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Mediated Liposome for Gene Delivery to Mice Brain Part I. Design and Characterization of Liposome-DNA Complexes E.S. Ghaly¹ ¹ University of Puerto Rico Received: 16 December 2012 Accepted: 1 January 2013 Published: 15 January 2013

7 Abstract

The purpose of this research is to develop a novel liposome-mediated system for delivery of 8 expression plasmid into specific regions in the rat brain. . Complexes of plasmid DNA and 9 different liposome were prepared in phase 1 of the study. The composition, method of 10 preparation were varied and the physico-chemical characterization of the different systems 11 were investigated two different methods of preparation were used, in the first method the 12 liposome were prepared simultaneously with the DNA entrapped into the liposome and in the 13 second method, the liposome were prepared first and then complexes with the DNA were 14 performed. The liposome formulations were composed of DOTAP: Cholesterol; and 15 DC-Chol:DOPE and different lipid helpers. The particle size of liposomes prepared with 16 DNA entrapped into the liposome were larger than those prepared with liposome-DNA 17 complexation. All liposome formulations were spherical, uniform in size and have smooth 18 surface. In vitro DNase digestion experiments demonstrated that liposome protect 60-80 19

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Index terms— mediated liposomes; gene delivery; targeting; gene expression; plasmid-DNA expression; targeting to mice brain.

23 1 Introduction

iposomes are self-closed spherical particles where one or several lipid membranes encapsulate (s) part of the solvent in which they freely float into their interio (1)(2)(3)(4)(5). Liposome (6) is distinguished by large multilamellar vescicles (MLV) and unilamellar vesicles which can be small (SUV), large (LUV) or giant unilamellar vesicles (GUV).

The major purpose of gene therapy is to deliver genetic material into target cells to rproduce specific therapeutic proteins needed to correct or or to modulate disease. However, developing appropriate biotherapeutics, such as plasmid-based gene expression vectors delivered successfully to the target cell is one of Author ? : Professor of Pharmaceutics, School of Pharmacy, Medical Sciences Campus, University of Puerto Rico, G.P.O. Box 365067,

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the major practical problem in gene therapy today (7). Approaches available for introduction of DNA into cells include viral transduction or plasmid transfection. These systems are effective for the expression of a variety of transgenes in brain issues. However, several technical problems are associated with issues such as immunogenicity,

scale up, random integration and cellular tropism, which may limit them as therapeutic agents (8).

Many efforts have been devoted to the development of non-viral delivery due to the disadvantages of viruses used for gene delivery (9)(10)(11)(12). Cationic liposomes have several attractive features as as vectors for gene transfer:

They are nonimmunogenic and non-toxic; cationic liposome as DNA carriers can transfect postmitotic, nondividing cells including neurons; cationic liposome can deliver multiple genes of any type (linear or super coiled)

2 B. PREPARATION OF LIPOSOME CONTAINING DOTAP AND CHOLESTEROL

nucleic acid and finally, cationic liposome are relatively simple to prepare and can be administered to the body
 by different several routes.

Gene therapy is potentially powerful method for treatment of neurological diseases for which classical pharmacotherapy is unavailable or not easily applicable (13)(14). Transfection within the brain has distinct advantages over other administration sites. The postmitotic stage of nature neurons may prolong transgene expression. Moreover, the liquid volume in which in which the delivery vectors needs to be distributed and the metabolism of the plasmid can be limited because of the lack of major clearance mechanisms, such as those in the liver or kidney. In addition the cerebrospinal fluid has limited nuclease activity as compared to plasma and thus provides for longer half-life of the administered DNA in the nervous system (15).

The hypothesis of this investigation is that mediated liposome-DNA complex may protect the DNA from 53 degeneration by DNase; use of cationic mediated liposome for targeting plasmid DNA is more safe, nontoxic 54 compared to the use of viral vector. Also, the intrahipocampus infusion of liposome-DNA complex may leads to 55 DNA expression in specific brain region. The overall goal of this research is to develop a novel liposome mediated 56 system for delivery of expression plasmids into specific brain regions in the rat. The specific objectives of this 57 study are to prepare different A mixture of DOTAP and cholesterol at 1:1 molar ratio (8.38 mg DOTAP and 4.64 58 59 mg of cholesterol was dissolved in 12 ml chloroform. The organic solvent was removed using rotary evaporator 60 at 40 0 C and vaccum for 2 hours. The thin layer of lipid film formed on the wall of the flask was hydrated 61 using 1 ml of 5% dextrose solution containing 1 mg of DNA and 0.6 mg of protamine sulfate. The mixture of 62 the hydrated thin film of the lipids and DNA was agitated by vortexing for 30 seconds and then incubated at 37 0 C for 30 seconds. This process was repeated 8 times (n=8). 63 The liposome suspension was sonicated for 20 seconds and vortexed for 30 seconds. 64

⁶⁵ 2 b. Preparation of liposome containing DOTAP and choles ⁶⁶ terol

The cationic DOTAP was mixed with cholesterol at equimolar concentration (8.38 mg DOTAP with 4.64 mg 67 cholesterol). The mixture of lipid was dissolved in HPLC grade chloroform using 1 litre round bottom flask. The 68 organic solvent was evaporated using rotary evaporator at 30 0 C for 30 minutes and then dried under vacuum 69 for 15 minutes. The dried thin film was hydrated in 5% dextrose solution to give a final concentration of 20 70 71 mM DOTAP and 20 mM cholesterol (20 mM DOTAP-Cholesterol). The hydrated lipid film was agitated in a 72 water bath at temperature of 50 0 C for 45 minutes and then at 35 0 C for 10 minutes. The mixture was covered 73 and kept overnight at room temperature. After 24 hours, the mixture was sonicated for 10 minutes at 50 0 C. DOTAP-cholesterol liposome (75 ul) was mixed with protamine sulfate (30 ug) and the mixture was kept at room 74 75 temperature for 10 minutes before use. 75 ul of plasmid DNA (0.6 ug/ul) was slowly added while stirring and the mixture was incubated at room temperature for 10 minutes before use. The final concentration of DNA was 0.3 76 ug/ul. c. DC-chol-DOPE cataionic liposomes were prepared by mixing DC-Chol and DOPE at 1.5:1 molar ratio 77 (6.37 mg DC-Chol and 6.13 mg DOPE). The mixture of the two lipids was dissolved in HPLC grade chloroform. 78 The organic solvent was evaporated in a rotary evaporator at temperature of 55 0 C for 60 minutes, then dried 79 under vacuum for 30 minutes. The film was hydrated in 5% dextrose solution to give a final concentration of 80 81 DC-Chol:DOPE liposome (1.25 mg/ml). The hydrated film was agitated in a water bath at 55 0 C for for 45 82 minutes and at 35 0 C for an additional 10 minutes and the mixture was kept overnight at room temperature. After 24 hours, the mixture was sonicated for 5 minutes at 50 0 C, transferred to a tube and heated at 50 0 C 83 for 10 minutes. Then the mixture, was extruded through a Millipore filters in a descending order of 1 um, 0.45 84 um, 0.2 um, 0.1 um using syringe. Portion of the liposome that did not pass through 0.1 um filter was heated 85 again at 50 0 C for 5 minutes before passing through a new 0.1 um filter. The filtered fractions were stored 86 under argon gas at 4 0 C. 75 ul of Plasmid DNA (0.6 ug/ul) was added slowly while stirring to equal amount 87 of DC-Cho:DOPE liposome and the mixture is incubated at room temperature for 10 minutes before use. The 88 final concentration of DNA is 0.3 ug/ul. The particle size was determined by Malvern particle size diffraction 89 analyzer using a scale constant of 300 nm, the laser beam passed through the liposome dispersion and the light 90 scattered was measured in 19 to 30 seconds. A blank of distilled water was used. 91

b. Morphology of liposome and plasmid DNA complex using scanning electron microscope (SEM)

93 The liposomes were coated with conducted film into pin mount and tighten. The image appeared on the screen 94 after clicking on beam and high voltage /xKV buttoms. The brightness and the magnification were adjusted and 95 the image was saved. All mixtures were incubated for 2.5 hours at 37 0 C and 100 um of 1:1 phenol:chloroform mixture was added, mixed gently and centrifuged at 14,000 rpm for 4 minutes at room temperature. The 96 supernatant was transferred to tube and mixed with 100 ul chloroform and centrifuged at 4,000 rpm for 4 97 minutes at room temperature. The supernatant was removed and the pellets were washed with 70% alcohol 98 and dried. The DNA was dissolved in 50 ul buffer. Aliquots of plasmid DNA were analyzed using agarose gel 99 electrophoresis and UV spectrophotometer. 100

¹⁰¹ **3 III.**

102 4 Results and Discussion

In phase 1 study, the plasmid DNA was successfully encapsulated into different liposome formulations and or formed DNA:liposome complexes. The liposome formulations prepared were containing DOTAP and cholesterol with entrapped DNA; DOTAP and cholesterol and DC-Chol:DOPE liposomes complexes with DNA. All liposomes were cationic, DOTAP and DC-Chol are two cationic lipids and they provided a positive charge for the liposome. They are considered to be as a lipids helper. Cholesterol was also used as an alternative lipid helper that resulted in more stable complexes than those containing DOPE.

Two manufacturing methods were also used to prepare the liposomes. In the first method, plasmid DNA solution was used to hydrate the lipid film and the lipids formed the bilayers membrane while the DNA was encapsulated into the liposomes. In the second method, after preparation of the liposome, a complex is formed between the DNA and the liposome. The first method gave better entrapment efficiency of DNA and better protection of the DNA.

The particle size of the different mediated liposome-DNA systems are shown in Table 1. The particle sizes for all formulations were larger than expected (50 nm -200 nm). Liposome prepared with DOTAP-Cholesterol have the largest particle size while liposome prepared with DC-Chol:DOPE were of the smallest particle size.

Figures 1 and 2 show the surface morphology of liposome prepared with DOTAP:Cholesterol and entrapped DNA. The liposomes appear to be spherical and of smooth surface.

Figure ?? shows the electrophoresis spectra of free liposome; DNA s and DNA encapsulated liposome after 119 exposure to DNase enzyme. Adding DNase to free DNA resulted in complete degradation of DNA while DNA 120 encapsulated liposome was not affected by DNase, indicating that the liposome was able to protect the DNA. 121 Tables 2 to 4 show that the recovery of efficiency of the DNA from DNA entrapped liposome was between 67% 122 to 83% using UV spectrophotometer. The lost quantity of DNA was due to the difficulty of avoiding loss during 123 the extraction and the precipitation processes. The particle size was large when DNA was entrapped into the 124 liposome. This may be due to that the hydration of lipid with DNA solution resulted in formation of very 125 heterogeneous population with possible large size. On the other hand when liposome was first made and then 126 complexed with DNA, it is assumed DNA and cationic liposome aggregate because of electrostatic attractive 127 forces and formation of small stoichiometric complexes. 128 129 IV.

130 5 Conclusions

Mediated liposomes with entrapped DNA lipid or liposome complexes with DNA plasmid were successfully prepared. Liposome prepared with DC-Chol: DOPE complexes with DNA were the smallest in size while liposome prepared with DOTAP:Cholesterol and entraped DNA plasmid into liposome gave the highest efficiency entrapment and best protection of DNA against DNase digestion. The composition of the liposome and the method of preparation have an effect¹

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Figure 1: LK



Figure 2: Figure 1 :

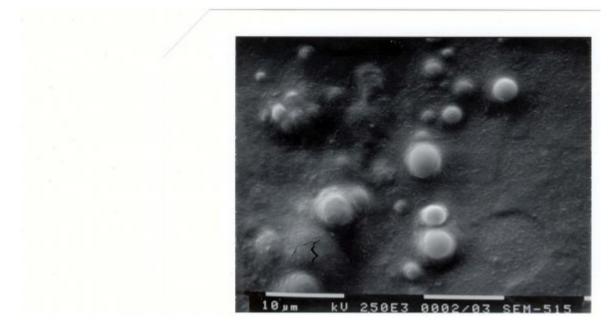


Figure 3:

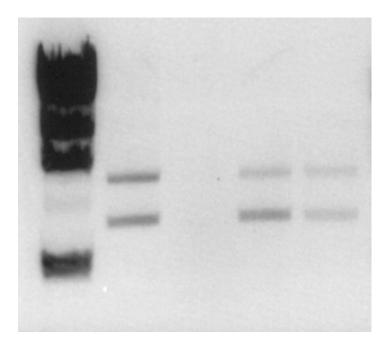


Figure 4:

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013 2 Year

Figure 5: Table 1 :

 $\mathbf{2}$

Κ

Figure 6: Table 2 :

$\mathbf{4}$

	Spectrophotometer						
Samples	Initial Quantity	Mean Final	Percent				
	(ug)	Quantity in	Effi-				
		ug $(n=3)$	ciency				
Free DNA	1.50	1.15	76.5				
Free DNA Treated with DNase	1.50	0.00	0				
DC-Chol:DOPE Liposome Complexes	1.50	0.042	70.2				
with							
DNA							
DC-Chol:DOPE Liposome Complexes	1.50	0.033	55.2				
with							
DNA and Treated with DNase							

Figure 7: Table 4 :

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Spectrophotometer					
Samples			Initial	Mean Final	Percent
			Quantity	Quantity in	Efficiency
			(ug)	ug $(n=3)$	
Free DNA			1.50	1.18	78.5
Free DNA Treated wi	th DNase		1.50	0.03	2.0
DOTAP:Cholesterol	Liposome	Complexes	1.50	0.83	66.1
with DNA					
DOTAP:Cholesterol	Liposome	Complexes	1.50	0.78	52.3
with DNA and					

[Note: K Figure 2 : Scanning Electron Microscope for DOTAP:Cholesterol Liposome Complexes with DNA at High Magnification]

Figure 8: Table 3 :

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