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A Novel Homozygous Mutation ABCA3gene: Presented as Sever Respiratory Distress Syndrome in a Term Neonate Dr. Hussein Al Lawati *Received: 4 February 2021 Accepted: 1 March 2021 Published: 15 March 2021*

6 Abstract

7 Congenital surfactant deficiency is a rare condition diagnosed in newborns who present with

⁸ respiratory distress at birth. We report a case of a term Omani neonate with fatal surfactant

⁹ protein deficiency who was admitted to the Neonatal Intensive Care Unit (NICU) of the Royal

¹⁰ Hospital with respiratory distress syndrome with persistent interstitial infiltrates on serial

chest xray responsive to intermittent surfactant administration. He underwent a lung biopsy,
 and immunohistochemistry confirmed the diagnosis of congenital surfactant protein deficiency.

¹³ However, despite aggressive treatment and supportive measures, his condition rapidly

¹⁴ deteriorated, and he succumbed after two months of admission. This case report will highlight

¹⁵ and review surfactant deficiency differential diagnoses, management, and complications.

16

17 Index terms— surfactant deficiency, term new-born, respiratory distress syndrome, Biopsy.

18 1 Introduction

n preterm babies, respiratory distress is the most common manifestation of lung immaturity (less than 32 weeks 19 of gestation), which due to deficient surfactant synthesis. Respiratory distress syndrome occurs less frequently 20 to term infants, which may at times to warrant further investigation, especially if with non-improvement to 21 conventional treatment and causing significant morbidity and mortality [1,4]. Pulmonary surfactant is a complex 22 mixture of phospholipids and protein which is produced, stored, and recycled by type II pneumocytes. It found 23 on the alveolar surface, and it functions to prevent alveolar collapsed by lowering its surface tension aiding 24 in normal respiration. Finding respiratory distress syndrome in a term neonate would raise a suspicion of an 25 inherited deficiency in pulmonary surfactant [2,3]. Surfactant protein deficiencies classified according to types; A3 26 (ABCA), B (SP-B), C (SFTPC), and SP-D. The most common type is Type A3, which was the first recognized 27 inherited pulmonary surfactant disorder and had an autosomal recessive pattern. It is much more common to 28 neonates and carries high mortality and morbidity rates [2,3,5]. Then it followed by surfactant protein C (SFTPC) 29 deficiency in with an autosomal dominant pattern of inheritance and is more associated with interstitial lung 30 disease in older children and adults. Type A3 (ABCA) is similar to Type B (SP-B) in terms of severity. We report 31 this rare case of congenital surfactant deficiency, the first documented case of Oman to the authors' knowledge. 32 The subject, interestingly, had a familial history of the same presentation in a sibling that died within the first 33 few weeks of life and was not thoroughly worked up. This report can assist the clinician regarding the approach 34 to such cases and guide counselling the parents for possible future pregnancies. 35

36 **2** II.

³⁷ 3 Case Description

A full-term (39 weeks) male infant weighing 3120 grams was born to a 31-year-oldgravida four para three mother via spontaneous vaginal delivery. He was born vigorous with APGAR scores 8 and 9 at 1 and 5 minutes, respectively. Mother had gestational diabetes mellitus, diet-controlled with history of premature rupture of membrane two hours prior delivery without any other documented risk of sepsis. The parents are consanguineous (first cousins) and had a previous baby who as well presented with respiratory distress at birth, was admitted to the Neonatal intensive care unit, and died at two weeks of age.

4 III. DISCUSSION AND LITERATURE REVIEW

On the second hour of life, the baby started to have respiratory distress, and physical examination revealed tachypnea with chest retractions and grunting. The infant transferred to the NICU for ventilator support, and complete septic workup including chest x-ray and blood gas were facilitated. The infant started on 25% inspired oxygen with flow 1.5L via nasal prongs on which capillary blood gas revealed respiratory acidosis (pH 7.27, PCO 2 55.9, HCO 3 21.8, and BE -0.9).

On admission, the chest radiograph revealed mildly hyperinflated lungs with perihilar interstitial markings (Figure ??). Succeeding chest x-ray on Day 2 showed worsening picture showing reduced lung volume and reticular infiltrations with positive air bronchogram, findings consistent with respiratory distress syndrome (RDS). He eventually required intubation for surfactant administration and was kept on ventilator assist-control mode. The baby's condition momentarily improved but I again had the worsening respiratory condition on the 3 rd day of life requiring high-frequency oscillatory ventilation.

This clinical deterioration of cardio respiratory distress and temperature instability prompted repeat septic workup. Blood counts were normal, but Creactive protein elevated. He initially treated with Cefotaxime and Vancomycin added after culture came positive for coagulase-negative staphylococcus. Repeat chest x-ray again revealed diffuse ground glass appearance for which another dose of surfactant given. Screening functional echocardiography also performed, which showed a small patent ductus arteriosus and a small fenestrated atrial septic defect secundum with mild pulmonary hypertension, which improved with sildenafil and prostaglandin nebulization.

The parents were counseled earlier on the possibility of the same condition as their previous baby and the need 62 for subspecialty involvement. The pulmonology team was involved after the chest CT scan showed diffuse changes 63 highly suggestive of interstitial lung disease (ILD), surfactant deficiency versus alveolar cause. Bronchoalveolar 64 lavage also done to rule out infectious causes, but all turned out equivocal. To complete the workup, blood was 65 sent for the wholeexome sequence together with a lung biopsy, which delayed due to the requirement of high 66 ventilator parameters making him unsuitable for transfer to the operating theatre. In total, the baby received 67 68 nine doses of surfactant, most of them offered immediate but temporary improvement in clinical status. A course of methylprednisolone and hydroxychloroquine (HCQ) tried but without any dramatic benefit. 69

The lung biopsy histology showed non-specific interstitial inflammation, which raised the suspicion of surfactant 70 deficiency, and electron microscopy confirmed the diagnosis, revealing interstitial thickening with the proliferation 71 of mesenchymal fibroblastic cells and chronic inflammatory infiltrated of lymphocytes, plasma cells, and scattered 72 73 eosinophils. The parents received extensive counseling from the concerned subspecialties regarding the poor 74 prognosis and outcome. During most of the patient's admission, the patient required high ventilatory parameters to maintain acceptable oxygen saturations. His condition was complicated with multiple bouts of clinical sepsis 75 and bacteremia ventilated-associated pneumonia, particularly with endotracheal cultures growing Acinetobacter 76 baumanii and Klebsiella pneumonia. On day 58 of life, despite continuous supportive and humane care, the 77 patient succumbed to respiratory failure. 78

79 4 III. Discussion and Literature Review

Congenital Pulmonary Surfactant Deficiency is a condition that requires a high index of suspicion. In this 80 report, we present a term neonate who developed severe respiratory distress within the first two hours from 81 birth which was progressive until the third day of life. Intermittent surfactant administration offered immediate 82 but temporary improvement of the condition; hence the possibility came into perspective. Respiratory distress 83 syndrome (ARDS) is a common NICU diagnosis. It usually presents in preterm infants but can also occur in 84 term babies, especially those born through a cesarean delivery or from diabetic mothers. The natural course 85 86 of RDS has been well-studied which typically peaks within the first three days of life before the predictable 87 clinical improvement. Its good response to surfactant therapy, especially those moderate to severe RDS, has also been well established. In this case, the baby presented with an RDS-like picture requiring ventilatory 88 support in the first few days necessitating surfactant administration. However, its course was more progressive 89 than usual, requiring highfrequency oscillatory ventilation and developing pulmonary hypertension. Serial chest 90 radiographs, which initially showed reduced lung volume with air bronchograms, eventually revealed interstitial 91 lung changes. With the history of a previous sibling with the same presentation who died at two weeks of life, 92 a genetic cause suspected. Chest CT scan was requested by pulmonologist, which was suggestive of interstitial 93 lung disease; hence multi-specialty consultation was done by neonatologist to pulmonology, genetic and surgical 94 teams. Bronchoalveolar lavage was done to rule out infectious causes, but finally, lung biopsy confirmed the 95 diagnosis. 96 97 Congenital surfactant deficiency is a rare genetic disorder that presents like respiratory distress syndrome

98 but may have different clinical presentations depending on the type. Its incidence is unknown, but in the 99 United States, it estimated at 1 in 1 million live births [1]. Several of causes can present with respiratory 100 distress in newborns and should be excluded before arriving at the diagnosis [2,4,5]. Other differential diagnoses should be ruled out, include sepsis, wet lung, lung malformations as well as non-pulmonary causes of respiratory 101 distress such as congenital heart diseases, among others. One subtype of surfactant protein deficiency is type 102 B (SP-B) which is known to be a clinically progressive disease wherein most the cases lead to fatal hereditary 103 neonatal lung disease. Alveolar capillary dysplasia is another differential diagnosis, a condition that happens 104 due to misalignment of the pulmonary veins (ACD/MPV). It has a similar presentation to congenital surfactant 105

deficiency, that is, occurring in term infants with respiratory distress soon after birth associated with cyanosis 106 and severe pulmonary hypertension despite treatment [6,12]. Radiological appearance and lung biopsy help 107 distinguish disorders of primary surfactant deficiency from other structural causes of lung disease. Using electron 108 109 microscopy with its ultrastructural feature provides an important point for diagnosis and helps direct further genetic study and evaluation. Histology, alveolar epithelial hyperplasia is a characteristic of surfactant deficiency 110 in infants. Immunohistochemically, features of SP-B include unrecognizable lamellar bodies and an accumulation 111 of abnormal appearing multivesicular bodies ??1,3,6,7,]. In contrast, ABCA3 has tiny lamellar bodies with a 112 prominent dense inclusion. The molecular gene study is providing the direction for genetic counseling among 113 affected families to discuss the risks for future pregnancies. In families in which a mutation has been previously 114 identified, it greatly aids in establishing even antenatal diagnosis. In this case, after confirmation of the diagnosis 115 by lung biopsy and electron microscopy, the surfactant protein deficiency is most likely type B (SP-B), given 116 its clinically progressive course. This definitive diagnosis was confirmed by a genetic study that was sent and 117 reported with pathologic ABCA3 variant C.3253C>T p. (Gln1085) which is associated with autosomal recessive 118 pulmonary surfactant metabolism dysfunction. It is also known as interstitial lung disease due to ABCA3 119 deficiency. 120

121 5 Medical Research

Among surfactant protein deficiencies, A3 (ABCA3) has been characterized by a variable clinical outcome ranging 122 from fatal respiratory distress syndrome in the neonatal period to chronic interstitial lung disease developing in 123 infancy or childhood. ABCA3 mutations are linked with a higher proportion of cases with significant positive 124 family history the similar conditions ??12.13]. Respiratory distress syndrome in term newborns can result from a 125 deficiency in either SP-B or ABCA3 mutations with both genetic mutations having an autosomal recessive pattern 126 of inheritance; whereas chronic ILD during infancy or early childhood can be the manifestation of either SP-C 127 or ABCA3 mutations ??11,12.13]. Despite all the supportive measures with ventilation, recurrent surfactant 128 replacement therapy, hydroxychloroquine, and methylprednisolone, there was no improvement in the condition of 129 the infant, and he died at the age of two months. Recurrent surfactant replacement therapy transiently improves 130 the respiratory parameters in patients with surfactant deficiency but is not reported to be effective in the long 131 term [6,7,8]. At present, the only curative option is lung transplantation. However, the procedure itself comes 132 with high rates of complications, mostly with infection, thus the need for lifelong immunosuppressive drugs. 133 [1,6,9]. Other supportive therapies available include sedation, inotropic support, high-dose glucocorticoids, and 134 intravenous gamma globulin, which offer short-term improvement. Mechanical ventilation, HFOV, inhaled nitric 135 oxide, and even extracorporeal membrane oxygenation may be necessary to aid oxygenation. Gene therapy is 136 one area being looked into but yet to be established. 137

¹³⁸ 6 IV.

139 7 Conclusion

In summary, a term baby presenting with respiratory distress syndrome picture should raise some suspicion of an underlying congenital surfactant deficiency, especially if it presents on a background of positive family history. Congenital surfactant protein ABCA3 deficiency is a fatal type of lung disease that requires intensive management, including ventilatory support, a trial of surfactant administration, and steroids due to its stormy clinical course. It warrants thorough evaluation with immunohistochemical and genetic studies confirming the underlying pathology. Timely establishment of the diagnosis is of utmost importance especially in educating couples on the condition's associated complications, treatment options and its guarded prognosis.

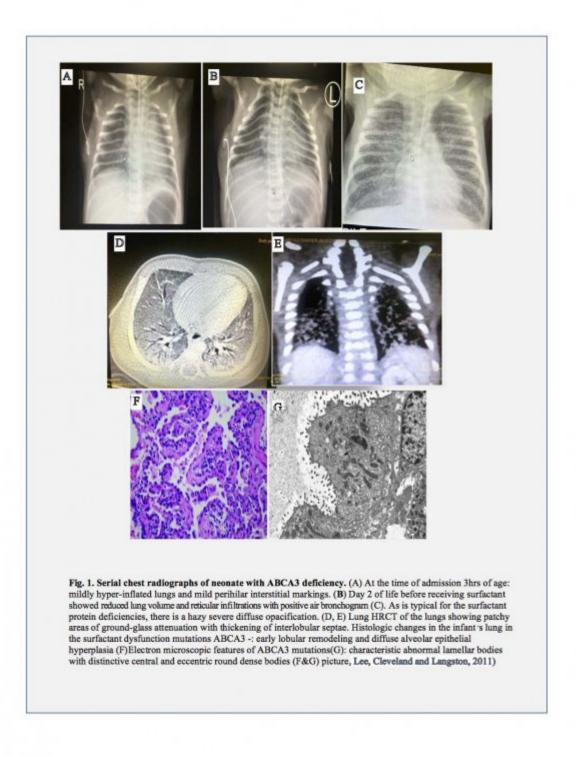


Figure 1: A

147 .1 Abbreviations

- 148 .2 NICU:
- ¹⁴⁹ Neonatal Intensive care unit: CQ: hydroxychloroquine; ILD: interstitial lung disease; SP-B: surfactant protein B;
- ¹⁴⁹ Reconatal intensive care unit. C.G. hydroxycholooquine, H.D. interstitial lung disease, 51-D. surfactant protein B,
 SFTPC: surfactant protein C gene, ATP-binding cassette transporter protein A3 (ABCA3) respiratory distress
 ¹⁵¹ syndrome (RDS): HFOV highfrequency oscillatory ventilation.

¹⁵² .3 Conflict of Interests

- 153 The authors declare no conflict of interest.
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