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1	An Insight into the Genetic Study and Pathogenesis of the
2	Colorectal Cancer
3	Tapan $Behl^1$
4	<sup>1</sup> Delhi University
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## 7 Abstract

Colorectal cancer is defined as the cancer of the large intestine or the rectum â??" thus 8 attributing to some other names related to this cancer such as â??" bowel cancer or rectal 9 cancer, depending on the site where the tumor has occurred. It mostly begins as a benign 10 tumor with then turns into a carcinoma.Colon cancer and rectal cancer are related in terms of 11 their genetics and thus are studied together as allied tumors. Although some other factors 12 such as age and lifestyle are also concerned with the progression of this cancer, a minority 13 group of people acquire it because of certain genetic predisposition, which is focused upon in 14 this review. Initially it was thought only to occur because of certain mutations in a specific 15 gene called adenomatous polyposis coli (APC) gene which are responsible for initiating the 16 characteristic events which lead to the progression of this tumor. The cases affected by this 17 pathway were called the LOH group. But further researches concluded that there is another 18 different pathway which can lead to the occurrence of this tumor apart from the one briefly 19 stated above. The rest of the cases which were affected by this second pathway were named as 20 the MSI positive group. Since diagnostic techniques for detecting this cancer, like colonoscopy, 21 as well as its treatment by employing chemotherapy are readily available, it should be 22 considered prime priority to get to know about this tumor at the early stage. If diagnosed 23 earlier, this cancer can be checked upon and thus could make the concerned person survive for 24 a longer time with improved quality life. 25

26

27 Index terms— adenomatous polyp, APC gene, LOH group, MSI-positive group, beta-catenin

## 28 1 Introduction

olorectal cancer -also known as colon cancer or rectal cancer -is a lethal type of cancer which might occur in 29 the colon or rectum (or both). It initiates as a small benign (non-carcinogenic) bundle of outgrown cells called 30 adenomatous polyp which might then, with time, turn into a carcinogenic cluster and metastasize to other regions 31 32 of the body such as adjacent lying lymph nodes, liver, lungs and various other sites. Almost 50% of the total cases 33 of primarily benign colorectal neoplasm progress to develop metastatic cancer. It constitutes approximately 10-34 15% cases of all cancers prevalent and is the second most preeminent cause of deaths, after lung cancer, occurring 35 due to any type of cancer in the western countries [1]. Advancing age is, so far, regarded as the greatest risk-factor 36 for being prone to the occurrence of this tumor. Apart from this, the reasons for the development of this tumor might be both-environmental as well as genetic. Despite of the availability all the required diagnostic technologies 37 as well as suitable treatments, the mortality rate of the patients suffering with this cancer remains quite high. 38 Thus, it is generally advised to detect the tumor at earliest stages and commencing the treatment as soon as 39 possible so that best possible recovery could be achieved because diagnosing the tumor at its advanced stages 40 have shown to leave very little possibility of the survival of the patient even after sufficient treatment [2]. 41

## 42 **2** II.

### 43 **3** Epidemiology

Colorectal cancer is one the most common form of cancer found in the different populations worldwide. It affects 44 both the sexes but the incidence rate in men is almost double that of the women. The high risked population 45 is affected by colon cancer and rectal cancer in 2:1 ratio i.e., colon cancer occurrence is double than the rectal 46 cancer. This fact is supported by an epidemiological data of the colorectal cancer collected by conducting a study 47 in The United States. According to this study, about 136,830 new cases of colorectal cancer were diagnosed in 48 49 a specific year out of which, 96,830 cases were of colon cancer while the remaining 40,000 cases were of rectal cancer, thus, giving a clear indication of the accuracy of the above estimated ratio [3]. In Germany, about 57,000 50 cases of colorectal cancer are reported every year. Thus, the data suggests that this cancer constitutes the most 51 common type of cancer prevalent in Germany, even encompassing other most severely prevalent cancers in the 52 world like breast cancer (whose prevalence in Germany is only 46,000 cases per year) and lung cancer (amounting 53 up to just 37,000 cases per year). The mortality rate among the total cases of colorectal cancer reported in 54 Germany is around 26,500 deaths per year [4]. The global statistical epidemiological data of colorectal cancer 55 56 is extremely greater than this above stated data. Also, it has been seen that different geographical regions are 57 affected differently by this cancer due to the variations in the environment as well as diverse dietary patterns 58 among various populations. This fact is supported by the evidencethat countries like Australia, New Zealand, 59 Europe and North America have the highest incidence rate of this cancer in the world whereas in some regions of Africa and South-Central Asia, the occurrence rates are very low [5]. 60

### 61 **4 III.**

#### <sup>62</sup> 5 Signs and Symptoms

63 In the initial stages of the tumorigenesis, the colorectal cancer may remain assymptomatic i.e., the patient may 64 exhibit no signs or symptoms. When presentation of signs and symptoms start, they generally depend on the 65 site of the occurrence of tumor and the extent to which it has metastasized. On the advent of the production of 66 characteristic sign and symptoms, the patient may experience the following listed adversities: -

67 ? Alterations in the bowel movement are the first manifestation of the colorectal cancer which is generally characterized by -? melena (black and tarry stools) due to the oxidation of the blood which was present along 68 with stools? Prolonged and severe constipation in which the bowel movement may be blocked to a great extent 69 due to the narrowing of the colon or rectum ? Unrelenting diarrhea ? Chronic bleeding in the colon or rectum 70 which may lead to anemia? Presence of mucus in the stools? Increased urge of defecating frequently? Feeling 71 of unempty bowel even after defecating? Sensation of discomfort, pain, bloating or fullness in the abdomen. 72 Cramps may also be experienced by the patient. In some cases, a lump may also be felt in any region of abdomen. 73 ? The patient may experience loss of appetite and may continuously feel nauseous and frequent vomiting may 74 also occur. ? Fatigue or weakness in the whole body (especially the limbs) may occur due to the anemia caused 75 by severe blood loss. ? Weight loss and fever are another such common features associated with almost all the 76 cases of colorectal cancer. ? Perforation caused by some kind of piercing in the bowel is a medical emergency 77 78 which requires immediate surgery because it might lead to further complications such as peritonitis and formation 79 of abscess [6][7][8].

80 IV.

#### 81 6 Causes

82 Age is attributed to be the foremost cause of the development of colorectal cancer even in persons having any other kind of predisposition for its development. In as estimate made, about 9 people out of 10 diagnosed with 83 colorectal cancer are above 50 years of age. However, the exact reason behind the occurrence of this cancer in 84 old-aged people is still unknown [9]. Apart from age, there are numerous other factors which may attribute to the 85 progression and development of colorectal cancer. These causes are described below: -? Dietary factors: It has 86 been long speculated that diet of a person may contribute for some causes which may lead to the progression of 87 colorectal cancer. People having high intake of animal fats and proteins in their daily diet have been linked with 88 the increased risk of developing this cancer but no such confirmation has been given in the medical literature. 89 Some studies have shown that consumption of red meat frequently becomes the promoter of some reasons which 90 further lead to initiation of the tumor while some other studies found no such relation. While some researchers 91 consider fat to be the major harbinger of this cancer, others consider proteins as the same. Apart from the fats 92 93 and proteins themselves, another group of researchers point out to the way of these substances getting cooked, 94 especially when exposed to very high temperature during the processes of broiling and barbecuing -which results 95 in the production of certain carcinogenic substances as the end products, to be the affecters which need to be considered as the main reasons for the connection between these biomolecules and the progression of colorectal 96 97 cancer [10][11].

98 ? Lifestyle factors: Smoking is considered as one of the foremost reasons for the development of colorectal 99 cancer. A study conducted on the current and former smokers against life-long nonsmokers (which represent 100 a group of people who have consumed at the most 100 cigarettes in their whole lifetime) concluded that the

development of colorectal cancer is directly proportional to the duration of smoking i.e., the more a person 101 smoked, the more he is at an increased risk for developing this cancer. According to an estimate, a person who 102 has been associated with smoking for more than 40 years or the people who are not able to quit smoking before 103 the age of 40 are more prone to the progression of colorectal cancer by an increased rate of about five times as 104 105 compared to non-smokers. Also, the people who quit smoking are related to a decreased risk of developing this cancer, thus validating the factor of smoking as a risk-factor [12]. Heavy alcohol consumption is another such 106 factor. Although the mechanism which alcohol results in the progression of colorectal cancer is not yet clear, 107 it has been speculated that the end product of its metabolism viz., acetaldehyde is responsible forVolume XIV 108 Issue IV Version I Year 2014 (B) © 2014 Global Journals Inc. (US) 109

it. This fact is supported by the evidence of its carcinogenic properties in the animal models [13]. Also, lack
 of sufficient physical exercise is also associated with an increased risk for developing colorectal cancer [14].

? Genetic factors: People who are normally associated with a family which is having a history of colorectal 112 cancer are considered to be at a greater risk than the ones who do not have any such report. Genetic factors 113 accounts for up to 20% of the total cases of colorectal cancer worldwide. Thus, this factor cannot be easily ruled 114 out when considering various risk-factors and causes of this cancer. In case of colorectal cancer, a few inherited 115 conditions, in which there is an early development of the colon polyps due to some genetic predisposition, like 116 117 familial adenomatous polyposis (FAP) -also known as Gardner's syndrome [15], MYH-associated polyposis (MAP) 118 [16], Turcot's syndrome, Peutz-Jagher's syndrome, juvenile polyposis and Cowden's disease associated with an increased risk of developing colorectal cancer, if not treated at the earliest stages. But the most common 119 inherited condition associated with this cancer is called the hereditary non-polyposis colorectal cancer (HNPCC) 120 -which is also known as Lynch syndrome. HNPCC alone accounts for approximately 2 to 4% of the total cases of 121 the colorectal cancer [17]. According to the genetic studies, there may be two pathways which can be associated 122 with the genetic events occurring in any individual which lead to the progression of colorectal cancer due to the 123 genetic factors. These two identified pathways are described as follows:i. 124 LOH V. 125

## 126 7 Pathogenesis

The formation of adenomatous polyps in the colon and rectum, which occurs due to mutation caused in the 127 APC gene, is considered to be the basic initiator for the progression of colorectal cancer. These mutations can 128 either be inherited or acquired. Apart from the common mutation of APC gene (which occurs in the majority 129 of cases of colorectal cancer). There may be some other rare mutations such as mutations in beta-catenin gene, 130 various other genes which are anologues of APC such as AXIN1 [20], AXIN2 [21], TCF7L2/TCF4 [22] or NKD1 131 [23], which might also lead to the progression of colorectal cancer. These various mutations result in dysfunction 132 of the concerned gene which further leads to the activation of certain mechanisms which, at first, lead to the 133 formation of benign adenomatous polyps and then further accounts for the progression of these benign polyps 134 into advanced adenomas which can metastasize into various other sites of the body. After the formation of a 135 malignant tumor, the stage of the tumor decides whether it can be cured or not, e.g., when the tumor is at the 136 initial-most stage (when the invasive cancer is still confined within the walls of the colon and has not broken 137 out of it -known as stage I and II), the tumor is curable. However, if it is left untreated at this stage, anyhow, 138 it could grow further and spread into the lymph nodes lying in the nearby region and mark the advent of stage 139 III of the tumor. This stage is curable in up to approximately 73% of the cases by the employment of adjuvant 140 chemotherapy. After this stage, the tumor rapidly metastasizes into various sites (near as well as distant) of the 141 body which is represented as stage IV of the tumor. Although many advancements have been done till now in 142 the process of chemotherapy, stage IV of the tumor remains incurable [24][25][26][27]. The various events in the 143 pathogenesis of colorectal cancer can be listed as follows: -144

## <sup>145</sup> 8 ? Mutational activation of tumor suppressor gene:

The foremost step of the pathogenesis of colorectal cancer is the occurrence of mutations in the various genes 146 associated with tumor suppression. These mutations lead to the dysfunctioning of the concerned genes which, 147 due to their linkage with some other pathways, lead to the progression of the colorectal tumor. ? APC: APC 148 gene is regarded as the most important factor in the progression of colorectal cancer. The activation of the Wnt 149 signaling pathway -which is responsible for the regulation of gene transcription in the cells, due to the mutations 150 caused in the APC gene, is regarded as the primary step in the tumor formation. The mutation in the APC 151 gene results in the loss of both APC alleles which is further responsible for full-length proteins getting lost in 152 the tumor cells. This leads to various types of physiologic alterations which disturbs the homeostasis of the 153 154 processes which are responsible for the regulation of growth of the epithelial cells in the colon e.g., Transcription, 155 cell cycle succession, migration, differentiation, and apoptosis. Thus, due to the critical role of APC gene in the monitoring of cell growth in colon because of its ability to control the levels of beta-catenin in the cytoplasm, 156 any kind of mutation may result in unchecked growth and transcriptional activities in the cells present there 157 [28][29]. APC is a component of the degradation complex which degrades betacatenin, whose role is to bind with 158 certain members of T-cell factor-lymphocyte enhancer factor family and create a specific transcription factor 159 which results in the activation of cellular growth factors. Thus, normal APC gene helps in keeping a check over 160

the levels of beta-catenin in the cytoplasm of the cell whereas mutated APC loses its capability to perform any such regulatory function. Hence, in the absence of normal regulatory mechanisms, the levels of beta-catenin goes up resulting in an unchecked activation of Wnt signaling pathway whose outcome is the initiation of tumor formation [30][31].

? TP53: TP53 gene, also known as tumor protein-53 gene, is another gene whose mutations are responsible 165 for the progression of colorectal cancer. The somatic mutations occurring in this gene are considered to the most 166 common cause of the development of many types of cancers including colorectal cancer. The p53 protein is well-167 known for its anti-proliferative activity in response to various types of stress conditions as well as during normal 168 physiologic conditions. Therefore, inactivation of this protein is the prime target of various carcinogens. Its 169 inactivation is primarily achieved by single base substitution and allele loss [32]. In the progression of colorectal 170 cancer, this event holds the second most important spot after the inactivation of APC gene. The loss of both the 171 alleles of TP53 gene is generally achieved by a two-step mutation process in which the first step is a missense 172 mutation which inactivates the transcriptional activity of p53 and the second step involves a deletion on the 173 chromosome 17p (where this gene is located) which results in the loss of the second allele. The inactivation of 174 TP53 is often linked with the conversion of large benign adenomas into invasive carcinomas, due to the occurrence 175 of both the events at the same point of time [33][34]. 176

177 ? TGF-beta tumor suppressor pathway: The inactivation of TGF-beta is normally the next step in the 178 progression of colorectal cancer. In one-third of the cases of colorectal cancer, inactivation of TGRBR2 occurs due 179 to somatic mutations. The tumors associated with the mismatch repair defect, distinctive frameshift mutations are responsible for the inactivation of TGRBR2 due to the presence of polyadenine repetition. 50% of the cases 180 comprising of wildtype mismatch repair, the tumor suppressor pathway of TGF-beta is ceased due to inactivating 181 nature of the missense mutations which occur in this gene by affecting the TGRBR2 kinase domain. Another 182 way by which the mutations (or deletions) could affect this pathway is by causing alterations in the SMAD4 183 component of the TGF-beta pathway or the other transcription factors involved along with it e.g., SMAD2 and 184 SMAD3. The events of mutations occurring in this gene and the consequential alterations in the pathways have 185 been associated with the transition of adenomas to high grade dysplasia or evolution of carcinoma [35]. 186

? Activation of oncogene pathways: The activation of several oncogene pathways such as MAPK signaling 187 pathway is normally observed in the patients having colorectal cancer. These pathways are said to be responsible 188 for the overexpression and overactivation of various cellular proliferation processes owing to their location at 189 the downstream of various growth-factor receptors, which includes one of the most important growth factor 190 responsible for excessive cellular proliferation in the colorectal cancer viz., epidermal growth factor [36]. The 191 activation of the below given two oncogene pathways is said to mainly influence and play an important part 192 in the pathogenesis of the colorectal cancer: -? RAS and BRAF: Among the various oncogenes which play a 193 vital role in the progression of colorectal cancer, the two most important are -RAS and BRAF. The oncogenic 194 mutations caused in RAS and BRAF pathways result in the activation of MAPK (mitogen-activated protein 195 kinase) signaling pathway in about 37% and 13% of the cases of colorectal cancer, respectively. The mutations 196 in the RAS pathway, particularly in KRAS, leads to the activation of GTPase activity which is responsible for 197 conducting signals to the RAF whereas the mutations caused in BRAF implicates the signaling of BRAF serine-198 threenine kinase activity, which is further responsible for the activation of MAPK signaling pathway. BARF 199 mutations can be easily detected evn in smallsized polyps and occur more frequently in hyperplastic polyps, 200 serrated adenomas and proximal colon cancers, as compared to the RAS mutations. A medical condition named 201 as hyperplastic polyplosis syndrome is observed in the patients having large sized and large number of hyperplastic 202 lesions. Observations show that these type of patients are at a much greater risk of developing colorectal cancer 203 than the people without hyperplastic polyplosis syndrome because the histologic examinations of the patients 204 suffering from this syndrome shows that the progression of disease in such patients occurthrough an intermediate 205 lesion formation having a serrated luminal borderline around it [37][38][39]. 206

207 ? Phosphatidylinositol 3-kinase: The somatic mutations in PI3KCA, which encodes the catalytic subunit of phosphatidylinositol 3kinase (PI3K), are observed in almost one-third of the total cases of the colorectal 208 cancer, hinting that this might also play a vital role in the progression of this cancer. Apart from this, some 209 less commonly occurring mutations are also found in place of PI3KCA, such as loss of PTEN -which inhibits the 210 signaling of PI3K, while others include amplification of insulin receptor substrate 2 (IRS2), upstream activation 211 of the signalling PI3K, co-amplification of AKT and PAK4, which act as the downstream mediators of PI3K 212 signaling pathway. Thus, all the mutations and the alterations caused by them are said to play some part, which 213 is not yet well-understood, in the progression of the colorectal cancer [40][41]. 214

215 ? Genomic changes and tumor progression:

According to an initially formulated model of the transformation of adenoma to carcinoma, the role of specific 216 tumor-promoting mutations, which are acquired progressively, was considered. This model states the occurring 217 of certain mutations which governs the characteristics properties of tumor-progression, such as the presence of 218 regional or distant metastases. But according to the results of fullgenome examination of the sequences in some 219 patients, from primary benign cancers of colorectal cancer to the distant malignant metastases, there was no new 220 mutations observed during the process of metastases. This observation resulted in the speculation that a new 221 mutation is not necessarily required for the progression of primary tumor into a metastasized form which could 222 progress to distant sites. Also, the finding of the presence of all metastasized mutations in the primary lesions, 223

leads to the conclusion that seeding of metastatic form of tumor is very rapid, which may even take a time span ofless than 2 years to progress into a final staged tumor from a primary one [42].

226 ? Growth factor pathways: Various growth factor pathways are considered to be responsible for the cell 227 proliferation process occurring in tumor.

? Aberrant regulation of prostaglandin signaling: Activation of prostaglandin signaling pathway is considered 228 to be prime step in the development of an adenoma in the pathogenesis of colorectal cancer. Mainly inflammation 229 and mitogen-associated upregulation of COX-2 (which is an inducible enzyme which is responsible for the 230 regulation of the synthesis of prostaglandin E2 -a robustly linked agent in the progression of colorectal cancer) 231 are considered to be responsible for the activation of this pathway. An enhanced activity of prostaglandin E2 232 is also observed when there is a loss of 15-PGDH (15-prostaglandin dehydrogenase -an enzyme whose role in 233 the process of catalytic degradation of prostaglandin E2 is very critical). An elevation in the levels of COX-2 234 (cyclooxygenase-2) is seen in almost two-third of the patients of colorectal cancer and a loss of 15-PGDH is 235 observed in about 80% of the cases of colorectal cancer, thus indicating that this mechanism is surely linked in 236 some way in the progression of this cancer. Also, some clinical studies conducted showed that the inhibition of 237 COX-2 is successfully able to suppress the development of new adenomas and also restricts the growth of already 238 formed ones, thus validating its connection with the colorectal cancer [43][44]. 239

240 ? Epidermal growth factor receptor: EGF (epidermal growth factor) is a soluble protein which exhibits trophic 241 effects on the cells of the colon. Important signaling role has been illustrated for the EGF receptor in a particular 242 subgroup of the colorectal cancer cases. This signaling via EGF receptor (EGFR) is regulated by the activation 243 of MAPK and PI3K signaling pathways (which are already described above). Other clinical studies done lately 244 also conclude that the anti-EGFR therapies showed no effect on the alterations caused due to various mutations 245 such as in KRAS, BRAF and the p110 subunit of PI3K. Further researches are going on to discover more about 246 the connection and mechanism of EGFR in the progression of colorectal cancer [45][46].

? Vascular endothelial growth factor: VEGF (vascular endothelial growth factor), which is mainly involved in 247 the states of injury, various inflammatory processes and also during the normal physiologic growth of the tissue, is 248 said to be key mediator for the formation of new stromal blood vessels -a process called angiogenesis. The role of 249 angiogenesis has been well established by various clinical studies in the growth of the tumor in colorectal cancer. 250 According to a clinical study, treatment of a patient suffering from advanced colorectal cancer with anti-VEGF 251 antibody bevacizumab lead to an increase of 4.7 months in the average estimated total survival period of the 252 253 patient viz., 15.6 months after being treated with regular standard therapy. Although much research has been 254 done in this regard, more studies are still need to be done to identify the molecular distinctions between which gain assistance by this treatment and the rest who do not [47]. 255

# <sup>256</sup> 9 VI.

# 257 **10** Conclusion

From all the above discussions we conclude that the genetic factors play a critical role in determining the 258 progression of colorectal cancer in any person. The genetic predisposition of any patient of colorectal cancer 259 might be held responsible for the tumorigenesis. The pathogenesis of colorectal cancer involves the mutations 260 of various significant genes which are responsible for the physiology of various proteins and factors responsible 261 262 for the regulation of cellular proliferation processes in the colon and rectum. Overactivation of any of these factors results in the progression of the formation of a primary tumor and its transition from a benign adenoma 263 to an invasive carcinoma. Thus, these events should be checked upon by diagnosis as early as possible so that 264 appropriate treatment could be started well in time and at the stage where it could be successfully treated. 265

## <sup>266</sup> 11 VII.

Volume XIV Issue IV Version I Year 2014 ( B )  $^1$ 



Figure 1:

267

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