$) as salary per 221 month, in accordance those who classified as medium socio-economic status they had 1000-2500LD (~400-1000\$) 222 as salary per month, and the patients with poor socio-economic Surprisingly there was another factor thought 223 to act as unpredicted predisposing factor for secondary PTB this is the seasonal time of surgery performance. It 224

was confirmed that the incidence rate of secondary PTB higher during summer and autumn seasons as compared to winter and spring seasons. This can be explained by the fact that the risk of postoperative dehydration is significantly increased during summer as well as autumn classes as compared to other two classes this might be due to interaction of two reasons: a) the minimal oral fluids requirements of the patient after tonsillectomy could not be reached because of postoperative odynophagia, and b) the increase of body fluids loss during summer and autumn classes due to excessive sweating as the result of hot climate exposure with insufficient compensation of this loss by needed oral fluids as a sequel of co-existing post-tonsillectomy pain.

On the hand, it was found that the patients who had co-morbid allergic rhinitis were susceptible for secondary 232 PTB as compared to non-allergic patients this should be discussed by the persistence of postoperative mouth 233 breathing as the result of congested nose with or without hypertrophied turbinates as pathognomonic changes 234 of allergic rhinitis. The concomitant mouth breathing will result in uncontrolled oral dryness that predispose for 235 local infection this might be due to two suggested reasons: a) the oral dryness means decrease of secreted saliva 236 volume this resulting in the significant dropping of secretory immunoglobulin (IgA) level that lead to activation 237 of opportunistic oral cavity flora and b) the reduction of saliva secretion might be considered as a significant 238 cause for the disturbance in normal oral cavity alkaline PH this also may contribute to overgrowth of certain 239 strains of normal oral cavity flora or increase the risk of exogenous opportunistic infections. 240

In accordance, it was elucidated that the patients who had been diagnosed as GERD shown significantly higher risk of secondary PTB as compared to other patients this should be correlated to: a) gastric acidity direct effect: the secondary PTB occurs as the result of local reactive acute inflammatory changes to chemical effect of gastric juice, and b) gastric acidity indirect effect: this mainly due to the significant dropping in the alkaline buffering oropharyngeal PH that lead to the important variation of normal oropharyngeal flora and subsequent infection by the opportunistic microorganisms.

Comprehensively, there was another important factor which had been suggested to be as significant predisposing factor for secondary PTB this is the way of presentation for postoperative instructions by the medical staff and to who these instructions are given. It was noted that if the postoperative instructions are given to the patients as written file rather than verbal description this will reduce significantly the risk of secondary PTB. In accordance if the postoperative instructions are given to patients' mothers or patients themselves among operated children and adult patients consecutively rather than they are given to other patients' relatives as fathers, grandfathers, grandmothers, brothers, sisters, uncles, aunts, or friends this will create a significant improvement

in the incidence rate of secondary PTB.

#### 255 8 Medical

status their salary per month was less than 1000LD (~<400\$). The effect of patient's socio-economic status on incidence rate of secondary PTB can be distinguished from the co-existence of limited education levels, poor sanitation conditions as well as bad hygiene circumstances in association with poor socio-economic status. This may affect obviously the post-tonsillectomy care which is the main determinant for posttonsillectomy nutritional insufficiencies, dehydration, and infection.

Although among this serial study we tried to highlight the effect of some of suggested unpredicted factors which may play a significant role in determination of incidence rate of secondary PTB but we think that further formative studies are recommended to confirm our results at different media and environments also other possible

factors which not studied yet can be thought and postulated.

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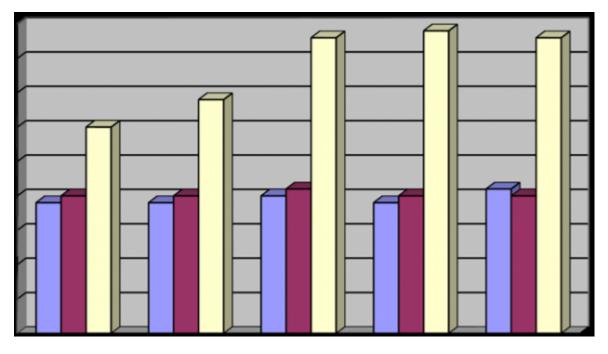


Figure 1: JJ

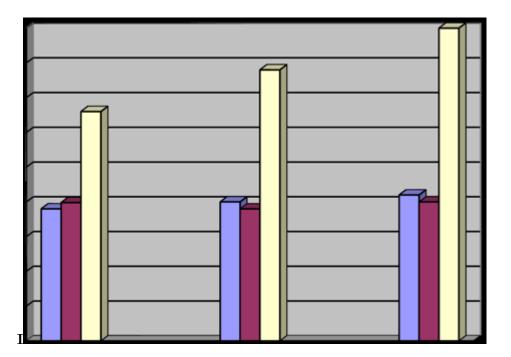
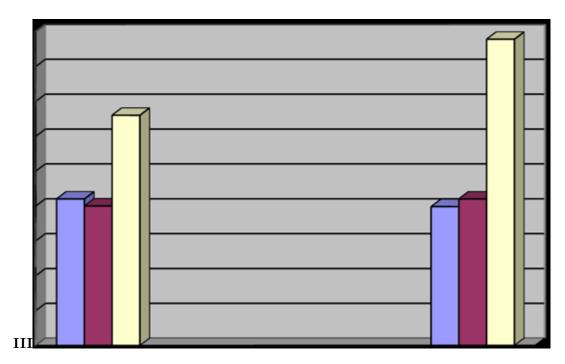
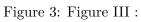


Figure 2: Figure I :





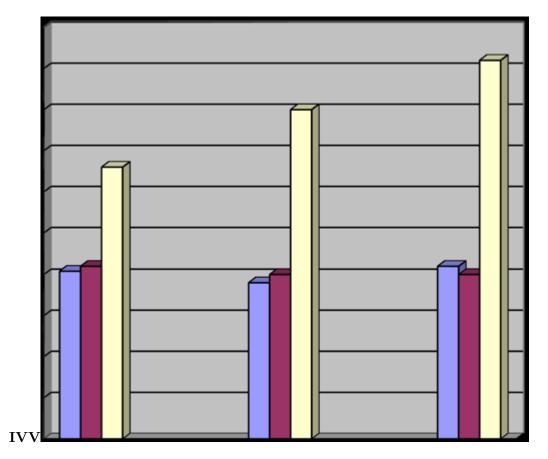


Figure 4: Figure IV : Figure V :

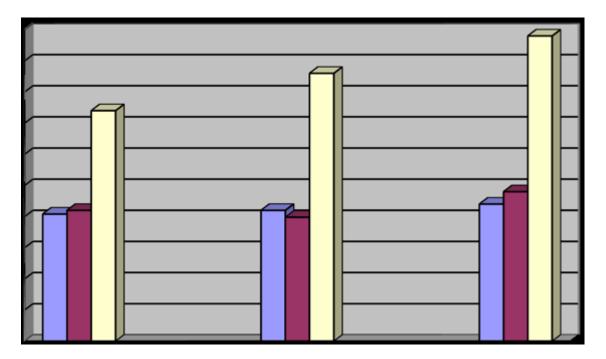


Figure 5: Figure

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