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1	Synthesis and Analytical Characterization of Ester and Amine
2	Terminated PAMAM Dendrimers
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7 Abstract

PAMAM dendrimers containing ethylene diamine core and methyal acrylate as repeating unit 8 were synthesized by divergent approach. Analytical characterization of PAMAM dendrimers 9 amine terminated full generation 4.0G and ester terminated half generation 3.5G were 10 performed using UV-Vis spectroscopy, FT-IR spectroscopy, differential scanning calorimetry, 11 NMR spectroscopy and MASS spectroscopy. The half generation dendrimers have the methyl 12 ester terminating groups, which have the characteristic IR peaks for carbonyl at 1730-1750 13 cm-1. For the full generation dendrimers, when the methyl ester groups were converted to 14 amide groups, the corresponding carbonyl shifted to 1660 cm-1. The characteristic methyl 15 ester peak, which appeared in all the 1H-NMR spectra of the ester terminating dendrimers, 16 whereas it is absent in all the amine terminating dendrimers. The molecular weight was 17 determined by ESI mass spectroscopy which further confirms the preparation of PAMAM 18 dendrimers and provides information about the structural defects, polydispersity and purity. 19

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21 Index terms— poly (amido) amine; PAMAM dendrimers; divergent approach; polydispersity.

²² 1 Introduction

23 endrimers are spherical, well defined, highly branched macromolecules with dense surface functional groups 24 (Fig. 1) [1][2][3]. Ethylenediamine (EDA) core based Poly (amidoamine) (PAMAM) dendrimers synthesis needs 25 repetitive Michael addition and amidation steps in which each iteration yields the next higher generation of the dendrimer. Multifunctional platform of dendrimers provides endless applications in drug delivery [4][5][6][7][8]. 26 27 The synthesized PAMAM dendrimers are characterized for UV, FT-IR, NMR, DSC and MASS analysis. In the 28 biomedical field dendrimers had been used for drug delivery, gene therapy, antigen conjugates, NMR contrast agents and synthetic vaccines [9][10][11][12][13][14][15]. UV-Vis spectrometry provides the proof of synthesis as 29 well as the conjugation (surface modification) on dendrimers due to characteristic absorption maximum or shift 30 in Lambda Max value due to conjugation [16][17][18][19][20]. Appearance disappearance and reappearance of 31 characteristic peaks in FTIR spectroscopy provides the proof of synthetic. Disappearance of nitrile groups 32 in the synthesis of PPI dendrimers, disappearance reappearance of amine groups in PAMAM dendrimers 33 generation, Pegylation of PAMAM dendrimers, disappearance of the aldehydes during the synthesis of PMMH 34 35 dendrimers reflects the synthesis and surface modifications [21][22][23]. Nuclear magnetic resonance (NMR) 36 spectroscopy permits determination of the structure and dynamics of molecules in solution. PAMAM dendrimers 37 and complexed PAMAM are characterized by Rotational-Echo Double Resonance (REDOR) solid-state NMR spectroscopy [24]. Multidimensional NMR spectroscopy ((2D)-NMR, (3D)-NMR) is also acquiring increasing 38 importance in the characterization of dendrimers [25]. NOESY experiments permit quantitative determinations 39 of internuclear distances for nuclei in different parts of the dendrimer molecule [26]. The dynamics of dendritic 40 branches can be investigated by measurement of l Hand 13 C-spin-lattice relaxation times (T 1). Since the 41 mobility of a dendrimer segment is proportional to its T 1 value, the change of mobility of the various dendrimer 42 segments [27]. The DSC technique is generally used to detect the Glass Transition Temperature (Tg). The Tg is 43

affected by the end group substitutions, and the molecular mass. DSC and Temperature Modulated Calorimetry
(TMC) were also used to detect physical aging of PMMH dendrimers. Generation has practically no influence
on the Tg values of liquid crystal dendrimers based on poly (phenyl acetylene) [28][29][30].MALDI-TOF-MS and
ESI-MS are among the few analytical methods suitable for detailed studies of structural defects in dendrimers
on the basis of characteristic fragmentation patterns. The polydispersity and the purity of dendrimers explain
the percentage of defect-free dendritic material [31][32]

⁵⁰ 2 Materials and Methods

⁵¹ 3 a) Materials

52 Ethylenediamine (Merck Specialities (P) Ltd. Mumbai) and Methylacrylate (Loba Chem (P) Ltd., Mumbai) were 53 used after distillation. Rest of the chemicals was purchased from Loba Chem (P) Ltd., Mumbai. For synthesis

54 HPLC grade solvents were used.

⁵⁵ 4 b) Preparation of PAMAM Dendrimers

Dendrimers were prepared by a divergent synthesis scheme using the reagent excess method starting from Ethylenediamine (EDA) by consecutive Michael addition and ester amidation reaction. Dendrimers were prepared according to following step: Michael addition of primary amine (EDA in very first step) to methyl acrylate followed by amidation of formed multiester (tetra ester at very beginning) of EDA.

Michael addition reaction used ethylene diamine (EDA) as an initiator core for starting the synthesis of 60 dendrimers by attaching four acrylate moieties on each amino group of EDA. The resulting compound referred 61 to as "generation -0.5PAMAM tetra ester". This caused the branching in the structure of the dendrimer. The 62 second step used is amidation of terminal carbomethoxy group (COCH 3) of methyl acrylate with EDA. This 63 64 tetra ester with excess EDA gave "generation 0.0 PAMAM tetra amine". EDA was used in excess to about twenty to hundred times to avoid incomplete reactions and hence improved yield. The reaction was carried out using 65 methanol as medium. The reactions were followed by removal of excess reagents by rotary vacuum evaporation at 66 55°C-60°C, in every step. The whole reaction was carried out in dark at room temperature, using amber colored 67 round bottom flask, which was corked tightly. Addition reaction was allowed to complete in two days, whereas 68

⁶⁹ amidation reaction complete in four days.

70 5 b) FT-IR Spectroscopy

The important peaks in FT-IR spectra of 3.5 G dendrimers were of Quaternary ammonium ion peak 3218.61 cm 71 -1, N-H stretch anti-symmetric sub. Primary amine 3021.91 cm -1, C-H stretch 2402.87 cm -1, 2834.22 cm 72 -1 , C=O stretch of carbonyl group1731.61 cm -1 , 1650.81 cm -1 , C-C bending 1215.91 cm -1 .The important 73 peaks in FT-IR spectra of 4.0G dendrimers were of N-H stretch of primary amine at 3310.21 cm -1 , N-H stretch 74 of anti-symmetric substituted primary amine at 3021.87cm -1 , C-H stretch at 2947.66cm -1 , C=O stretch of 75 carbonyl group at 1668.12 cm -1, N-H bending of N-substituted amide at 1511.92,1417.42 cm -1, C-C bending 76 at 1215.90 cm -1. The results obtained are given in Table 1. The FT-IR spectra of 3.5G and 4.0G PAMAM 77 dendrimers are shown in Fig. ?? & ??). 78

The ESI Mass spectra further confirm the preparation of PAMAM dendrimers. The molecular weight peak of
3.5G PAMAM dendrimers was 11944 Da and the molecular weight peak of 4.0G PAMAM dendrimers was 14483
Da. The ESI Mass spectra of 3.5G and 4.0G PAMAM dendrimers are given in Fig. 10 & 11 respectively, while
data are shown in Table 4.

⁸³ 6 IV.

7 Conclusions

The PAMAM dendrimers were synthesized using ethylenediamine as initiator core and methyl acrylate as repeating unit. Synthetic progress involves Michael addition and exhaustive amidation to complete cycle. Increasing amount of reactant in every progressive step was added to avoid incomplete reaction and hence to improve the yield. Completion of the reaction was confirmed by the copper sulphate solution reaction. The whole generation gave purple color, whereas half generation gave deep blue color, due to copper chelation at the terminal group of dendrimers. All the steps were found to be complete by the color reactions. Progress of Synthesis and differentiation of 3.5G and 4.0G was confirmed by UV, IR, NMR and MASS spectroscopy.

The ? max values were found out to be in range of 277-286 nm. The ? max of 4.0G PAMAM dendrimers was found to be 277.5 nm. In IR spectrum peaks of N-H stretch for primary amine were obtained at 3310.21 cm -1, which was due to NH 2 periphery of 4.0G PAMAM dendrimers. Half genenration carboxyal terminated shows intense peaks in the-C=O region while full generations shows intense peaks in the-N-H stretch for primary amine.

Appearance-disappearance reappearance of characteristic peaks provides the proof of synthesis. The changes in Endothermic peak from 120.03 to 120.56 0C were observed which shows the change in structure of PAMAM

 $_{99}\,$ dendrimers. In NMR spectra terminal amino group proton peaks (-CH 2 NH 2) were obtained at 3.84 ppm

and 2.68, 2.93, 3.03 ppm for carbonyl methylene proton (-CH 2 C=O). Characteristic shifts in NMR spectra 100 of 3.5G PAMAM dendrimers was due to terminal groups of -COOCH 3 at 3.73 ppm and 4.0G PAMAM 101 dendrimers was for terminal groups of -NHCH 2 CH 2 NH 2 at 3.84 ppm. NMR spectral characteristic like 102 shielding effects, deshielding effects, peak intensity, and integral value provides information about synthesis of 103 dendrimers (Characteristic peaks in the spectra); conjugation chemistry (Shielding deshielding effects shifts in 104 peaks); hydrodynamic radii (NMR pulse-field gradient spin ?e cho); number of protons (Intensity of peaks and 105 integral value); conformational changes (unique NMR signals from the core to the periphery); isomer populations 106 observed by 1 H NMR reveal the onset of globular structure; NOE complexity emerges with globular structure: 107 variable temperature NOESY studies show that the peripheral groups; Variable temperature coefficients for NH 108 protons suggests that solvent is largely excluded from the interior of the dendrimer. Relaxation studies show 109 that peripheral groups are more dynamic than groups at the core. The NMR data corroborated well with the 110 FT-IR data to confirm the structure of the dendrimers. 111

The molecular weight peak of 3.5G PAMAM dendrimers was 11944 Da and the molecular weight peak of 4.0G PAMAM dendrimers was 14483 Da, which was approximate to theoretical molecular weight of PAMAM dendrimers. Deviation may be due to incomplete Michael addition causing the appearance of unsymmetrical dendrimeric structures, intramolecular cyclization, and the retro-Michael reaction. Synthesis of PAMAM dendrimers always generates "structural errors". Therefore it needs more attention for improving the synthesis and exploring the novel possible applications.

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Figure 1:

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Figure 2:



Figure 3: Figure 1 :



Figure 4: Figure 2 : Figure 3 : Figure 4 : Figure 5 : Figure 6 : Figure 7 :



Figure 5: Figure 8 :



Figure 6:



Figure 7:



Figure 8:

1

013 2 Year

Figure 9: Table 1 :

$\mathbf{2}$

S. No. 1	Generation of dendrimers		Endothermic peak (0 C) 120.03
	$3.5\mathrm{G}$		120100
2.	4.0G		120.56
Table 3 : NMF	R spectra chemical	shifts and interpret	tation of 3.5 G and 4.0 G PAMAM dendrimers
S. No.	Generation	? values	Interpretation
		(ppm)	
		2.44 and	-NCH 2 CH 2 N-
		2.46	
		2.52	-NHCOCH 2 CH 2 N-
		2.68	-NHCOCH 2 CH 2 N-
1	$3.5\mathrm{G}$	3.40	-CONHCH 2 CH 2 N-
		2.93	-NCH 2 CH 2 COOCH 3
		3.73	-NCH 2 CH 2 COOC H 3
		2.93	-NCH 2 CH 2 N-
		2.98	-NHCOCH 2 CH 2 N-
2	4.0G	$3.03 \ 3.40$	-NHCOCH 2 CH 2 N–CONHCH 2 CH 2
			N-
		2.95, 2.99	-CONHCH 2 CH 2 N-
		3.84	-CONHCH 2 CH 2 NH 2 (var)

Figure 10: Table 2 :

 $\mathbf{4}$

[Note: ESI Mass spectra interpretation of 3.5 G and 4.0 G PAMAM dendrimers]

Figure 11: Table 4 :

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