



43 and skin hair follicles (Hirai et al., 2009). pCDC2 is normally measured by Western blot (Hirai et al., 2009), gene  
44 expression (Mizuarai et al., 2009; ?un et al., 1999), or via qualitative immunohistochemistry methods. Since  
45 pCDC2 is a substrate of Wee1 kinase, it is a logical target engagement biomarker to use in the development of Wee1  
46 kinase inhibitors (Mizuarai et al., 2009), particularly if the investigational agents will be used concomitantly with  
47 DNA-damaging chemotherapy. In normal skin, the measurement of Wee1 inhibitormediated decreases in pCDC2  
48 is therefore most accurately assessed in the context of the expected pCDC2 induction by DNA damage from  
49 chemotherapy. The pCDC2 biomarker has been studied in preclinical animal models, but because of the species  
50 differences, biomarker qualification studies such as this must be conducted in patient populations undergoing  
51 various chemotherapies.

52 This study was designed to quantify pCDC2 in skin punch biopsies from patients receiving DNAdamaging  
53 chemotherapy. If a large increase was observed with chemotherapy, then abrogation of the expected increase  
54 from Wee1 inhibition could be used to assess target engagement. Immunohistochemistry staining is routinely  
55 used in the clinical diagnosis of cancer and is typically a qualitative method. This study employed a quantitative  
56 immunohistochemistry assay that was developed to measure skin pCDC2 in patients with solid tumor(s) after  
57 they received a single dose of standard-of-care mono-or combination cytotoxic agents.

## 58 2 II.

### 59 3 Methods

#### 60 4 a) Patients

61 Patients over 18 years of age were eligible to participate in the study if they had a solid tumor and were  
62 being treated with one of the following agents: gemcitabine, cisplatin or carboplatin monotherapy or gemc-  
63 itabine/cisplatin, gemcitabine/erlotinib, gemcitabine/carboplatin, cisplatin/vinorelbine, cisplatin/pemetrexed,  
64 carboplatin/vinorelbine, or carboplatin/pemetrexed combination therapies. The chemotherapy regimen was  
65 determined by the investigator. Patients were to have a performance status of ?2 on the Eastern Clinical  
66 Oncology Group Performance Scale (Oken et al., 1982) at the first visit to enroll in the study. Patients were  
67 excluded if they had undergone chemotherapy or radiotherapy within 2 weeks or biological therapy within 4  
68 weeks prior to study entry, had not recovered from adverse events due to agents administered more than 4 weeks  
69 earlier, or were currently participating or had participated in a study with an investigational compound or device  
70 within 30 days or 5 half-lives of signing informed consent, whichever was longer. Any patient with a history, or  
71 current evidence of any condition, prior or current therapy, psychiatric disorder, or lab abnormality that could  
72 confound the results of the study, interfere with participation, or was not in the best interest of the patient was  
73 also excluded.

#### 74 5 b) Study design

75 This Phase 1b, open-label, 2-part study was conducted at 4 sites in the United States and Europe. Institutional  
76 review board or ethics committee approval and informed consent were obtained prior to the initiation of study  
77 procedures. Each part of the study was to enroll approximately 15 patients; Part 2 commenced upon full  
78 enrollment of Part 1. The study duration was approximately 23 days from the first visit to the last visit for a  
79 total of 5 to 6 study visits.

#### 80 6 c) Collection of skin biopsy samples

81 Each patient underwent 3 biopsies. In Part 1, patients underwent skin biopsies at baseline and at 24 and 48  
82 hours post-chemotherapy. Skin biopsies were obtained from patients in Part 2 at 24, 32, and 48 hours post-  
83 chemotherapy. Punch skin biopsies were to be at least 3 mm and were obtained per institutional standards from  
84 hair rich areas behind the ears. The administration of cytotoxic agents followed standard-of-care dosing, route  
85 of administration, and duration. Patients in both parts returned to the clinic or to their own physician for a  
86 follow-up safety visit 7 days after the final biopsy to assess the biopsy site and remove any sutures. A follow-up  
87 phone call occurred approximately 14 days after the last visit. Safety assessments included procedurerelated  
88 adverse event collection, physical exams, electrocardiograms, vital signs, and laboratory evaluations.

#### 89 7 d) Immunohistochemistry methods

90 Representative images of epidermis, hair follicle, and hair bulb samples from a patient (Part 1, number 23)  
91 are illustrated in Figure 1. A multiplex immunohistochemistry assay for total CDC2 (sc-54 antibody, Santa  
92 Cruz Biotechnology, Inc., Santa Cruz CA) and pCDC2 [Y15] (AF888 antibody, R&D Systems, Inc., Minneapolis  
93 MN) was used to evaluate formalinfixxed, paraffinembedded skin samples at Mosaic Laboratories (Lake Forest  
94 CA). Samples were stained using proprietary multiplex chromogenic immunohistochemistry methods. Vulcan  
95 Red Chromogen (Biocare Medical Inc., Concord CA) was used to stain CDC2, 3, 3' diaminobenzidine  
96 tetrahydrochloride (DAB, Dako North America Inc., Carpinteria CA) was used to stain pCDC2, and hematoxylin  
97 functioned as a counterstain. Regions of epidermis, follicles, and hair bulbs were imaged at 20 times using  
98 the Nuance FX2 Multispectral Imaging System (Cambridge Research & Instrumentation, Inc., Woburn MA)

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99 attached to a Leica DMLS2 brightfield microscope. Multispectral imaging was performed from 420 to 720 nm in  
100 20 nm increments, and the image stack was quantitatively unmixed using spectral absorption patterns for each  
101 chromogen to produce quantitative grayscale images.

102 Evaluation of multiplex staining results was performed by two methods, manual review and histogram analysis.  
103 Manual review of re-colored images was performed to identify the frequency of CDC2 and pCDC2 in the cell type  
104 of interest (epidermis, hair follicles, or hair bulbs). This method also allowed the reviewer to exclude melanin  
105 or off-target membrane staining in some specimens, which was observed with the pCDC2 antibody. Using  
106 the Nuance software, CDC2, pCDC2, and hematoxylin images were used to create a pseudo-colored darkfield  
107 composite image with CDC2 colored red, pCDC2 colored green, and hematoxylin colored blue (Figure 1B). Cells  
108 within the cell type of interest were enumerated as positive for CDC2, pCDC2, both, or neither. The percentage of  
109 cells positive for CDC2, pCDC2, and both epitopes was enumerated by a trained technician followed by secondary  
110 quality control review. Pixel-based image analysis was performed on 8-bit images using ImageJ version 1.38w  
111 (National Institutes of Health, Bethesda MD). Histogram analysis of staining intensity in the region of interest  
112 was determined for CDC2 and pCDC2, and co-localization analysis was performed to determine the percentage  
113 of the CDC2-positive area that was positive for pCDC2 at three staining intensity thresholds. All low, medium  
114 and high optical density (OD) staining thresholds were set for both CDC2 (>20, 20-70, 70-90, >90 OD units)  
115 and pCDC2 (>20, 20-40, 40-60, >60 OD units). For co-localization analysis, the percentages of CDC2-positive  
116 (>20 OD units) pixels that demonstrated all, low, moderate and high pCDC2 staining were determined.

## 117 8 e) Primary endpoints

118 A total of 16 immunohistochemistry parameters were identified in the protocol (Table ??) with two being defined  
119 for analysis in the primary objective: Parameters 3 (% CDC2 + pCDC2 [manual enumeration]) and 13 (%CDC2  
120 [all] with pCDC2 [all] [histogram analysis]). These two parameters were chosen because they trended well with  
121 preclinical Western blot data (correlation coefficients 0.85 and 0.91, respectively). Prior to performing the  
122 statistical analysis and upon visual assessment of the raw images for Part 1 patients, Parameter 4 (proportion  
123 of total CDC2 positive cells that are positive for pCDC2 from manual enumeration) was chosen as an additional  
124 primary parameter as this parameter corrects for variability in the frequency of CDC2 positive cells across  
125 samples. The manual review of images allowed the reviewer to exclude melanin or off-target membrane staining  
126 in some specimens, which was observed with the pCDC2 antibody.

127 From the skin biopsy samples, several subtypes of skin tissues were identified, including, hair follicles and  
128 bulbs, cells lining the sebaceous glands, and epidermis. Distinct tissue types for analysis were not prespecified in  
129 the protocol. Epidermis was chosen as the primary tissue for analysis due to a consistent presence of sufficient  
130 material for analysis in samples before and after treatment.

131 Part 1 data were analyzed prior to Part 2 patients completing enrollment. We recommended (and documented  
132 in the Part 1 results memo) Parameter 4 in epidermis tissue as the preferred endpoint. Consequently, Part 2  
133 data were analyzed based on the preferred endpoint (Parameter 4 in epidermis tissue), consistent with the Part  
134 1 recommendations. For completeness, the results of Parameters 3, 4 and 13 are also reported.

## 135 9 f) Statistical methods

136 Statistical analyses were performed separately for each tissue type and each part of the study after logtrans-  
137 formation of the data. The longitudinal analysis of variance (ANOVA) model included patient and time. An  
138 unstructured covariance matrix was used to model the correlation among repeated measurements over time.  
139 As the majority of patients received gemcitabine, sensitivity analyses for patients with or without gemcitabine  
140 therapy were also performed. The sensitivity analysis model included patient, time, chemotherapy (with or  
141 without gemcitabine), and timeby-chemotherapy. Geometric means for each time point, geometric mean ratios  
142 between two time points, 90% confidence intervals, and nominal 1-sided P-values were calculated. Safety data  
143 were summarized for all patients who received at least one dose of standard chemotherapy during the study.  
144 Statistical analyses were performed using SAS was to allow a precise estimate of the within patient standard  
145 deviation.

146 For Part 1 analysis on all patients, the multiplicity adjustment for the 3 key parameters was based on  
147 the Hochberg procedure and those significant results before adjustment ( $P < 0.05$ ) remained significant after  
148 adjustment (adjusted  $P < 0.05$ ). The adjustment was made across the parameters but separately for each of the  
149 three comparisons (24 hours versus baseline, 48 hours versus baseline, and 48 hours versus 24 hours). Part 2  
150 analysis was based on Parameter 4 in epidermis tissue and no multiplicity adjustment was required. Only the  
151 raw P-values are reported here.

## 10 III.

### 11 Results

#### 12 a) Patient characteristics

155 A total of 31 patients aged 29 to 88 years were enrolled and completed the study; no patients discontinued  
156 prematurely. Nineteen (61.3%) were males and 12 (38.7%) were females. Gemcitabine monotherapy or  
157 combination therapy was administered to 12/15 patients (80.0%) in Part 1 and 11/16 patients (68.8%) in Part  
158 2. The treatment regimens for the three patients in Part 1 that did not include gemcitabine were comprised of  
159 carboplatin monotherapy and cisplatin/pemetrexed combination therapy. The treatment regimens for the five  
160 patients in Part 2 that did not include gemcitabine consisted of carboplatin monotherapy. Patients tolerated  
161 the study well and no serious adverse reactions were reported. Six patients (19.4%) reported a total of ten  
162 procedure-related adverse experiences including pain, bleeding, and swelling at the biopsy site. All events were  
163 of mild intensity and all but one had resolved at the end of the trial.

#### 13 b) pCDC2 characterization and changes

165 Expression of CDC2 and pCDC2 was observed in subtypes of skin tissues including hair follicles and bulbs, cells  
166 lining the sebaceous glands, and epidermis. However, many skin specimens contained mostly epidermis but few  
167 other skin structures leading to insufficient measurements of tissue types (other than epidermis) from each patient  
168 over time. For example, patient number 23 was deficient in hair bulbs at the 24hour time point (Figure 1A).  
169 Although induction in pCDC2 was observed in all tissue types, epidermis was the only tissue structure that had  
170 sufficient measurements across baseline and post-chemotherapy time points in the majority of the patients. For  
171 this reason, epidermis was selected as the key tissue component and results presented are from epidermis only.

172 Results are presented for Parameters 3, 4 and 13 in epidermis tissue. Consistent results were obtained among  
173 the primary parameters. Sample photomicrographs of CDC2/pCDC2 staining are presented in Figure 1B. Results  
174 for all three parameters in the epidermis by time point for Part 1 and Part 2 patients are presented in Table  
175 2 and Table 3, respectively. In Part 1, Parameters 4 and 13 both showed a 1.4 fold induction from baseline to  
176 24 hours postchemotherapy ( $P = 0.012$ , both parameters), and a 2.05 and 1.47 fold increase from baseline to 48  
177 hours ( $P < 0.001$ ,  $P = 0.013$ ), respectively. Parameter 3 showed a 1.45 fold increase from baseline to 24 hours  
178 post-chemotherapy ( $P = 0.070$ ) and a 4.01 fold increase from baseline to 48 hours ( $P < 0.001$ ). Parameter 4 was  
179 significantly higher at 48 hours than that at 24 hours in both Part 1 ( $P = 0.012$ ) and Part 2 ( $P = 0.046$ ) of the  
180 study, suggesting pCDC2 induction continued to increase between 24 and 48 hours post-chemotherapy. Similar  
181 results were observed for the gemcitabine-treated subgroup.

182 In the 8 patients not receiving gemcitabine, no significant increases in pCDC2 were observed in Parameter 4:  
183 1.06 fold increase from baseline to 24 hours ( $P = 0.412$ ) in Part 1; 1.68 and 1.00 fold increases from 24 to 48  
184 hours in Part 1 ( $P = 0.068$ ) and Part 2 ( $P = 0.499$ ). Parameter 4 results in scalp punch biopsy epidermis from  
185 all patients and the gemcitabine subgroup in both parts of the study are displayed in Figure ??, adjusted to the  
186 common 24-hour time point.

## 14 IV.

### 15 Discussion

189 This is the first clinical study to evaluate pCDC2 with quantitative multiplex immunohistochemistry methods at  
190 multiple time points in patients with solid tumors receiving DNA-damaging chemotherapy. Immunohistochem-  
191 istry is a subjective, semi-quantitative assay scored on a discrete scale. We had no previous knowledge regarding  
192 the lower limit of detection and quantitation. No reports regarding the expected magnitude or time course of  
193 pCDC2 induction in patients with or without chemotherapy existed. By developing a parameter analysis strategy,  
194 we were able to gain experience in quantitatively evaluating the pCDC2 signal in skin samples in this study.

195 Greater expression of both CDC2 and pCDC2 was typically observed in the hair follicles, cells lining the  
196 sebaceous glands, and hair bulbs. Ideally, there would be sufficient measurements of the same tissue types from  
197 the same patients across different time points for the assessment of changes induced by treatment. However,  
198 due to the scarce presentation of hair bulbs, very few hair bulbs in each specimen from each patient were  
199 identified. Epidermis was the only tissue type that, by itself, had sufficient measurements across baseline and  
200 postchemotherapy time points in the majority of the patients. The epidermis therefore became the tissue of  
201 choice. This determination was made based solely on evaluable tissue without any knowledge of pCDC2 results.

202 Whether one should combine different tissue types for the assessment of changes may depend on 1)

203 Volume XIV Issue I Version I Year ( ) K whether or not there are sufficient measurements of the same  
204 tissue types and 2) how the measurements are combined (equal or unequal weights). In this analysis, similar  
205 conclusions from both epidermis and 'all tissue type combined' were obtained (data not shown). Restricting  
206 analysis to epidermis does not require assumptions to inform weighing of the various tissues, another reason that  
207 epidermis was the tissue type selected for analysis of pCDC2 levels. In the clinical setting where hair follicles  
208 could be reliably sampled, the sensitivity of this measure could be increased.

209 In preclinical studies, pCDC2 levels peaked at about 32 hours after chemotherapy administration (Hirai et al.,  
210 2010). In this study, cytotoxic chemotherapy significantly induced the epidermal pCDC2 level up to 48 hours;  
211 statistically significant increases from baseline were noted at 24 hours with levels continuing to increase between  
212 24 to 48 hours. In a preclinical study, the inhibition of CDC2 phosphorylation by MK-1775 correlated with  
213 antitumor efficacy. Although MK-1775 was not used in this study, given the magnitude of pCDC2 induction  
214 after chemotherapy administration, we believe relative decreases of pCDC2 in the presence of MK-1775 could be  
215 measured as a tool for assessing the degree of target engagement.

216 In the subgroup analysis, the gemcitabine-treated group showed significant induction of pCDC2 24 and 48  
217 hours after cytotoxic chemotherapy. In the subgroup of eight patients receiving therapeutic regimens that did  
218 not contain gemcitabine, no significant increase in pCDC2 was observed. An increase from baseline was observed  
219 in Part 1 but no change was observed in Part 2. The reason for this observation is unknown; it may be related  
220 to the time course, sample size, or sensitivity to cisplatin/carboplatin.

221 In a preclinical study, MK-1775 inhibited phosphorylation of CDC2 with an EC50 value of 85 nmol/L in cells  
222 pretreated with gemcitabine, whereas EC50 values of pCDC2 inhibition for carboplatin- and cisplatin-treated  
223 cells were 180 and 159 nmol/L, respectively (Hirai et al., 2009). This suggests that a higher concentration of  
224 non-gemcitabine treatment is required to achieve comparable pCDC2 inhibition. Alternatively, gemcitabine is a  
225 deoxycytidine analogue with a mechanism of action distinct from other cytotoxics, and the differential observed  
226 here may be due to its unique action on cellular regulatory processes (Plunkett et al., 1995).

227 When examining pharmaceutical R&D productivity, attrition in phase 2/3 of compound development cycles  
228 is a key cause in productivity decreases. Finding ways to reduce this attrition is a cornerstone of effective R&D  
229 planning (Paul et al., 2010). The careful use of biomarkers in proof-of-concept trials augments target selection  
230 and increases the probability of success (Tan et al., 2009). The methodology reported here allows for the  
231 quantitative measurement of pCDC2 and has provided recommended analysis parameters and tissue types for  
232 future studies. The study procedures were minimally invasive and were well tolerated by study participants.  
233 Our data indicate that pCDC2 is an appropriate target engagement biomarker for assessing pCDC2 inhibition  
234 in early clinical evaluations of Wee1 kinase inhibitors such as MK-1775.

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Figure 1:

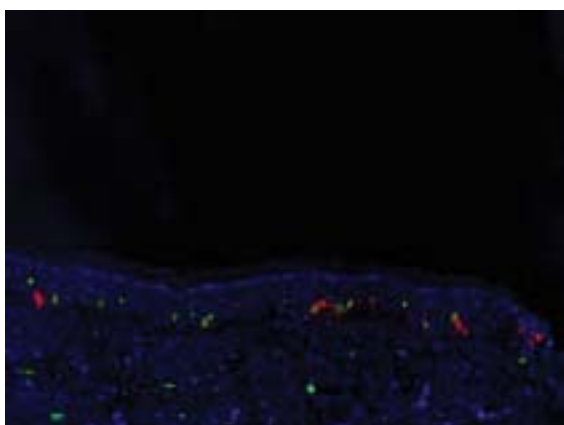


Figure 2:



Figure 3:

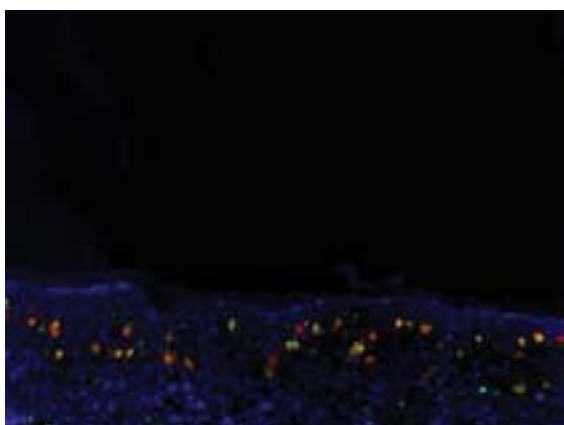
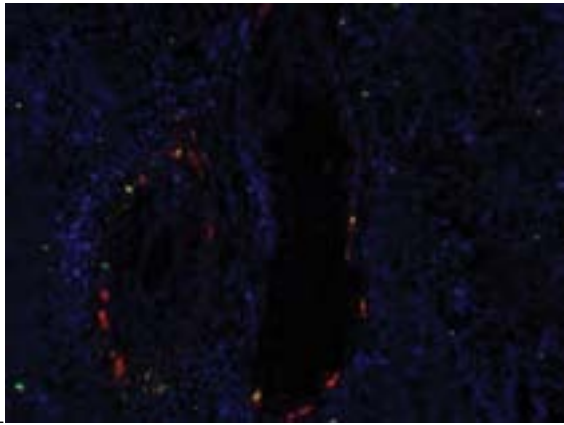
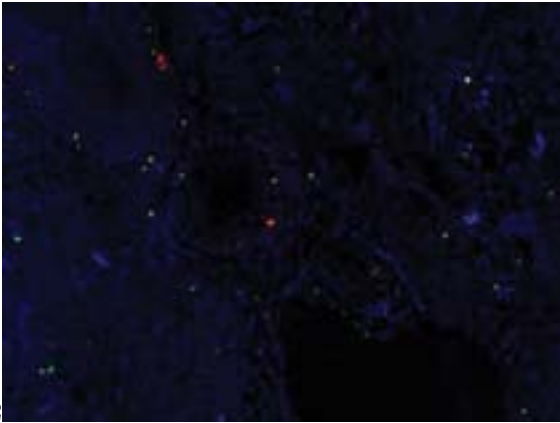


Figure 4:



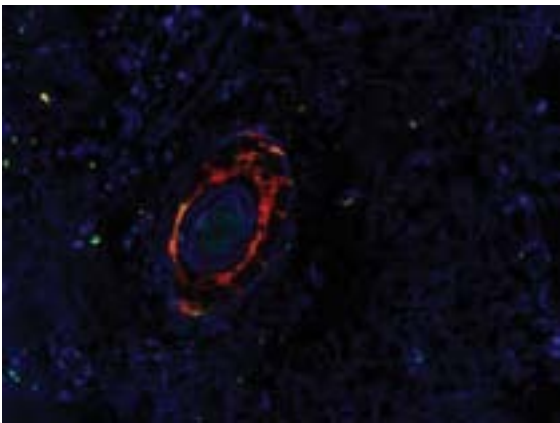
1

Figure 5: Fig. 1 :



12

Figure 6: Figure 1 VolumeFig. 2 :



21

Figure 7: Figure 2 Table 1 :

2

Percentage of all cells CDC2 positive co-localized with moderate pCDC2

16 Percentage of all cells CDC2 positive co-localized with strong pCDC2

a Primary endpoint parameter

OD=optical density; pCDC2=phosphorylated CDC2

Parameters	n	Geometric 90% CI	P value	SD	Median
		mean or ratio	b		
		a			
Parameter 3: % CDC2 + pCDC2 (manual enumeration)					
All patients in Part 1					
Baseline	15	2.2 (1.3, 3.8)		3.2	3.4
24h post-chemotherapy	14	3.3 (1.9, 5.5)		2.8	5.2
48h post-chemotherapy	15	9.0 (6.3, 12.9)		2.2	9.0
24h post-chemotherapy/baseline	14	1.45 (0.95, 2.22)	0.070	2.45	1.50
48h post-chemotherapy/baseline	15	4.01 (2.40, 6.70)	<0.003	3.09	4.89
48h/24h post-chemotherapy	14	2.76 (1.79, 4.24)	<0.002	2.49	3.26
Gemcitabine subgroup					
Baseline	12	1.9 (1.0, 3.4)		3.5	2.5
24h post-chemotherapy	11	2.7 (1.5, 4.9)		3.1	4.6
48h post-chemotherapy	12	8.5 (5.6, 12.9)		2.1	7.7
24h post-chemotherapy/baseline	11	1.46 (0.89, 2.40)	0.100	2.78	1.48
48h post-chemotherapy/baseline	12	4.52 (2.52, 8.11)	<0.002	2.93	4.72
48h/24h post-chemotherapy	11	3.10 (1.91, 5.04)	<0.002	2.25	3.21
Parameter 4: % of CDC2 that are pCDC2+ (manual enumeration)					
All patients in Part 1					
Baseline	13	24.6 (19.0, 31.8)		1.7	26.3
24h post-chemotherapy	13	34.3 (25.6, 46.0)		1.9	31.1

Figure 8: Table 2 :

48h post-chemotherapy	15	50.3	(39.9, 63.5)	1.7
24h post-chemotherapy/baseline	13	1.40	(1.11, 1.75)	0.012 1.59
48h post-chemotherapy/baseline	13	2.05	(1.56, 2.69)	<0.001 1.78
48h/24h post-chemotherapy	13	1.47	(1.12, 1.91)	0.012 1.73
Gemcitabine subgroup				
Baseline	10	25.4	(18.7, 34.5)	1.8
2014 24h post-chemotherapy	10	38.4	(27.7, 53.2)	1.9
Year 48h post-chemotherapy	12	53.8	(41.4, 69.8)	1.5
32h/24h post-chemotherapy	16	0.92	(0.62, 1.35)	0.651 2.42
Volume Baseline 24h post-chemotherapy	15	37.6	(26.3, 53.7)	0.012 2.2
XIV 48h post-chemotherapy 24h post-	14	52.6	(42.2, 65.5)	0.109 1.6
Is- chemotherapy/baseline 48h/24h post-	15	55.2	(42.0, 72.6)	0.045 1.8
sue chemotherapy 48h/32h post-chemotherapy	14	1.40	(1.11, 1.77)	0.635 1.68
I Gemcitabine subgroup 24h post-	16	1.31	(0.91, 1.90)	2.33
Ver-chemotherapy 32h post-chemotherapy	16	1.43	(1.01, 2.03)	2.21
sion 48h post-chemotherapy 32h/24h post-	11	5.6	(3.6, 8.9) (3.8,	2.7
I chemotherapy	11	5.1	6.8) (6.4, 13.2)	1.9
	11	9.2	(0.56, 1.47)	2.1
	11	0.91		2.74
K 48h post-chemotherapy/baseline 48h/24h	15	1.47	(1.12, 1.93)	0.013 1.83
( post-chemotherapy 48h/24h post-	14	1.05	(0.79, 1.39)	0.382 1.86
) chemotherapy 48h/32h post-chemotherapy	11	1.64	(1.07, 2.50)	0.031 2.48
	11	1.80	(1.22, 2.66)	0.009 2.29
Gemcitabine subgroup				
Baseline	12	39.8	(26.4, 60.1)	2.4
24h post-chemotherapy	11	58.8	(46.8, 73.8)	1.6
48h post-chemotherapy	12	55.5	(40.3, 76.4)	1.9
24h post-chemotherapy/baseline	11	1.48	(1.13, 1.92)	0.011 1.75
48h post-chemotherapy/baseline	12	1.39	(1.02, 1.91)	0.042 1.79
48h/24h post-chemotherapy	11	0.94	(0.70, 1.28)	0.628 1.80

a Back-transformed least squares mean from log scale: Geometric mean for individual time points and n between two time points

b 1-sided P value

CI = confidence interval; h=hours; pCDC2=phosphorylated CDC2; SD=geometric (between-patient) standard deviation

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Figure 9: all) with pCDC2 (all) (histogram analysis) All patients in Part 1

24h post-chemotherapy	16	23.0	(17.4, 30.3)	1.9	28.8
32h post-chemotherapy	16	23.7	(17.5, 32.1)	2.0	22.4

Figure 10: Parameter 4: % of CDC2 that are pCDC2+ (manual enumeration) All patients in Part 2

## 3

Parameters	n	Geometric		90% CI	P value b	SD	Median
		mean a	or ratio a				
Parameter 3: % CDC2 + pCDC2 (manual enumeration)							
All patients in Part 2							
24h post-chemotherapy	16	5.1		(3.5, 7.3)		2.3	5.6
32h post-chemotherapy	16	4.6		(3.6, 5.9)		1.7	4.2
48h post-chemotherapy	16	6.6		(4.6, 9.5)		2.3	7.4

[Note: a Back-transformed least squares mean from log scale: Geometric mean for individual time points and mean ratio between two time points b 1-sided P value]

Figure 11: Table 3 :

<sup>1</sup>Induction of CDC2 Phosphorylation in Skin Biopsies from Patients with Solid Tumors Undergoing DNA-Damaging Chemotherapy

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<sup>4</sup>Percentage of all cells CDC2 positive co-localized with weak pCDC2

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